

# BREAKTHROUGH PRIZE

“Year of Neutrinos”



The Nobel Prize in Physics 2015

Takaaki Kajita, Arthur B. McDonald

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## The Nobel Prize in Physics 2015



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**Takaaki Kajita**

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Photo: K. McFarlane,  
Queen's University  
/SNOLAB

**Arthur B. McDonald**

Prize share: 1/2

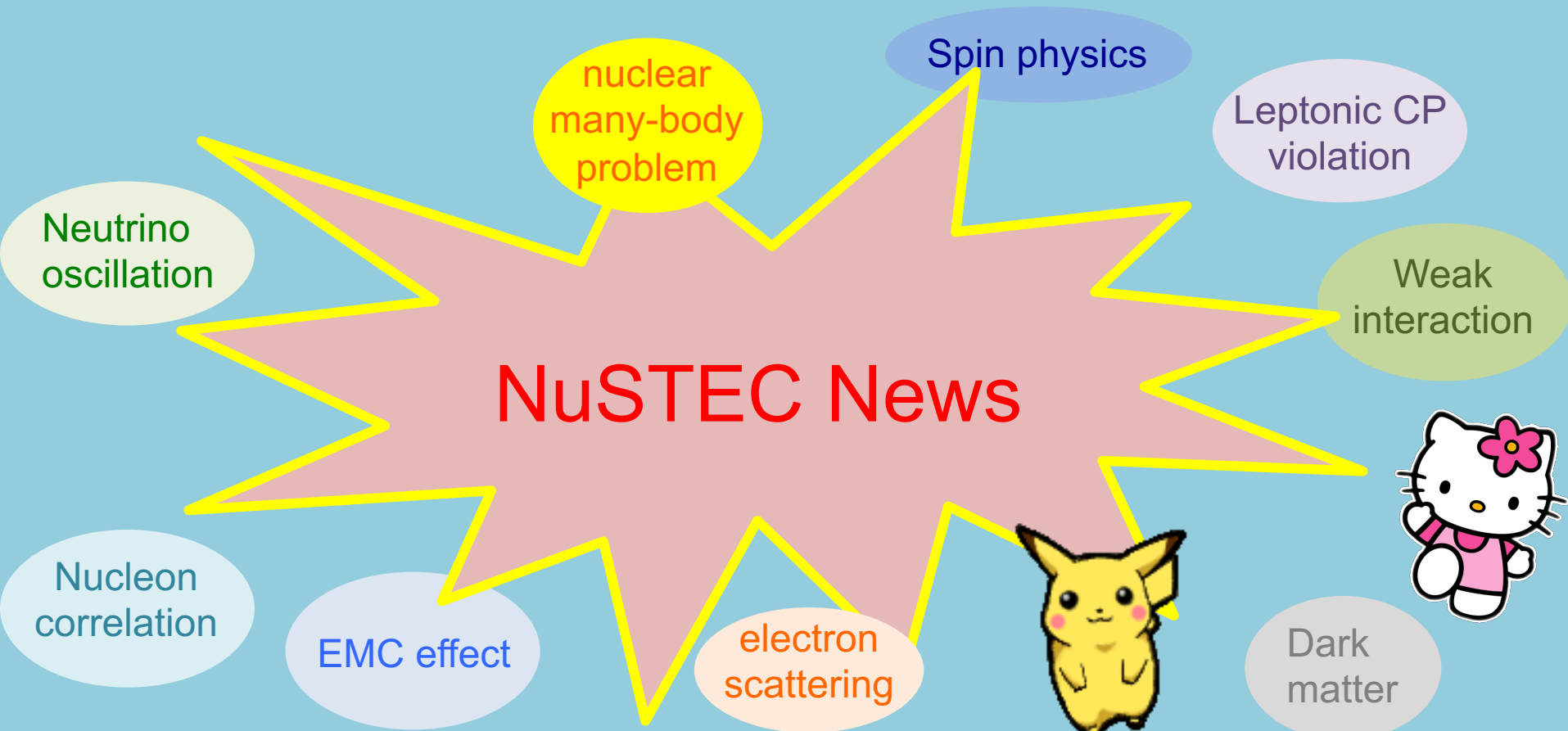
The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald *“for the discovery of neutrino oscillations, which shows that neutrinos have mass”*

### 2016 Fundamental Physics Breakthrough Prize

- Koichiro Nishikawa (K2K and T2K)
- Atsuto Suzuki (KamLAND)
- Kam-Biu Luk (Daya Bay)
- Yifang Wang (Daya Bay)
- Art McDonald (SNO)
- Yoichiro Suzuki (Super-Kamiokande)
- Takaaki Kajita (Super-Kamiokande)

Tepper Katori, Queen Ma

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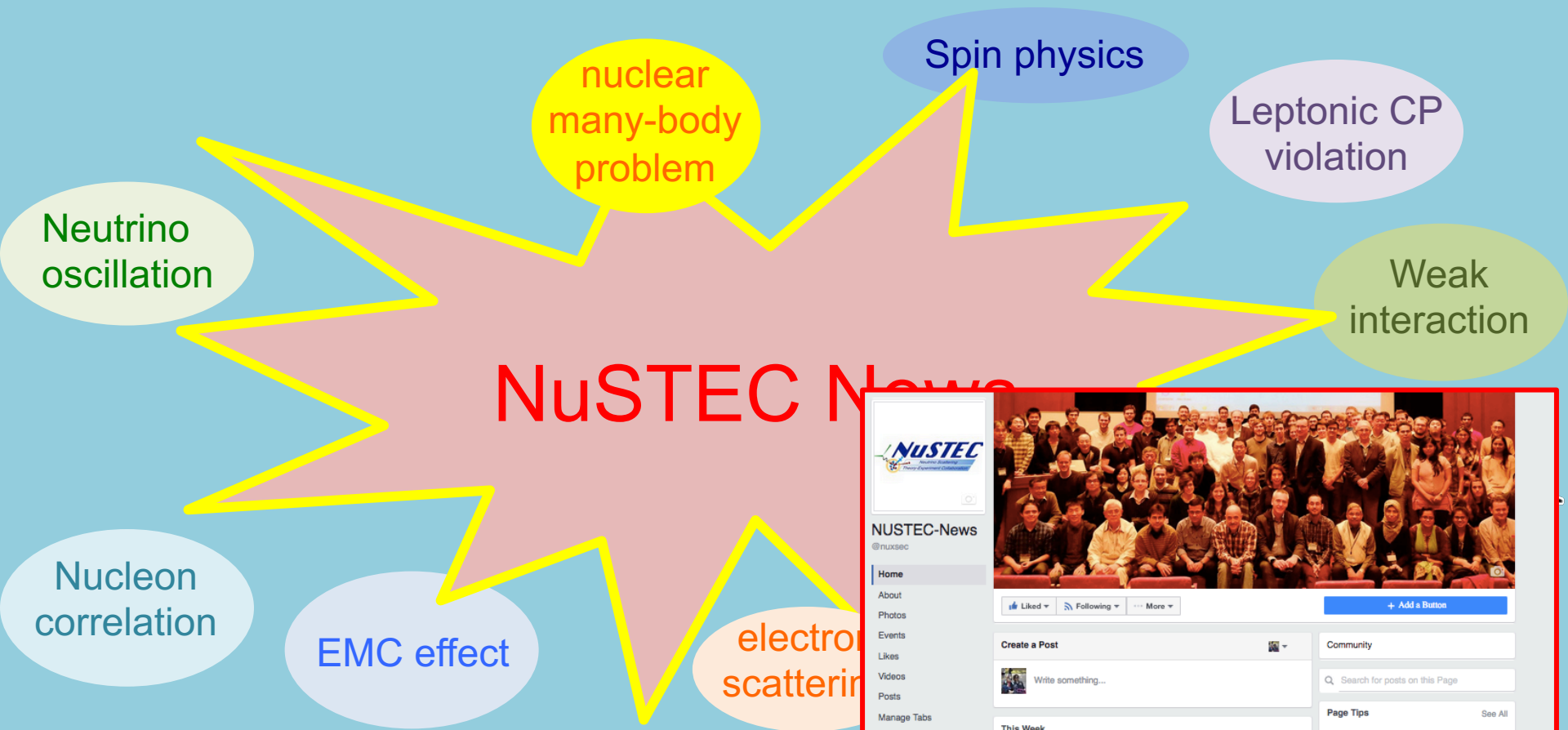
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# Physics of Neutrino Interactions around 1-10 GeV

Teppei Katori

Queen Mary University of London

HEP seminar, Yokohama National University, Japan, Aug. 3, 2018

## outline

1. Neutrino Interaction Physics
2. Neutrino scattering experiments
3. Charged-Current Quasi-Elastic (CCQE) interaction
4. Resonance single pion production
5. Shallow inelastic scattering (SIS)
6. Conclusions

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# Physics of Neutrino interactions around 1-10 GeV

## Further reading

HEP seminar, 13th October 2018

### outline

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6. Conclusions



### Topical Review

## Neutrino–nucleus cross sections for oscillation experiments

Teppeï Katori<sup>1,4,5</sup> and Marco Martini<sup>2,3,4,5</sup>

<sup>1</sup>School of Physics and Astronomy, Queen Mary University of London, London, United Kingdom

<sup>2</sup>ESNT, CEA, IRFU, Service de Physique Nucléaire, Université de Paris-Saclay, F-91191 Gif-sur-Yvette, France

<sup>3</sup>Department of Physics and Astronomy, Ghent University, Proeftuinstraat 86, B-9000 Gent, Belgium

### Review

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L. Alvarez-Ruso<sup>a</sup>, M. Sajjad Athar<sup>b</sup>, M.B. Barbaro<sup>c</sup>, D. Cherdack<sup>d</sup>, M.E. Christy<sup>e</sup>, P. Coloma<sup>f</sup>, T.W. Donnelly<sup>g</sup>, S. Dytman<sup>h</sup>, A. de Gouvêa<sup>i</sup>, R.J. Hill<sup>j,f</sup>, P. Huber<sup>k</sup>, N. Jachowicz<sup>l</sup>, T. Katori<sup>m</sup>, A.S. Kronfeld<sup>f</sup>, K. Mahn<sup>n</sup>, M. Martini<sup>o</sup>, J.G. Morfín<sup>f,\*</sup>, J. Nieves<sup>a</sup>, G.N. Perdue<sup>f</sup>, R. Petti<sup>p</sup>, D.G. Richards<sup>q</sup>, F. Sánchez<sup>r</sup>, T. Sato<sup>s,t</sup>, J.T. Sobczyk<sup>u</sup>, G.P. Zeller<sup>f</sup>



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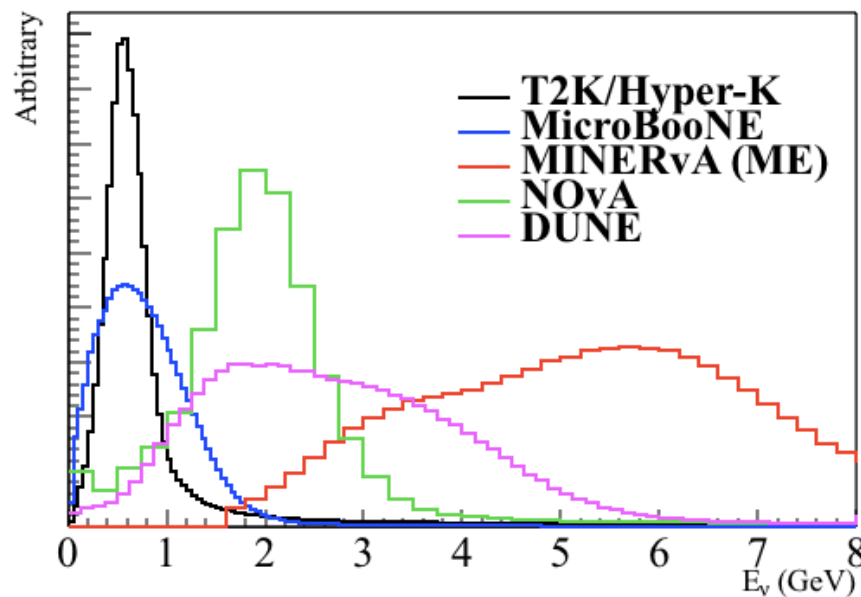
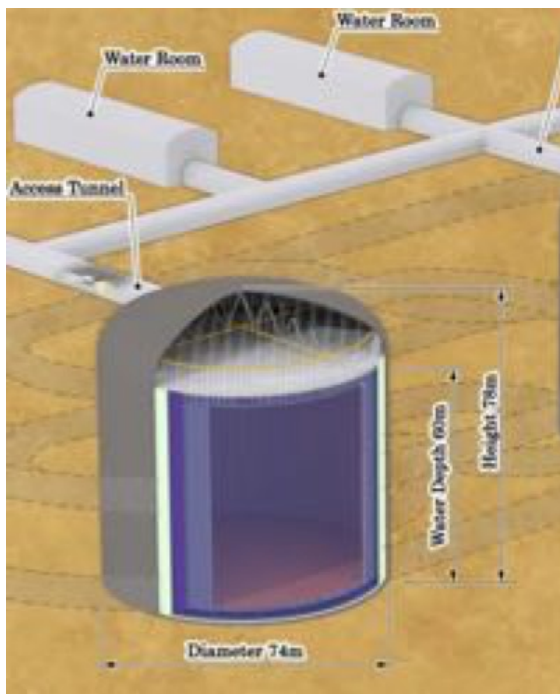
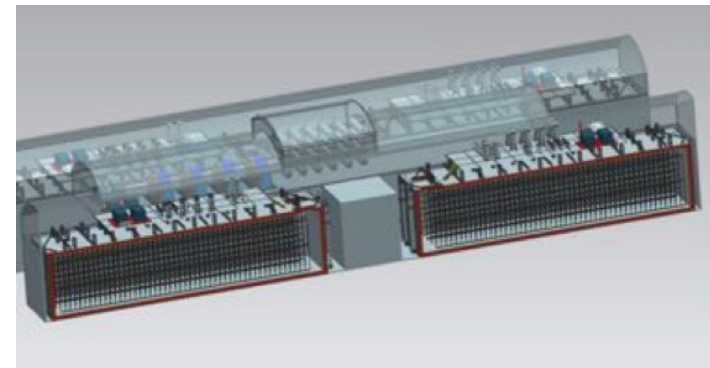
# 1. Hyper-Kamiokande and DUNE

## HyperK

- ~2026? in Japan
- Water target
- Narrow band 0.6 GeV
- Low resolution

## DUNE

- ~2025? in USA
- Argon target
- wide band 1-4 GeV
- High resolution



$$P_{\mu \rightarrow e}(L/E) = \sin^2 2\theta \sin^2 \left( 1.27 \Delta m^2 (eV^2) \frac{L(km)}{E(GeV)} \right)$$

# 1. Next goal of high energy physics

## Establish Neutrino Standard Model ( $\nu$ SM)

- SM + 3 active massive neutrinos

### Unknown parameters of $\nu$ SM

1. Dirac CP phase
  2.  $\theta_{23}$  ( $\theta_{23}=40^\circ$  and  $50^\circ$  are same for  $\sin 2\theta_{23}$ , but not for  $\sin\theta_{23}$ )
  3. normal mass ordering  $m_1 < m_2 < m_3$  or inverted mass ordering  $m_3 < m_1 < m_2$
  4. Dirac or Majorana
  5. Majorana phase
  6. absolute neutrino mass
- } not relevant to neutrino oscillation experiment(?)

We need higher precision experiments around 1-10 GeV.

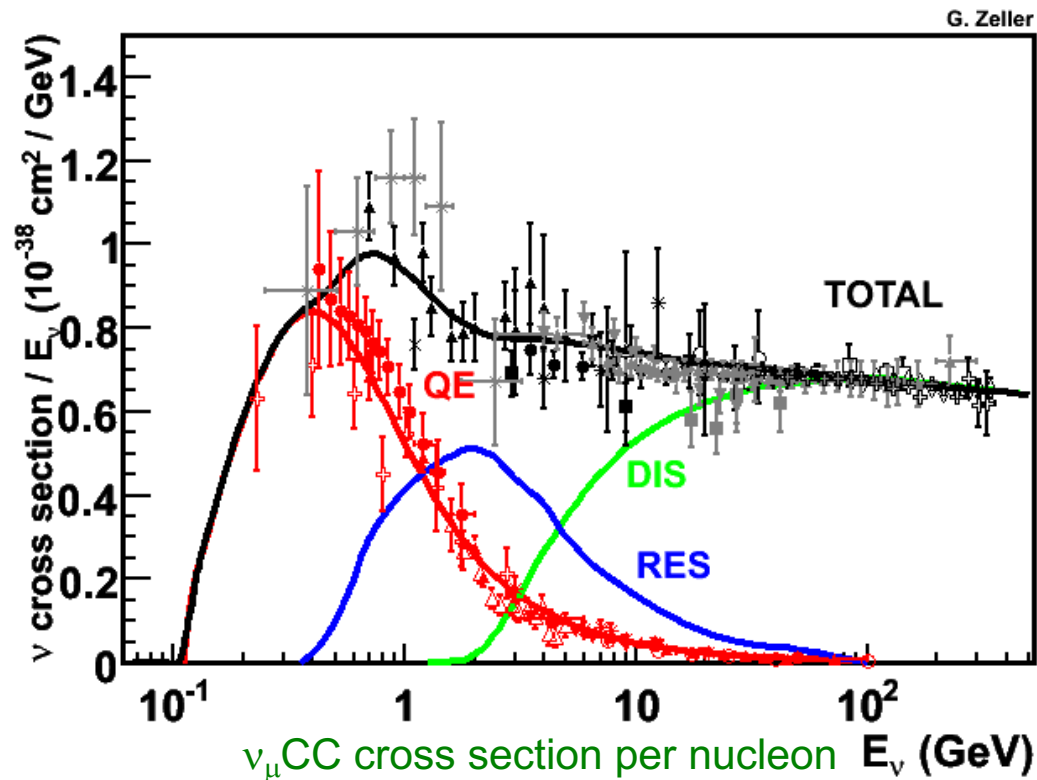
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# 1. Next generation neutrino oscillation experiments

## Neutrino oscillation experiments

- Past to Present: K2K, MiniBooNE, MINOS, T2K, DeepCore, Reactors
- Present to Future: T2K, NOvA, PINGU, ORCA, Hyper-Kamiokande, DUNE



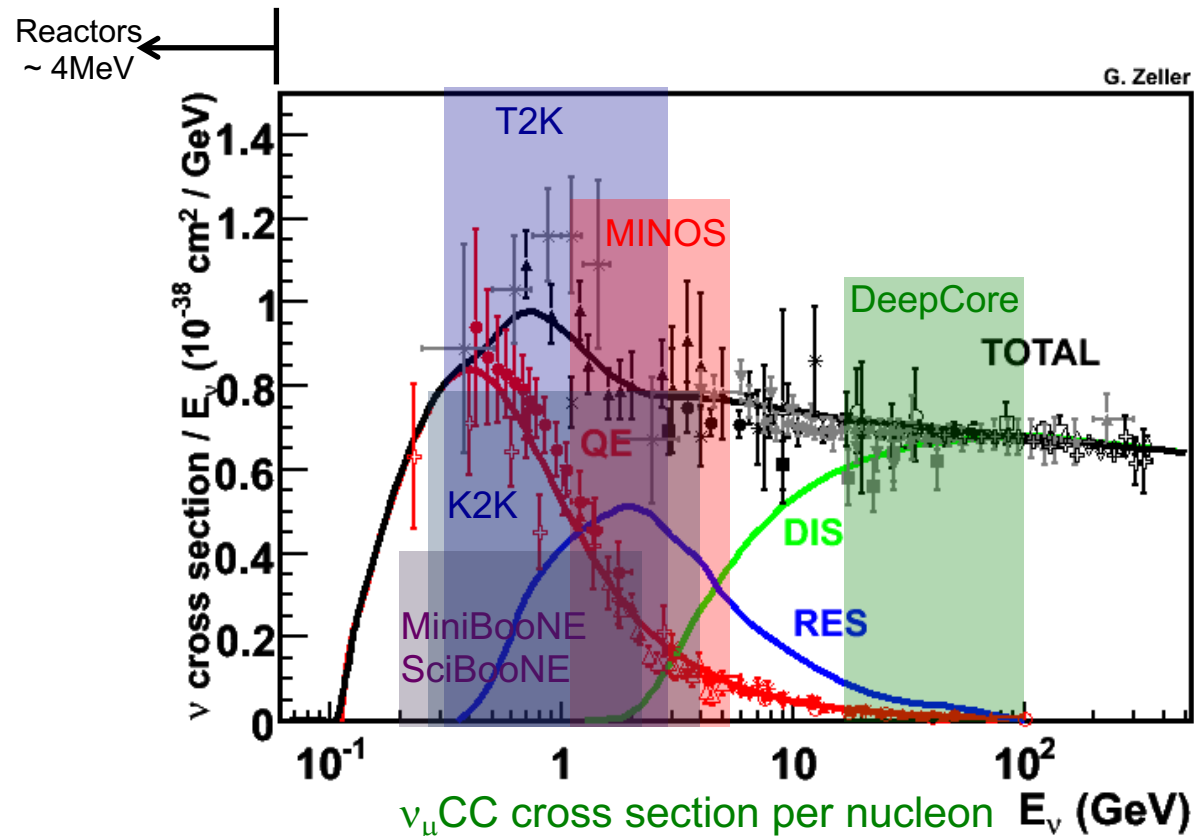
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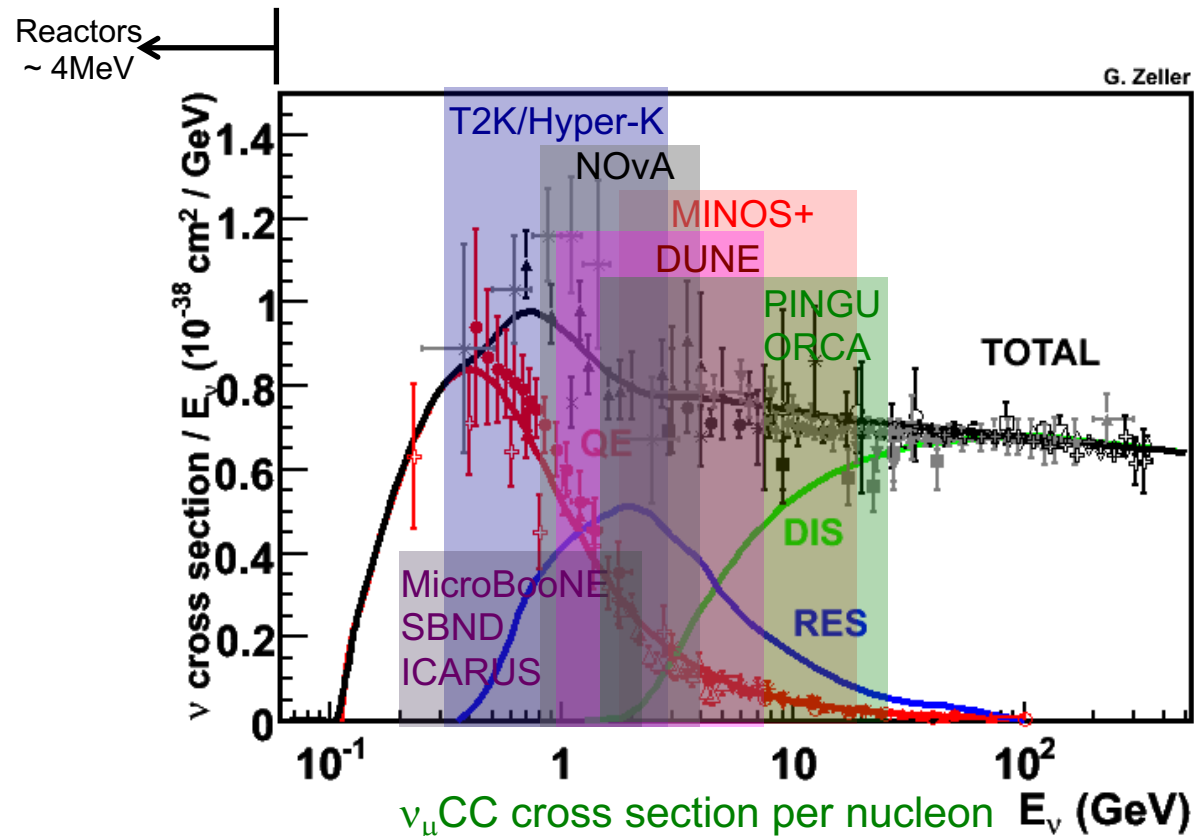


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# 1. Next generation neutrino oscillation experiments

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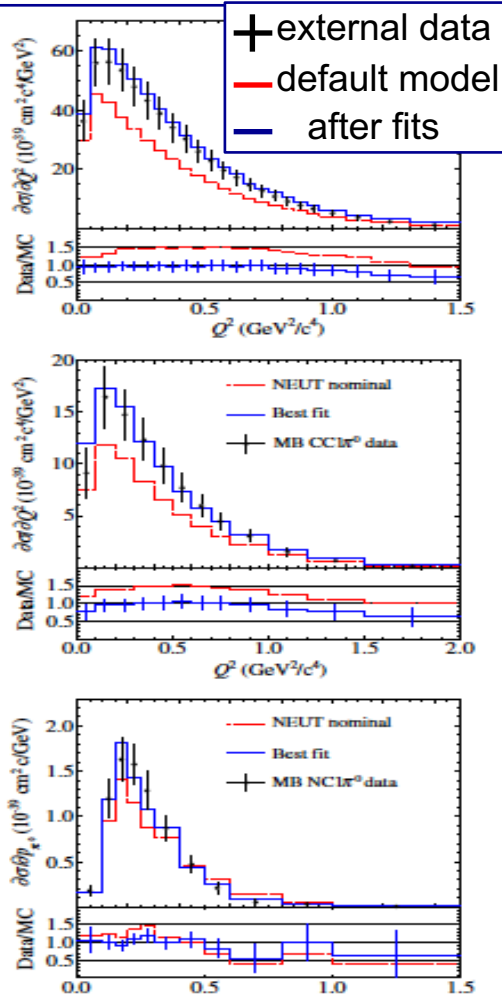
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# 1. e.g.) T2K oscillation experiments

## External constraint

MiniBooNE, MINERvA, SciBooNE  
K2K, MINOS, Bubble chambers

External data give initial guess  
of cross-section systematics



External data fit

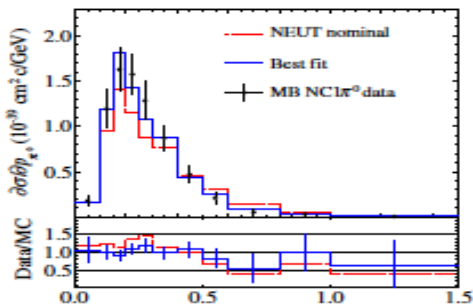
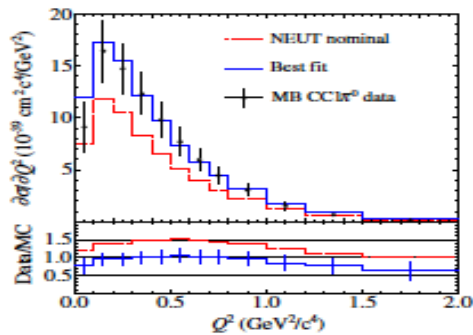
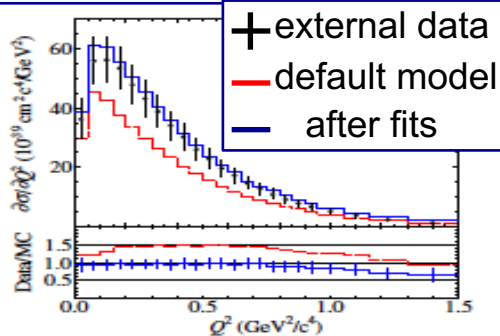
# 1. e.g.) T2K oscillation experiments

## External constraint

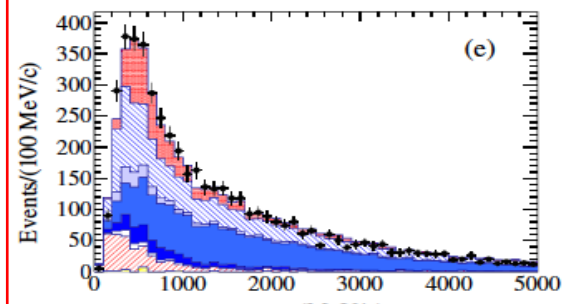
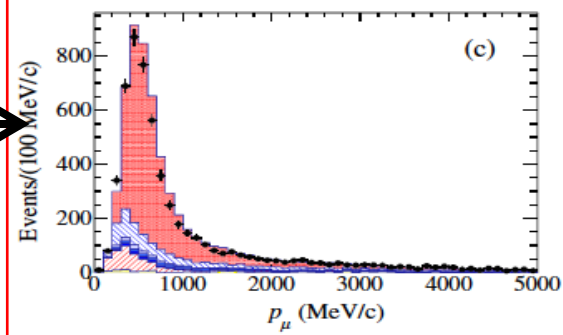
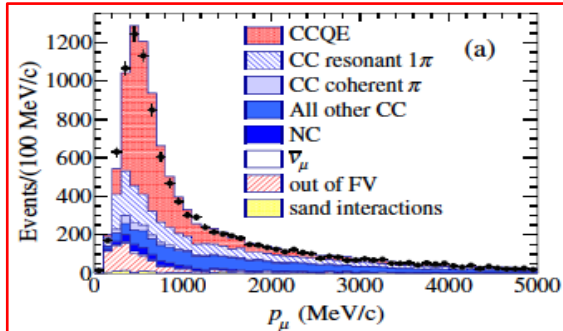
MiniBooNE, MINERvA, SciBooNE  
K2K, MINOS, Bubble chambers

## Internal constraint

Near detector  
oscillation non-sensitive channels



External data fit



T2K ND280 data fit

Constraint from internal data find actual size of cross-section errors

# 1. e.g.) T2K oscillation experiments

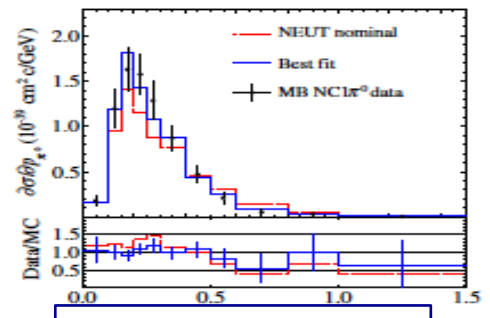
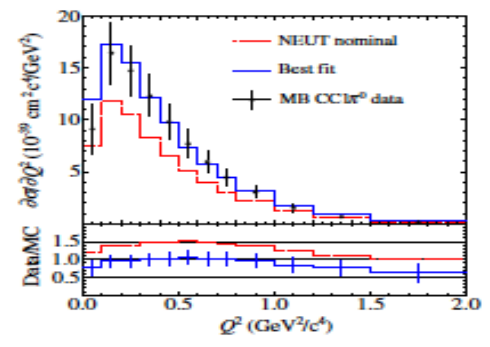
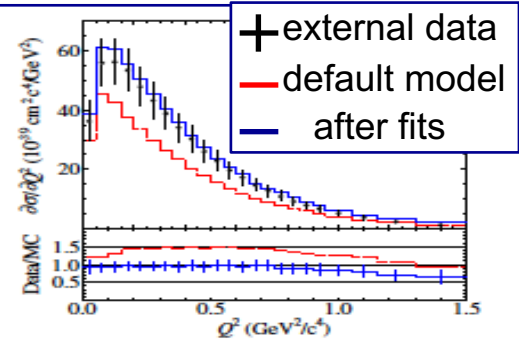
## External constraint

MiniBooNE, MINERvA, SciBooNE  
K2K, MINOS, Bubble chambers

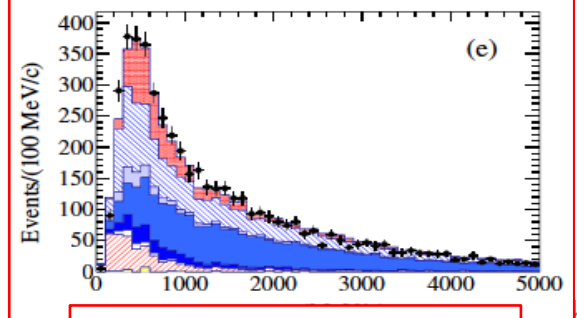
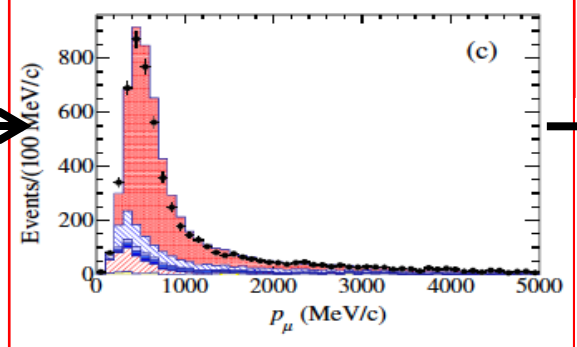
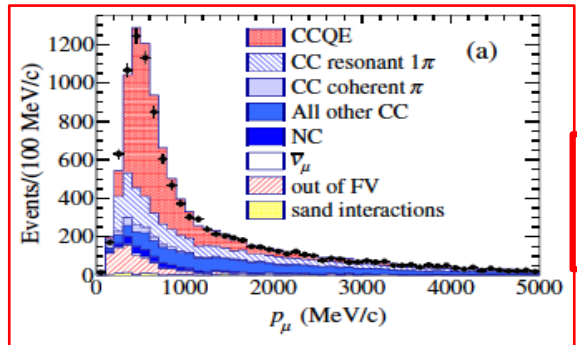
## Internal constraint

Near detector  
oscillation non-sensitive channels

Neutrino interaction model is a large systematics of neutrino oscillation experiment

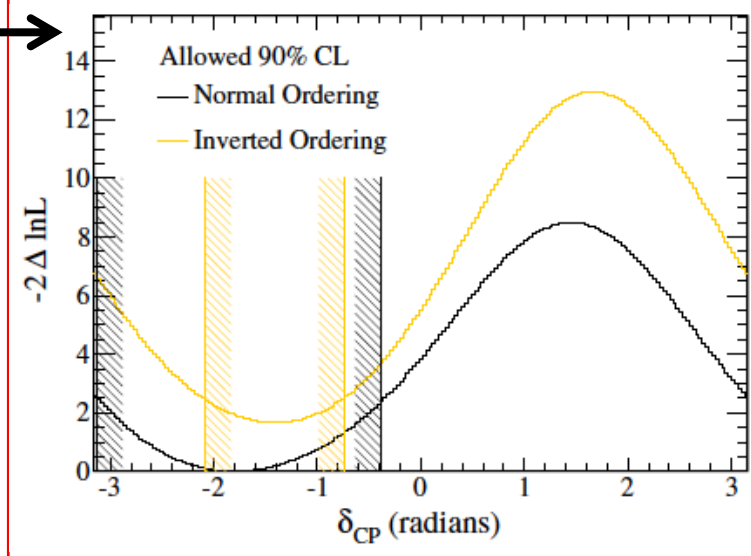


External data fit



T2K ND280 data fit

Source (%)	$\nu_\mu$	$\nu_e$	$\bar{\nu}_\mu$	$\bar{\nu}_e$
ND280-unconstrained cross section	0.7	3.0	0.8	3.3
Flux and ND280-constrained cross section	2.8	2.9	3.3	3.2
Super-Kamiokande detector systematics	3.9	2.4	3.3	3.1
Final or secondary hadron interactions	1.5	2.5	2.1	2.5
<b>Total</b>	<b>5.0</b>	<b>5.4</b>	<b>5.2</b>	<b>6.2</b>



oscillation result

# 1. Neutrino cross-section formula

## Cross-section

- product of Leptonic and Hadronic tensor

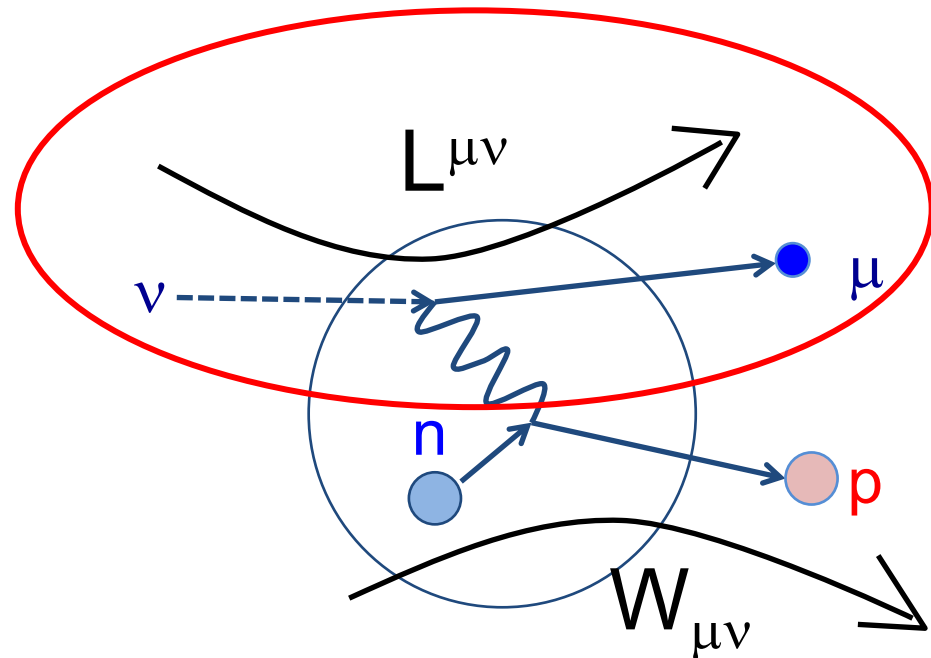
$$d\sigma \sim L^{\mu\nu} W_{\mu\nu}$$

### Leptonic tensor

→ the Standard Model (easy)

### Hadronic tensor

→ nuclear physics (hard)



# 1. Neutrino cross-section formula

## Cross-section

- product of Leptonic and Hadronic tensor

$$d\sigma \sim L^{\mu\nu}W_{\mu\nu}$$

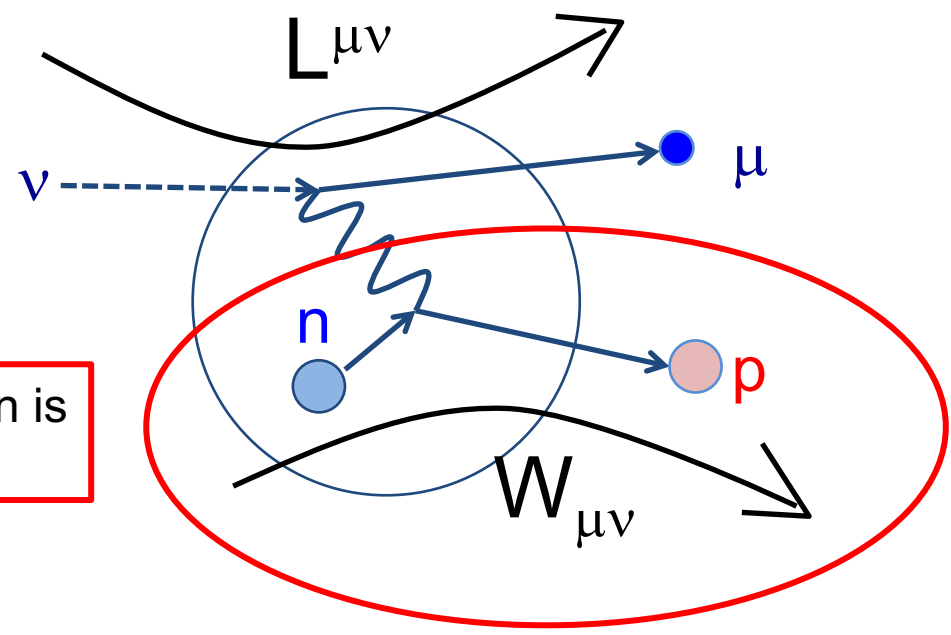
Leptonic tensor

→ the Standard Model (easy)

Hadronic tensor

→ nuclear physics (hard)

All complication of neutrino cross-section is how to model the hadronic tensor part





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Topical Review

# Neutrino–nucleus cross sections for oscillation experiments

Teppeï Katori<sup>1,4,5</sup> and Marco Martini<sup>2,3,4,5</sup>

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Review

## NuSTEC<sup>1</sup> White Paper: Status and challenges of neutrino–nucleus scattering

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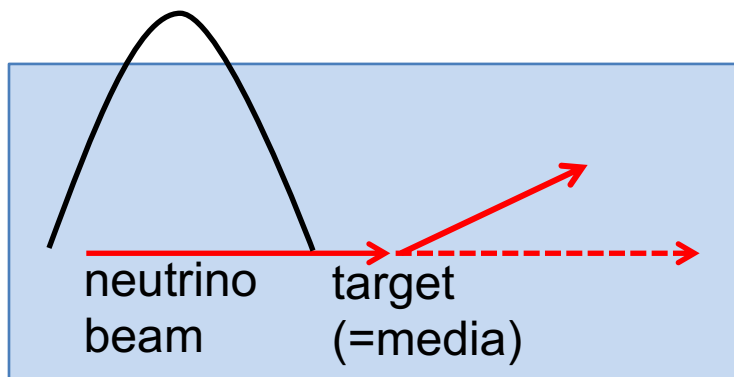
## 2. Three rules of neutrino interaction physics

### Three rules of neutrino interaction physics

1. Incomplete measurements
2. Incomplete kinematics
3. Unknown target

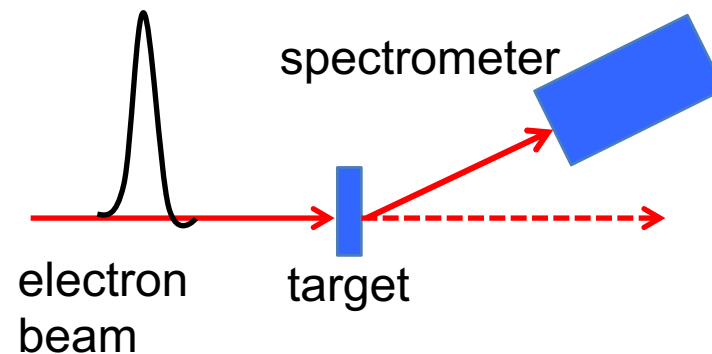
#### Neutrino scattering

- Coarse instrumentation
- Wideband beam
- Heavy nuclear target



#### Electron scattering

- Precise spectrometer
- Well defined beam energy, known flux
- It can study reactions with variety of targets



## 2. Rule 1: Detector performance is poor

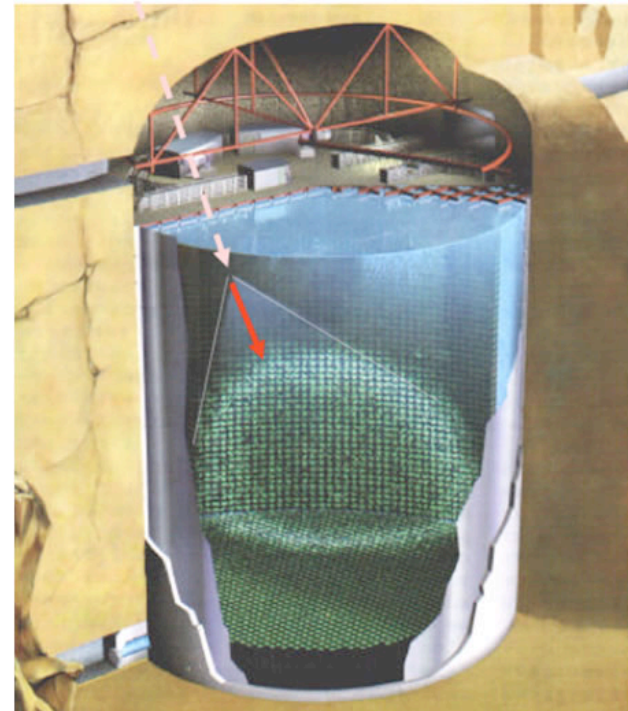
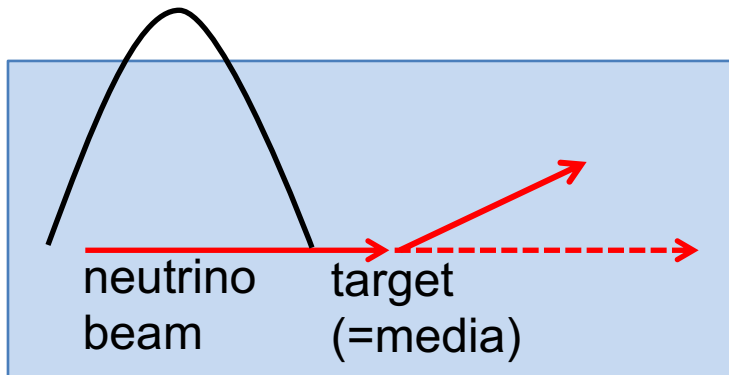
### Three rules of neutrino interaction physics

1. **Incomplete measurements**
2. Incomplete kinematics
3. Unknown target

In order to maximize interaction rate, detector volume is large, coarsely instrumented  
 → Poor final hadron state measurements

### Neutrino scattering

- Coarse instrumentation
- Wideband beam
- Heavy nuclear target



## 2. Rule 2: Beam energy is unknown

### Three rules of neutrino interaction physics

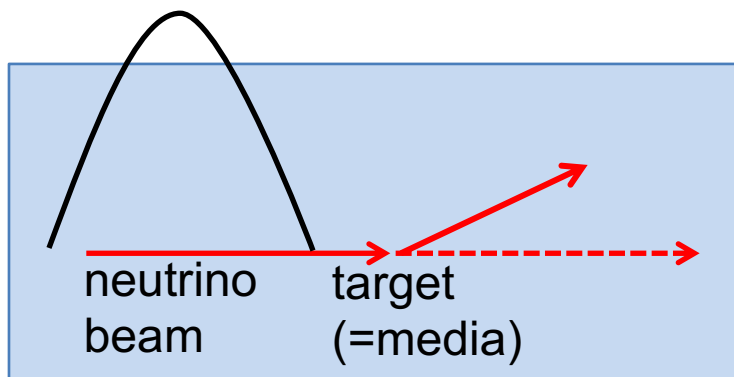
1. Incomplete measurements
2. **Incomplete kinematics**
3. Unknown target

Incoming neutrino energy is not known.

Reconstructing kinematics ( $E_\nu$ ,  $Q^2$ ,  $W$ ,  $x$ ,  $y$ , ...) in 1-10 GeV depends on interaction models

### Neutrino scattering

- Coarse instrumentation
- Wideband beam
- Heavy nuclear target



### 1. Kinematics energy reconstruction

- Need to assume 2-body kinematics

$$E_\nu^{QE} = \frac{ME_\nu - 0.5m_\mu^2}{M - E_\mu + p_\mu \cos\theta}$$

### 2. Calorimetric energy reconstruction

- Need to measure all outgoing particles

$$E_\nu^{Cal} = E_\mu + \sum_{i=1}^{all} E_{had}^i$$

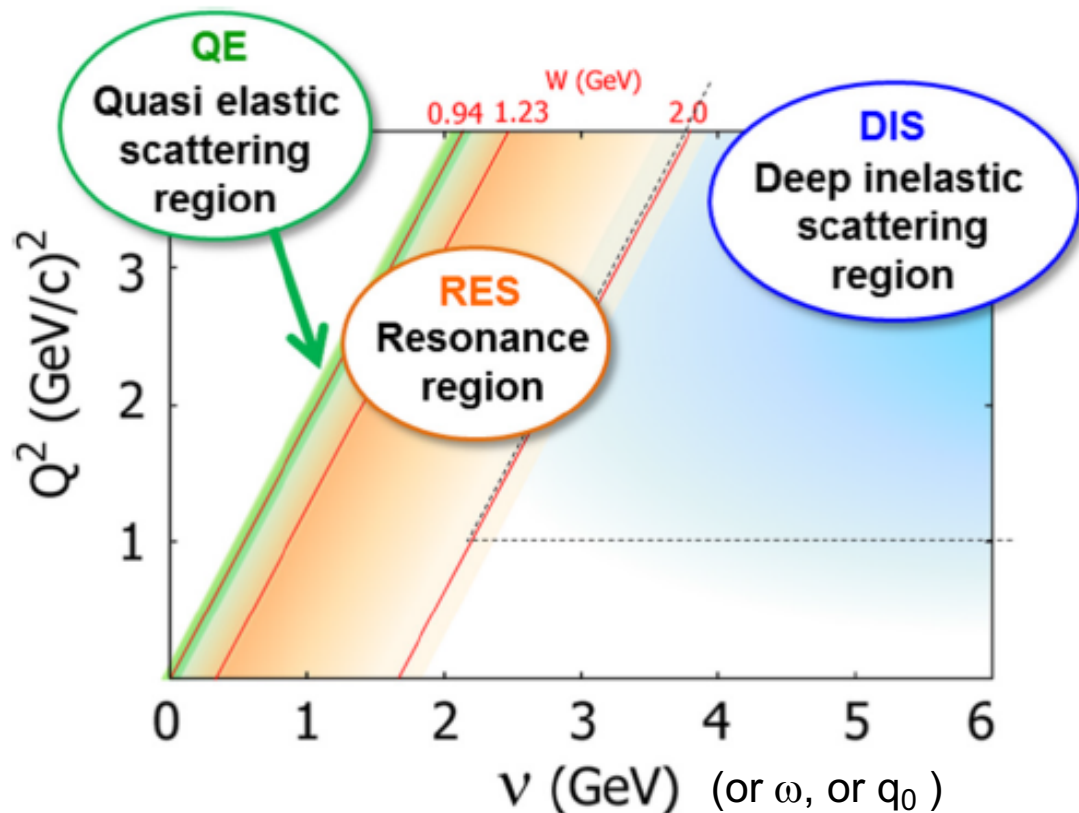
## 2. Rule 3: More interactions with unknown materials

### Three rules of neutrino interaction physics

1. Incomplete measurements
2. Incomplete kinematics
3. Unknown target

Each sub-field of nuclear physics (non-perturbative QCD) is well-developed in limited kinematics, but we are not good at connecting all of them!

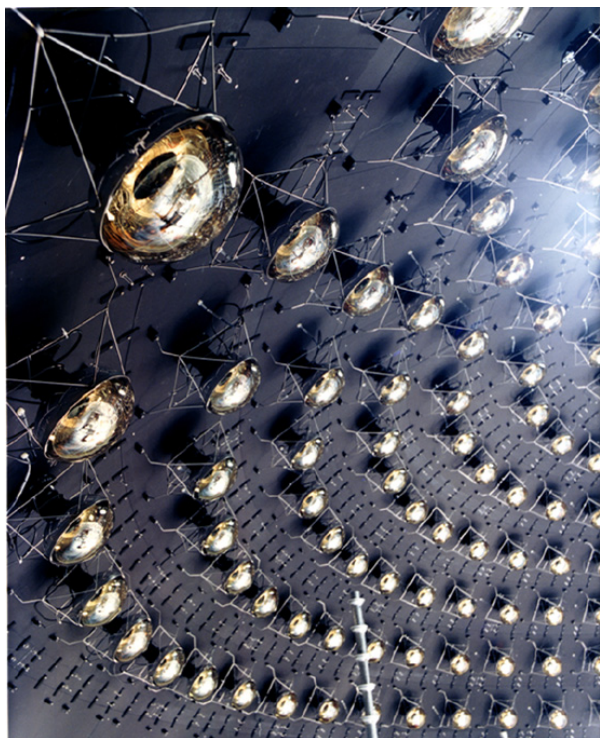
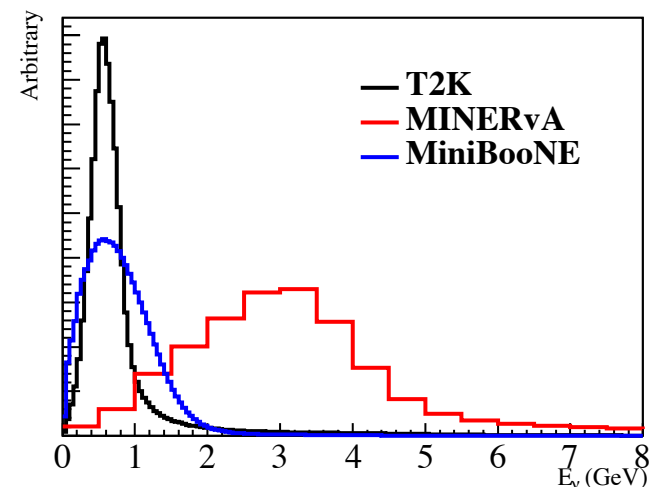
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## 2. MiniBooNE

### Mineral oil ( $\text{CH}_2$ ) Cherenkov detector

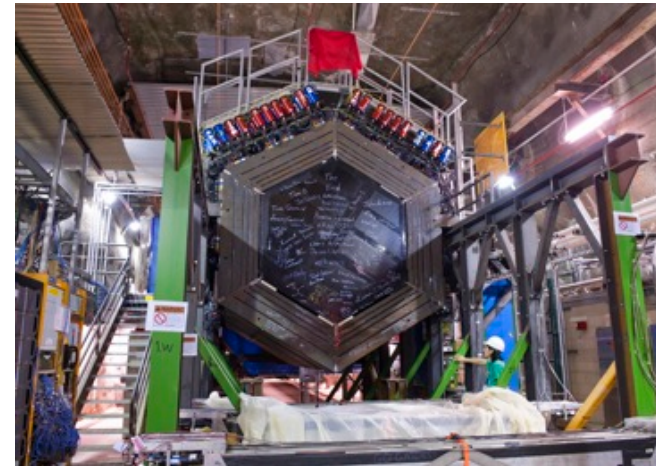
- $4\pi$  coverage,  $\langle E \rangle \sim 800$  MeV beam up to 2 GeV
- Designed for short baseline oscillation experiment
- Kinematic neutrino energy reconstruction
- Some calorimetric (scintillation)



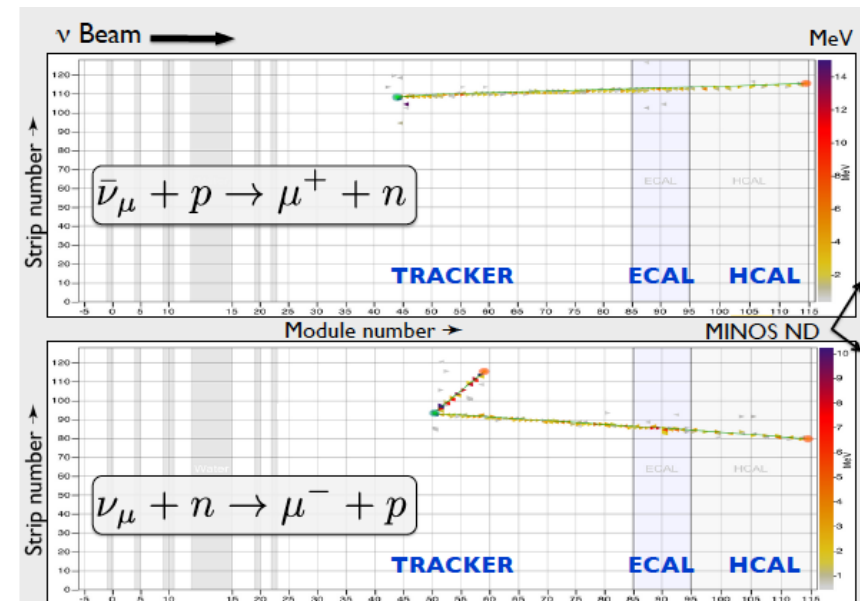
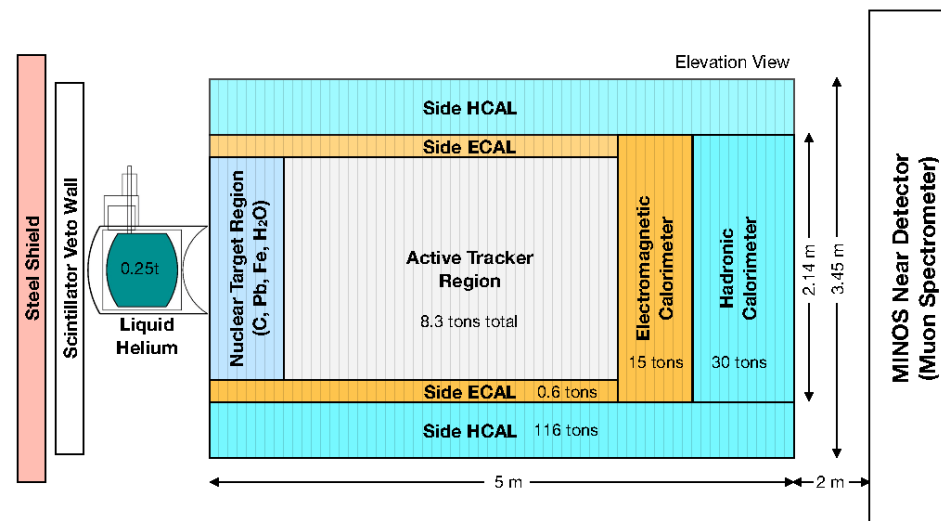
## 2. MINERvA

### Scintillation tracker

- $\langle E \rangle \sim 3.5$  GeV on-axis beam
- variety of targets (CH, Pb, Fe)
- Small acceptance due to MINOS ND
- charge separation by MINOS ND
- internal flux constraint (DIS,  $\nu$ -e)



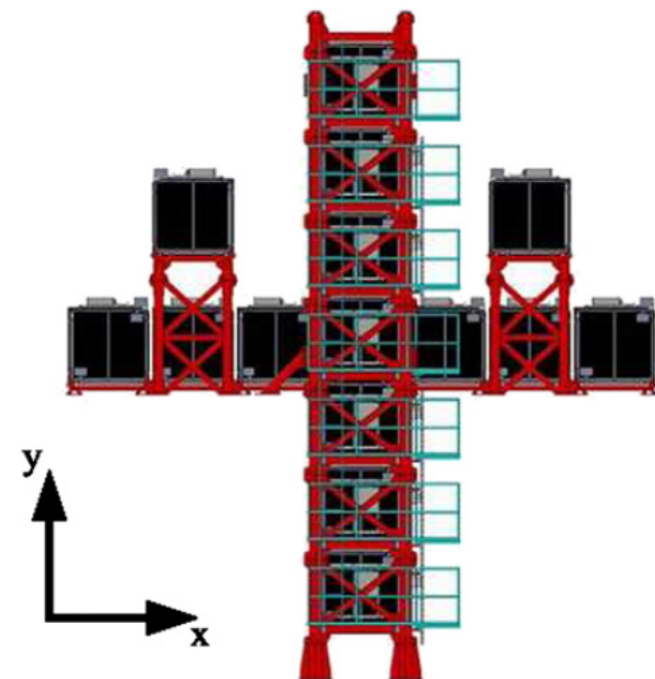
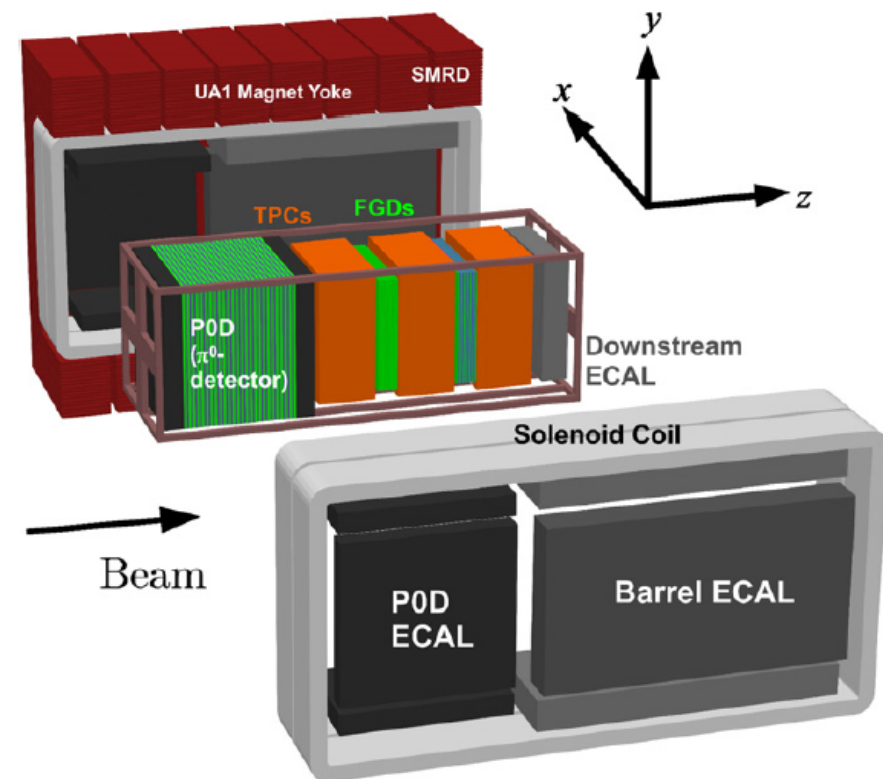
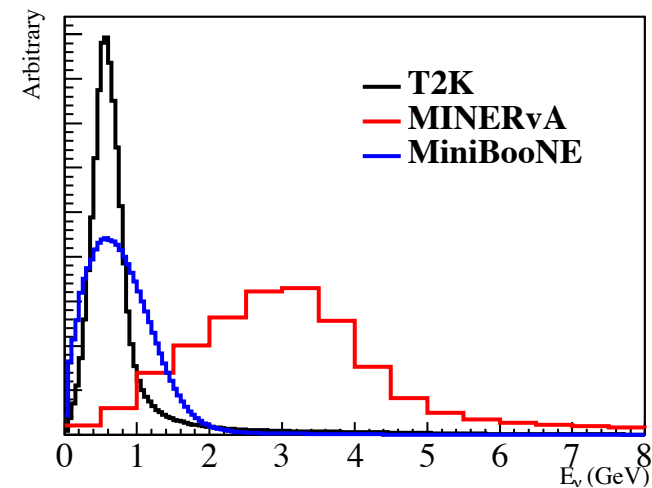
1.  $\nu$ -interaction
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3. Resonance
4. SIS, DIS
5. Conclusion



## 2. T2K near detectors

INGRID, FGD, POD, ECal, TPC, SMRD, Super-K

- Plastic scintillation trackers (except gas TPC)
- 0.2T magnet for momentum measurement
- $\langle E \rangle \sim 600$  MeV off-axis beam
- variety of targets (CH, H<sub>2</sub>O, Pb, Ar)
- limited coverage (combination of sub-detectors)

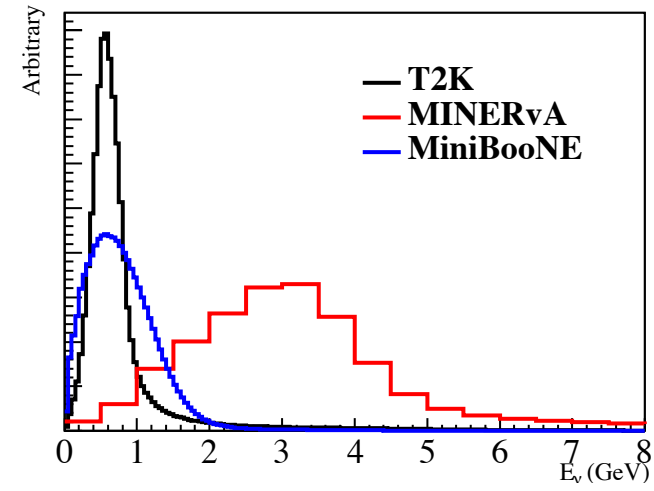




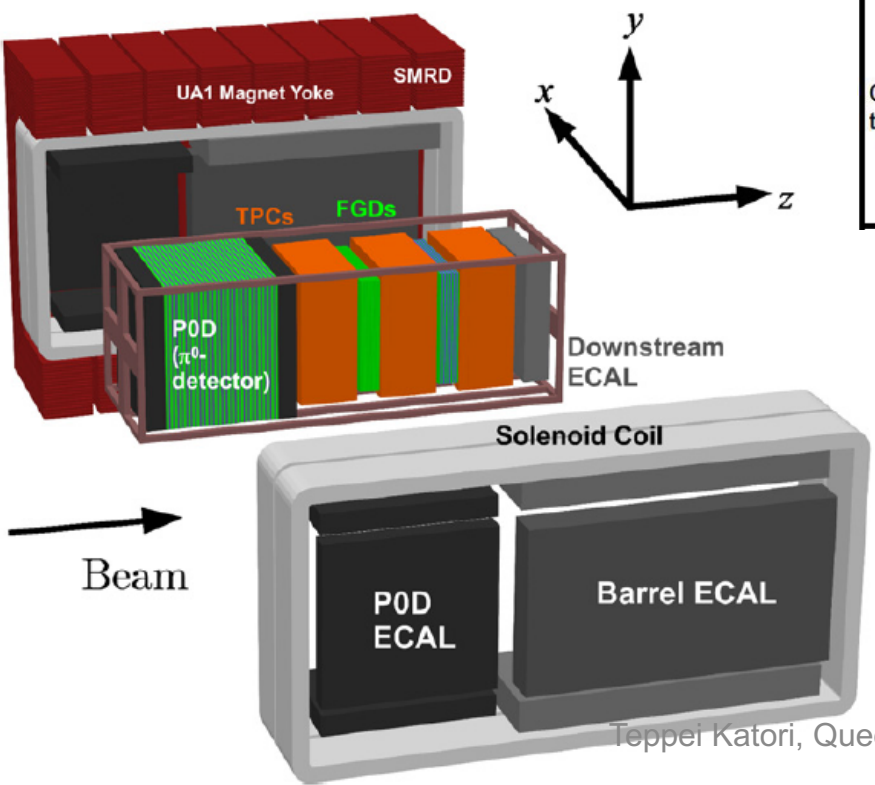
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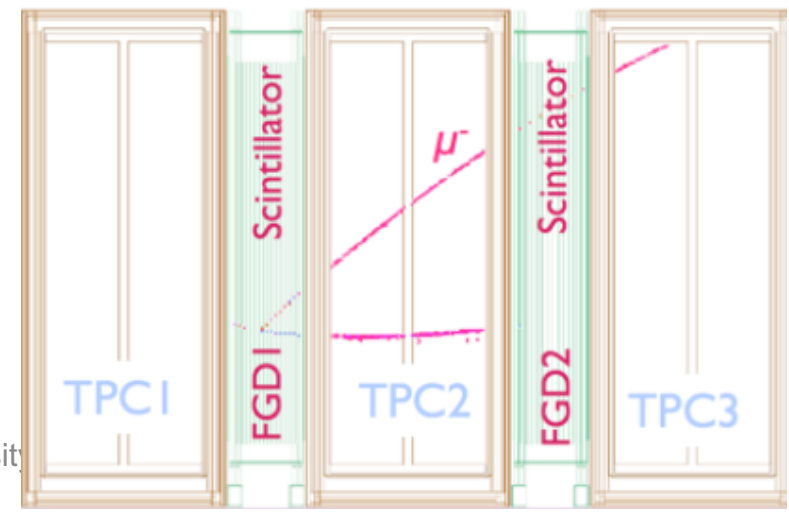


neutrino CC0 $\pi$  double differential cross sections

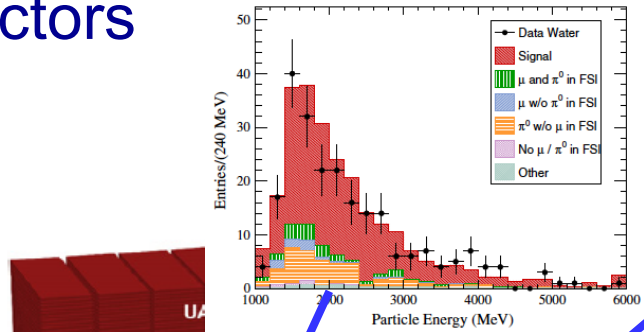
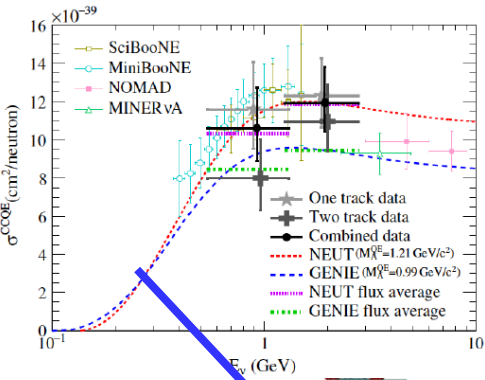


	(1)	(2)	(3)	(4a)	(4b)
CCQE topology					

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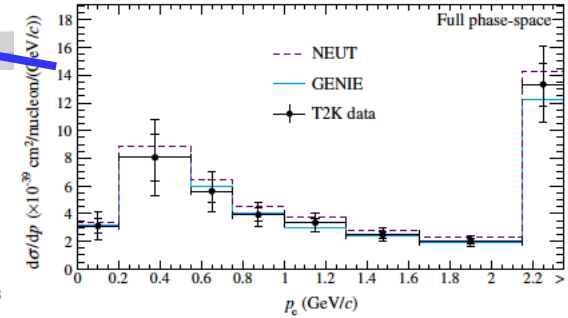
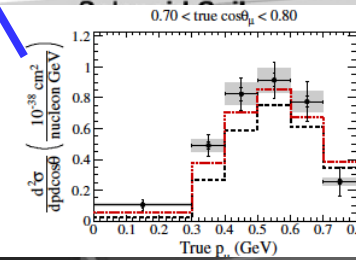
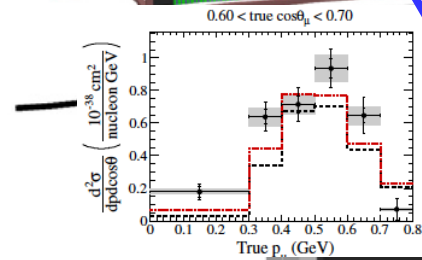
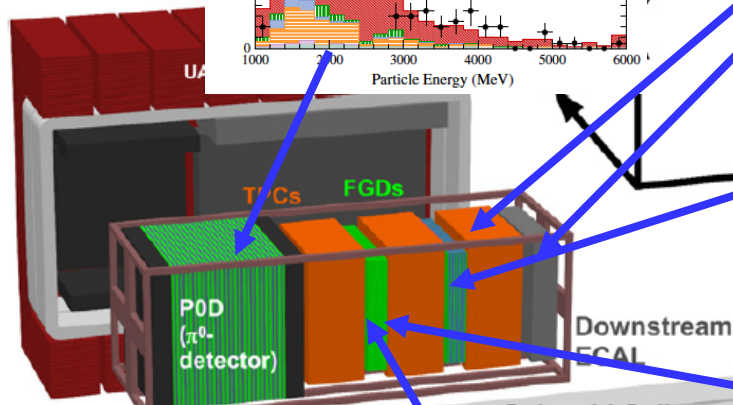
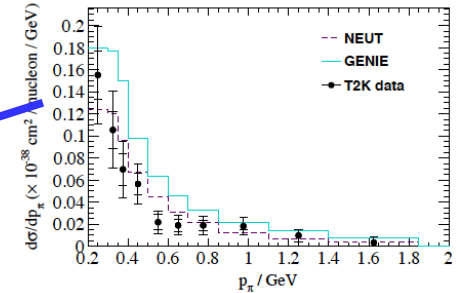


## 2. T2K near detectors



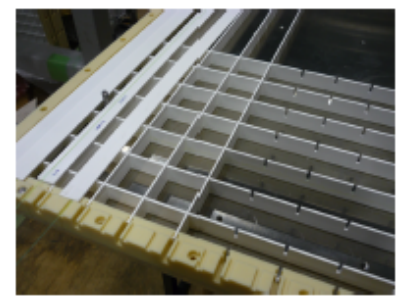
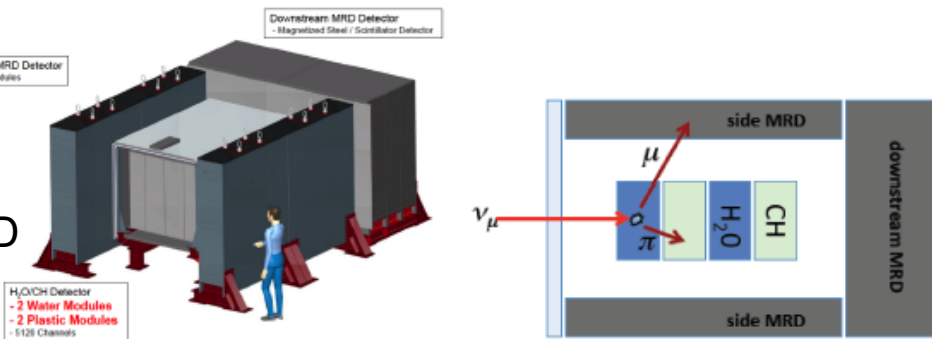
Target dependent measurement

- Ar (TPC gas)
- Pb (ECal)
- etc



### WAGASCI

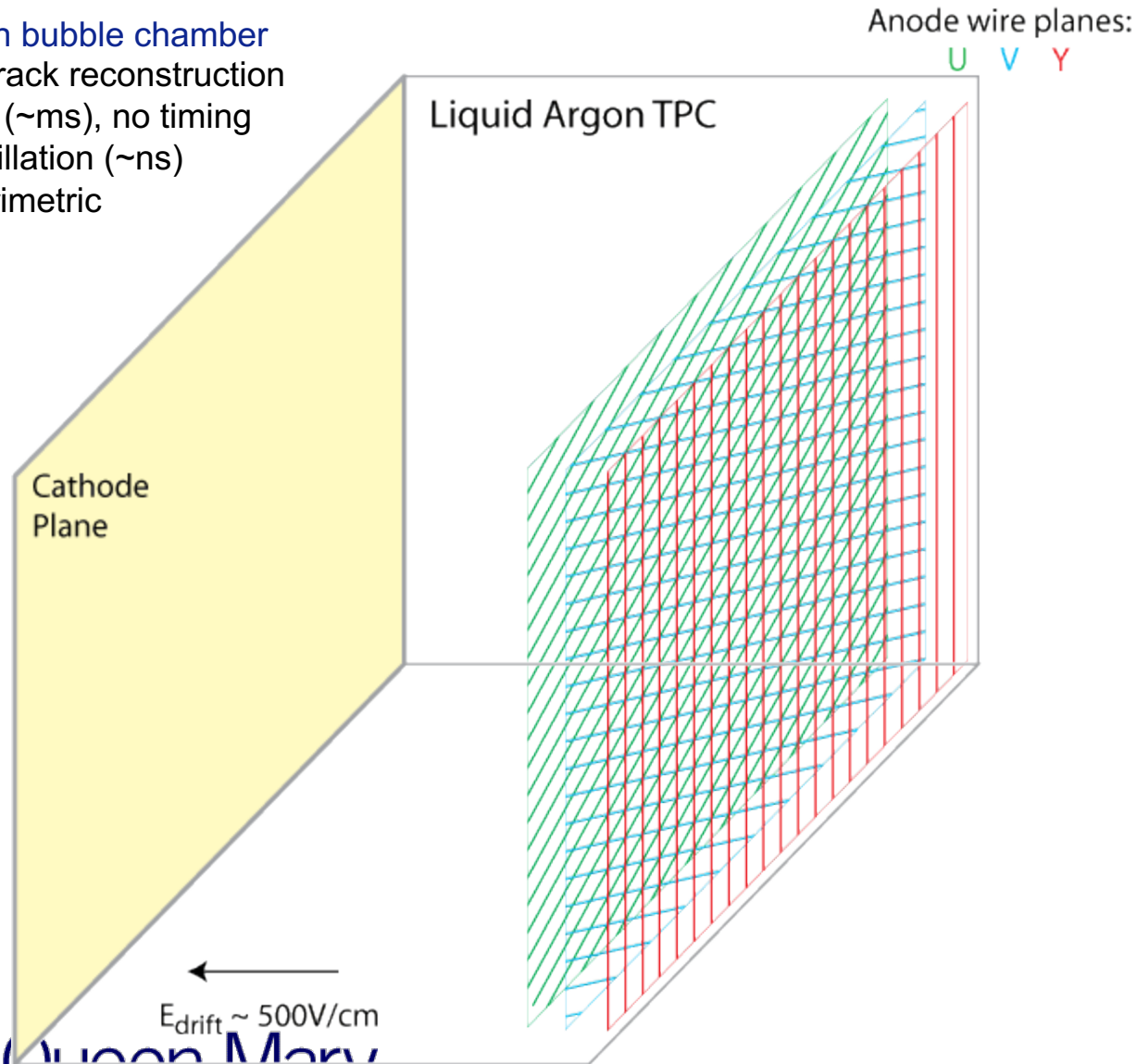
- YNU initiative
- Water target
- x-y-z tracker
- magnetized MRD



1.  $\nu$ -interaction
2. CCQE
3. Resonance
4. SIS, DIS
5. Conclusion

## 2. Liquid Argon Time Projection Chamber (LArTPC)

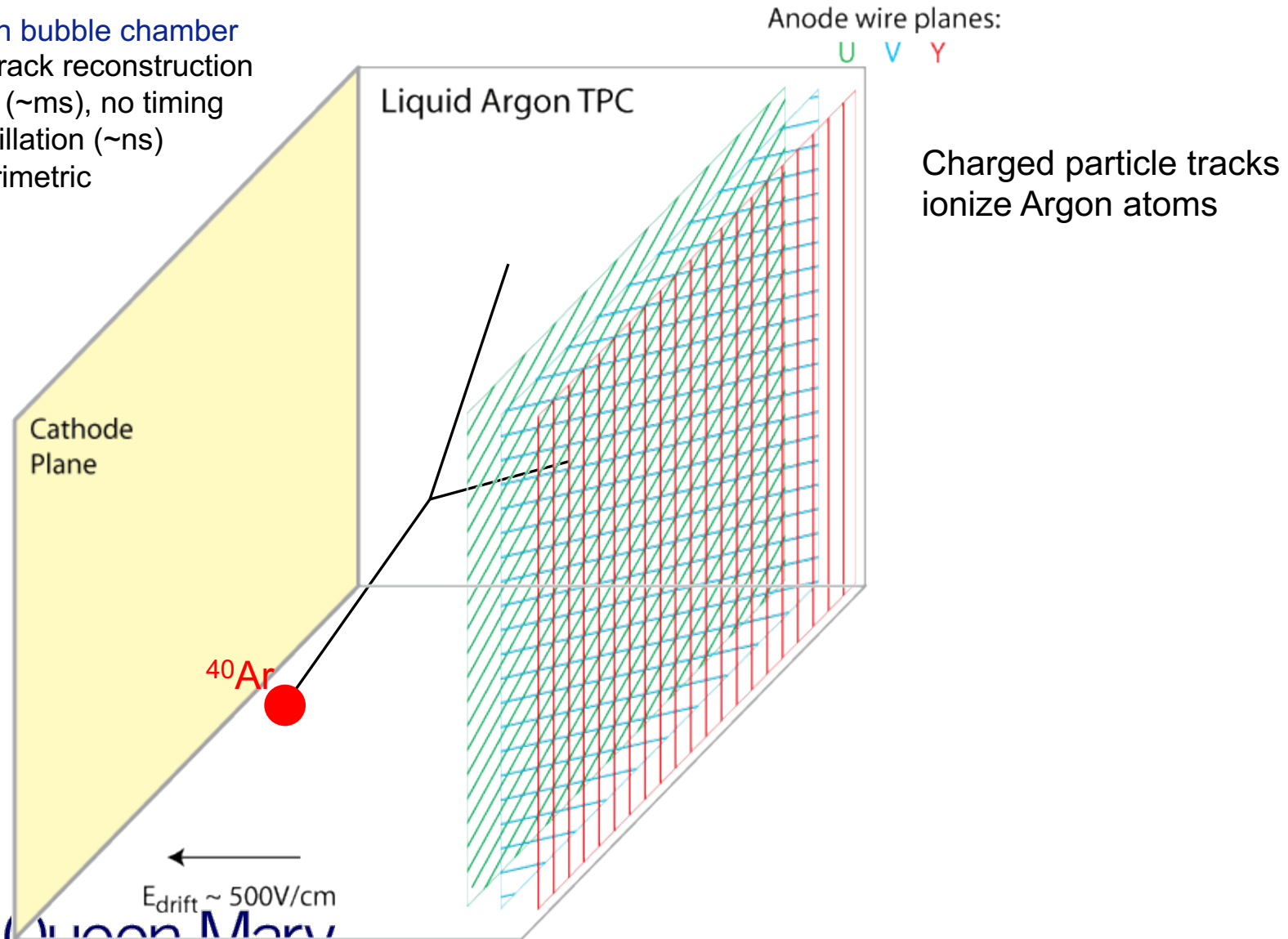
- Modern bubble chamber
- 3-d track reconstruction
  - slow ( $\sim$ ms), no timing
  - scintillation ( $\sim$ ns)
  - calorimetric



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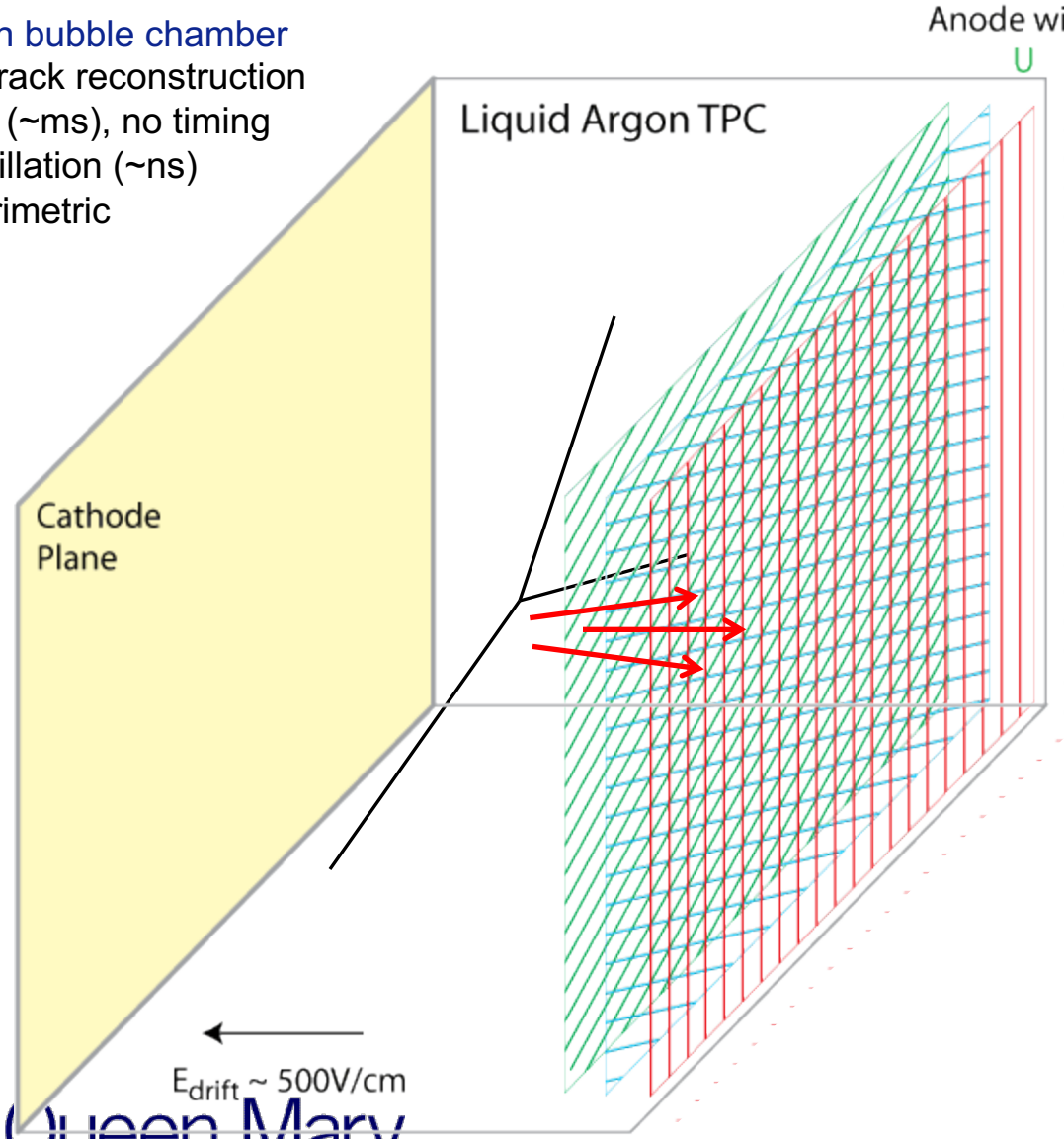


Charged particle tracks  
ionize Argon atoms

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Charged particle tracks ionize Argon atoms  
 Scintillation light ( $\sim$ ns) is detected by PMTs at same time

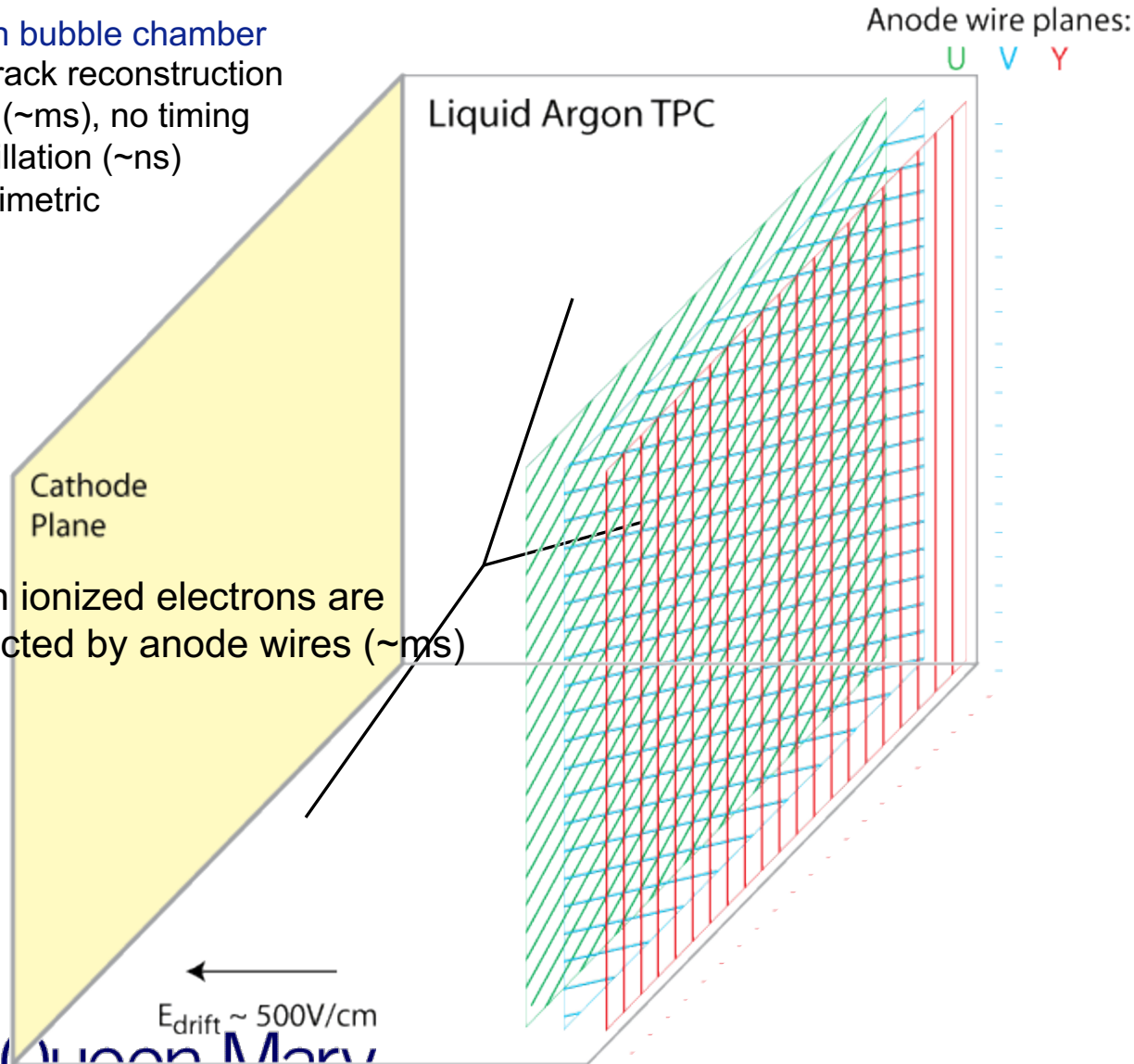


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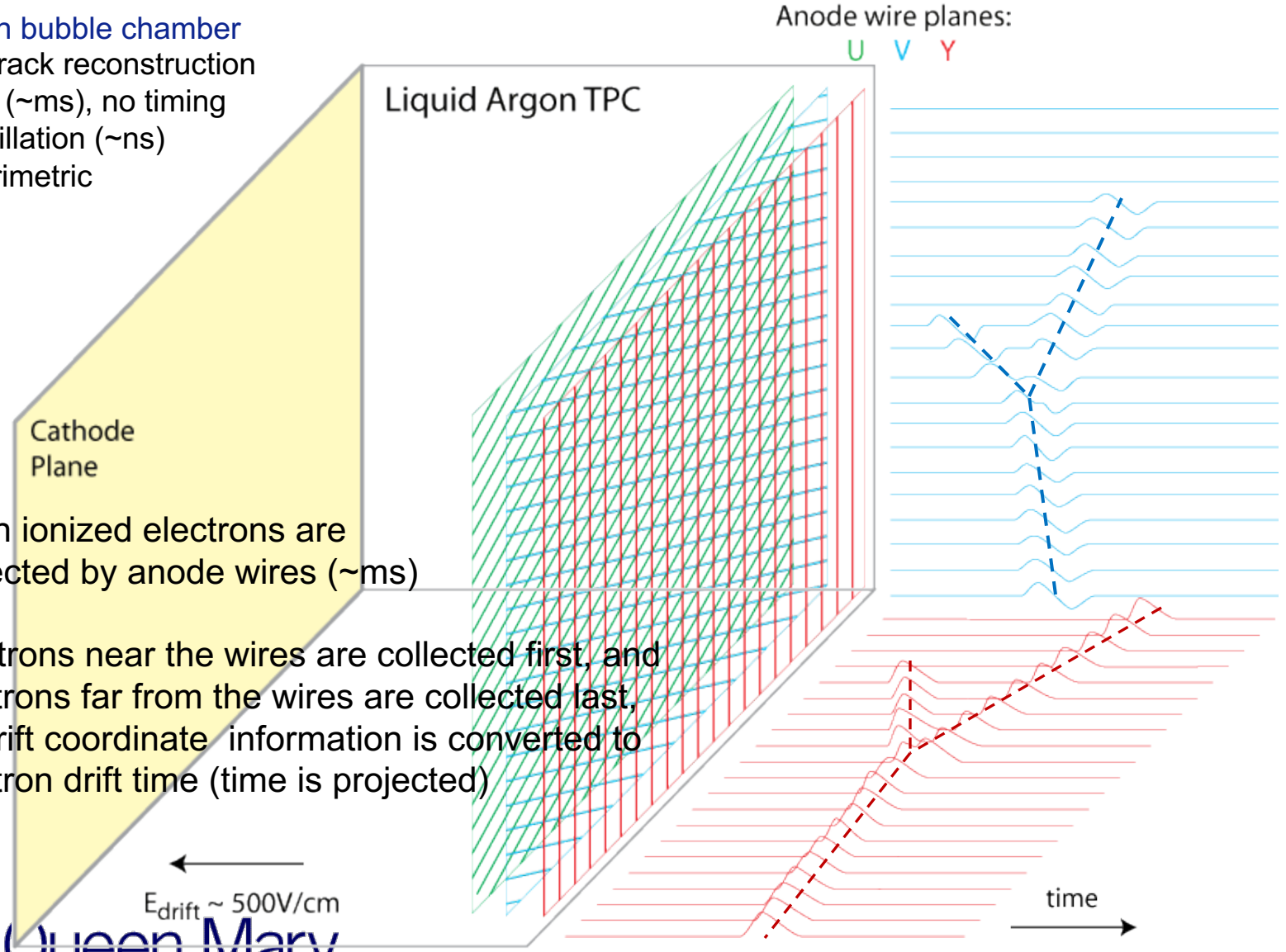
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Modern bubble chamber

- 3-d track reconstruction
- slow ( $\sim$ ms), no timing
- scintillation ( $\sim$ ns)
- calorimetric

Then ionized electrons are collected by anode wires ( $\sim$ ms)

Electrons near the wires are collected first, and electrons far from the wires are collected last, so drift coordinate information is converted to electron drift time (time is projected)



## 2. MicroBooNE

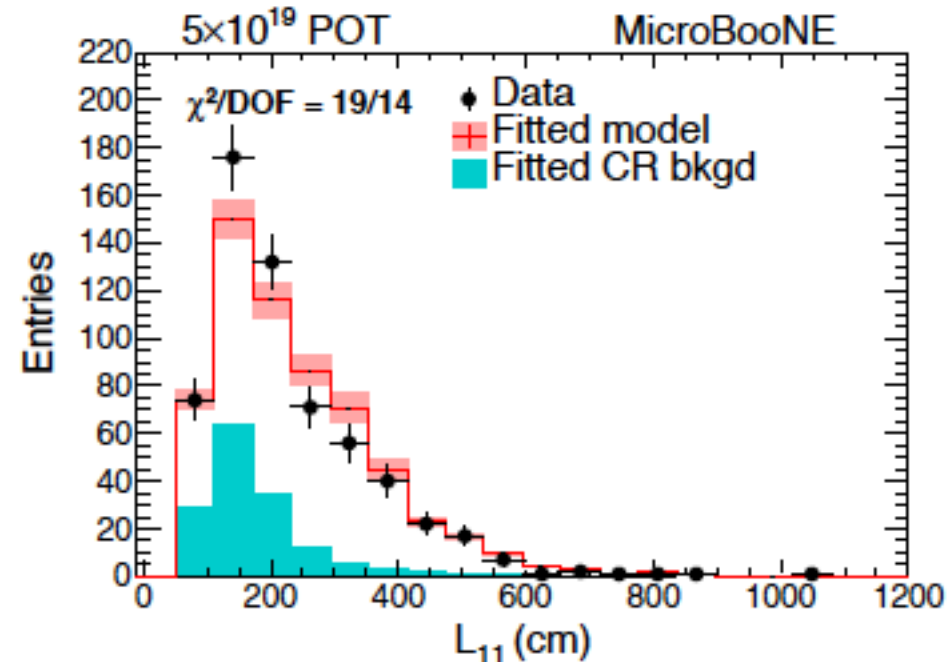
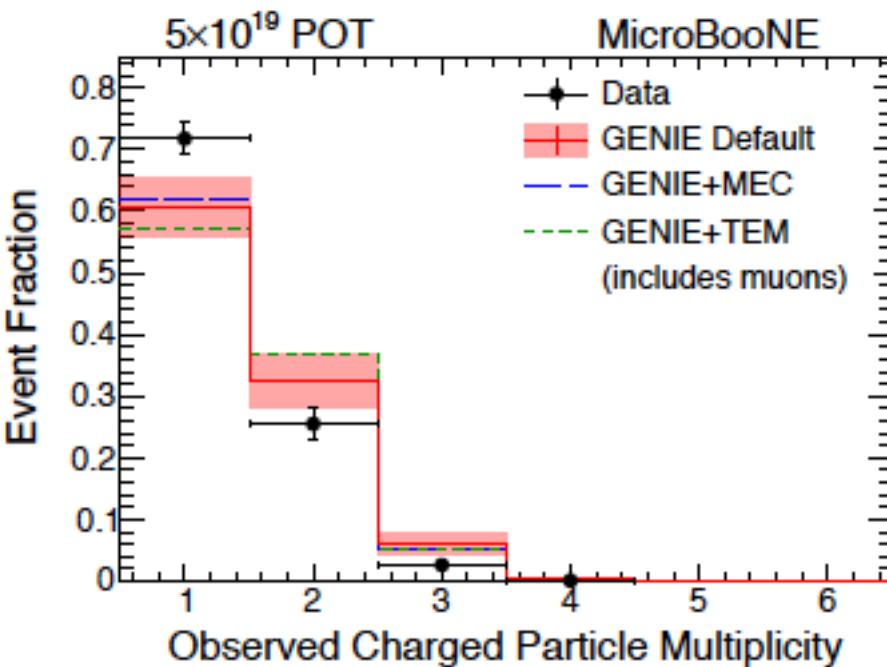
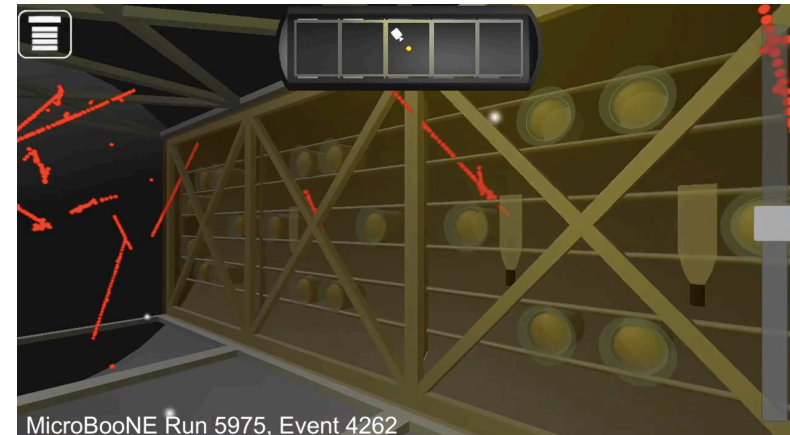
### 86 ton LArTPC

- technology for DUNE experiment
- $\langle E \rangle \sim 800$  MeV BNB on-axis beam
- Single phase LArTPC, 3-wire-plane reading
- 3mm pitch
- ArgoNeuT, SBND, protoDUNE, LArIAT...

VENu (Virtual Environment of Neutrinos)

<http://venu.physics.ox.ac.uk/>

- MicroBooNE data event display app

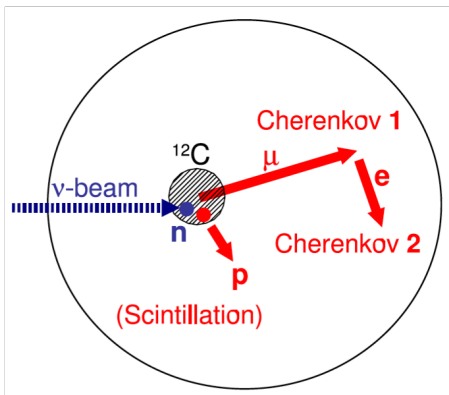




## 2. Type of neutrino detectors

### Cherenkov neutrino detector

- MiniBooNE
- Super-Kamiokande



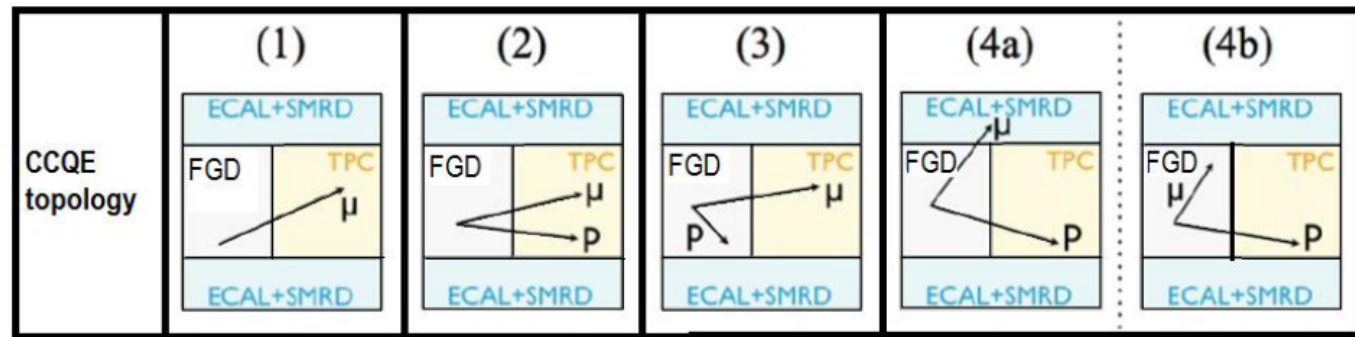
- $4\pi$  coverage
- not good to measure multi-tracks
- calorimetric measurement (scintillation)

### Liquid argon TPC neutrino detector

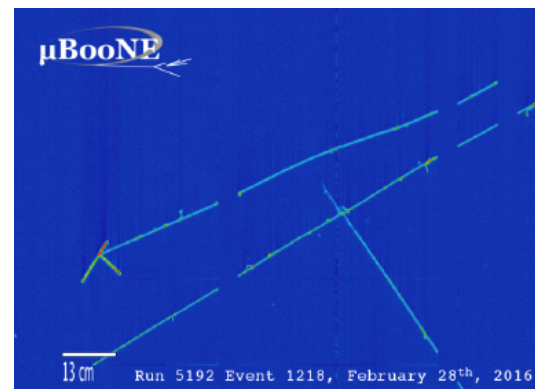
- MicroBooNE, ArgoNeuT, SBND
- $4\pi$  coverage (Cherenkov)
- multi-track, vertex activity (segmented tracker)
- calorimetric (scintillator)
- no timing ( $\sim$ ms)

### Tracker neutrino detector

- K2K, T2K near detectors
- MINERvA



- multi-track measurements
- vertex activity measurement (high resolution)
- efficiency depends on topology



1. Neutrino interaction physics
2. Neutrino scattering experiments
3. Charged-Current Quasi-Elastic (CCQE) interaction
4. Resonance Single Pion Production
5. Shallow Inelastic Scattering (SIS)
6. Conclusions

Topical Review

## Neutrino–nucleus cross sections for oscillation experiments

Teppei Katori<sup>1,4,5</sup> and Marco Martini<sup>2,3,4,5</sup>

<sup>1</sup>School of Physics and Astronomy, Queen Mary University of London, London, United Kingdom

<sup>2</sup>ESNT, CEA, IRFU, Service de Physique Nucléaire, Université de Paris-Saclay, F-91191 Gif-sur-Yvette, France

<sup>3</sup>Department of Physics and Astronomy, Ghent University, Proeftuinstraat 86, B-9000 Gent, Belgium



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Review

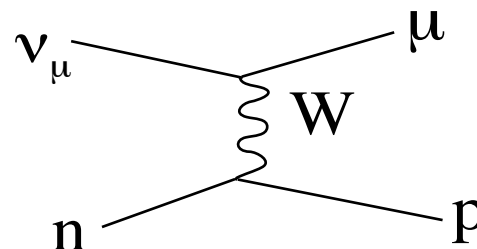
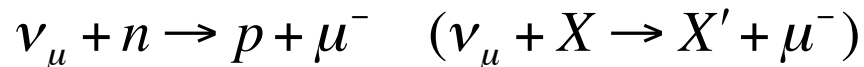
## NuSTEC<sup>1</sup> White Paper: Status and challenges of neutrino–nucleus scattering

L. Alvarez-Ruso<sup>a</sup>, M. Sajjad Athar<sup>b</sup>, M.B. Barbaro<sup>c</sup>, D. Cherdack<sup>d</sup>, M.E. Christy<sup>e</sup>, P. Coloma<sup>f</sup>, T.W. Donnelly<sup>g</sup>, S. Dytman<sup>h</sup>, A. de Gouvêa<sup>i</sup>, R.J. Hill<sup>j,f</sup>, P. Huber<sup>k</sup>, N. Jachowicz<sup>l</sup>, T. Katori<sup>m</sup>, A.S. Kronfeld<sup>f</sup>, K. Mahn<sup>n</sup>, M. Martini<sup>o</sup>, J.G. Morfín<sup>f,\*</sup>, J. Nieves<sup>a</sup>, G.N. Perdue<sup>f</sup>, R. Petti<sup>p</sup>, D.G. Richards<sup>q</sup>, F. Sánchez<sup>r</sup>, T. Sato<sup>s,t</sup>, J.T. Sobczyk<sup>u</sup>, G.P. Zeller<sup>f</sup>



### 3. Charged Current Quasi-Elastic scattering (CCQE)

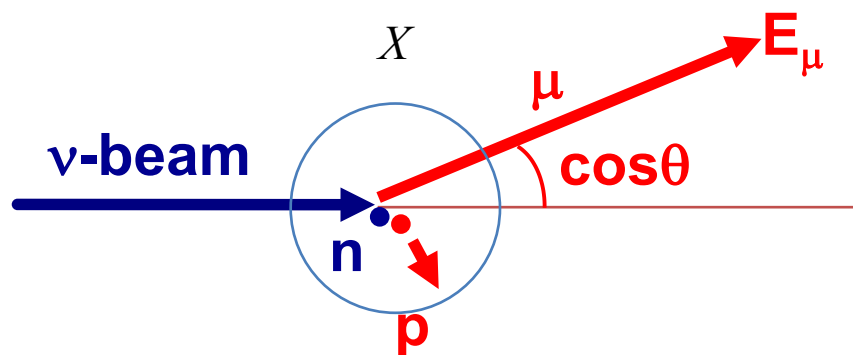
The simplest and the most abundant interaction around  $\sim 1$  GeV.



Neutrino energy is reconstructed from the observed lepton kinematics

“QE assumption”

1. assuming neutron at rest
2. assuming interaction is CCQE



$$E_{\nu}^{QE} = \frac{ME_{\nu} - 0.5m_{\mu}^2}{M - E_{\mu} + p_{\mu}\cos\theta}$$

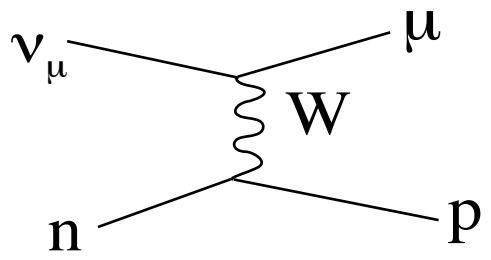
CCQE is the single most important channel of neutrino oscillation physics  
T2K, NOvA, microBoonE, Hyper-Kamiokande, DUNE (2nd maximum)...etc

# 3. CCQE puzzle

The simplest and the most abundant interaction around  $\sim 1$  GeV.

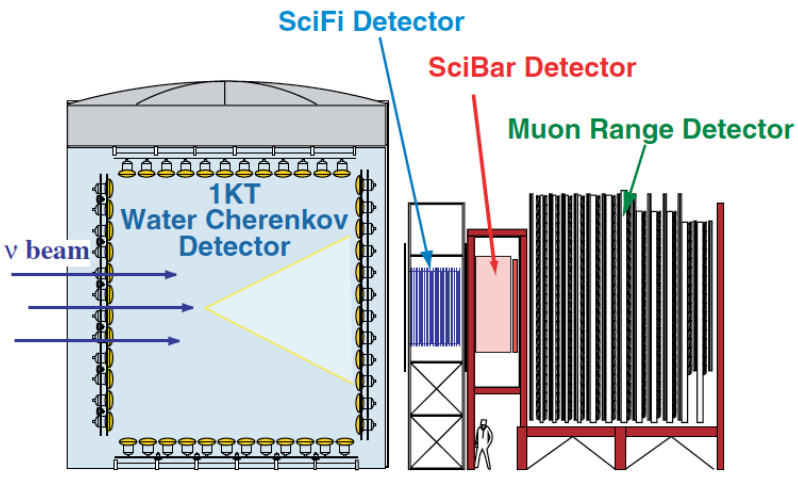
## CCQE puzzle

- 1. low Q2 suppression  $\rightarrow$  Low forward efficiency? (detector)
- 2. high Q2 enhancement  $\rightarrow$  MA>1.0 GeV? (physics)
- 3. large normalization  $\rightarrow$  ??? (flux?)

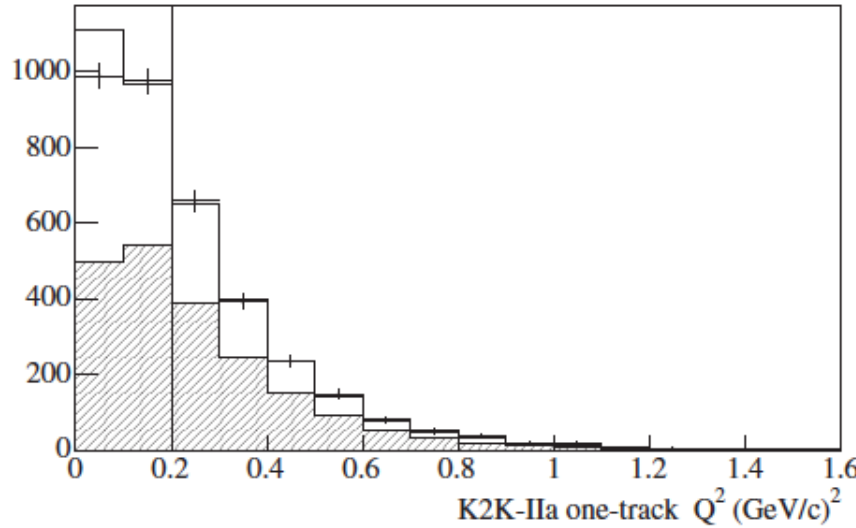


## K2K

- Scintillation tracker
- $\langle E \rangle \sim 1.3$  GeV
- The first long baseline neutrino oscillation experiment



K2K near detector CCQE candidate

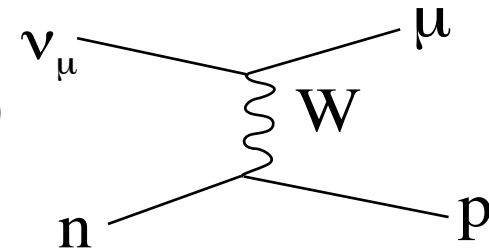


### 3. CCQE puzzle

The simplest and the most abundant interaction around  $\sim 1$  GeV.

#### CCQE puzzle

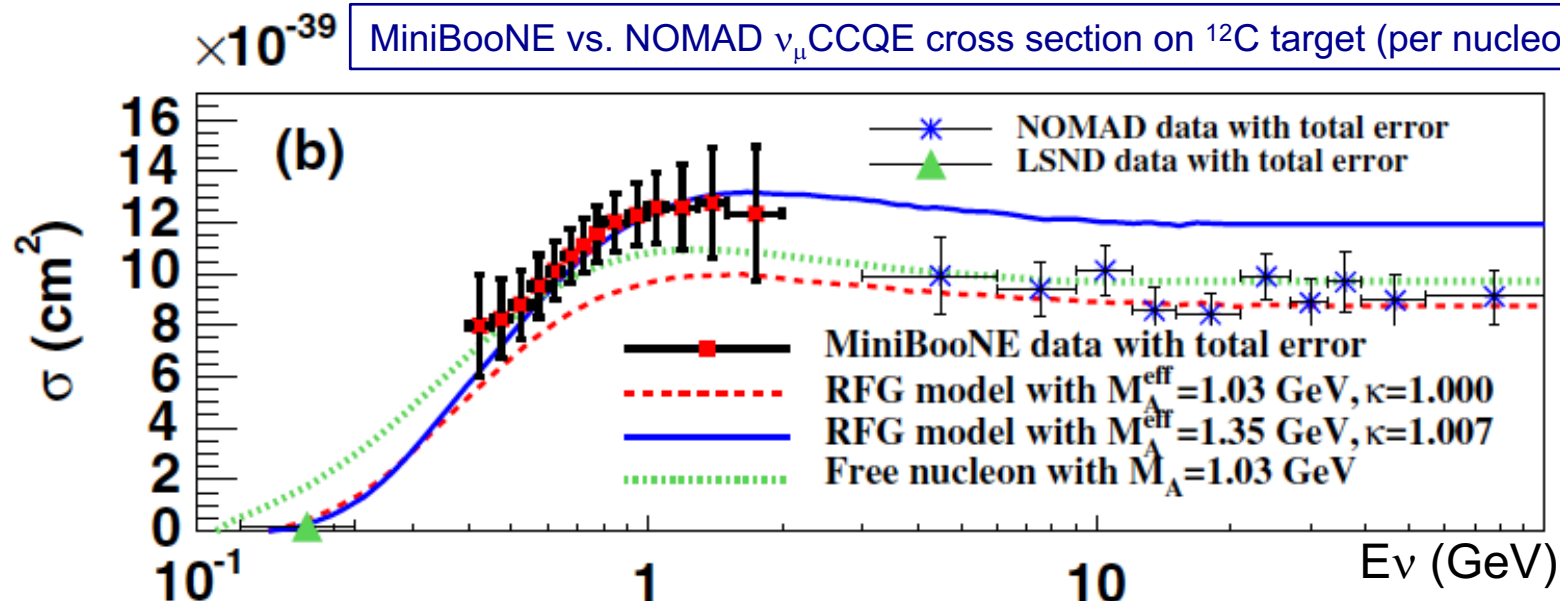
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CCQE interaction on nuclear targets are precisely measured by electron scattering

- Lepton universality = precise prediction for neutrino CCQE cross-section...?

$\rightarrow$  Data disagree with theory both **shape (both low  $Q^2$  and high  $Q^2$ ) and normalization**



### 3. Flux-integrated differential cross-section

We want to study the cross-section model, but we don't want to implement every models in the world in our simulation...

We want theorists to use our data, but flux-unfolding (model-dependent process) lose details of measurements...

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We want theorists to use our data, but flux-unfolding (model-dependent process) lose details of measurements...

Now, all modern experiments publish **flux-integrated differential cross-section**

- Detector efficiency corrected event rate
- Theorists can reproduce the data with neutrino flux tables from experimentalists
- Minimum model dependent, useful for nuclear theorists

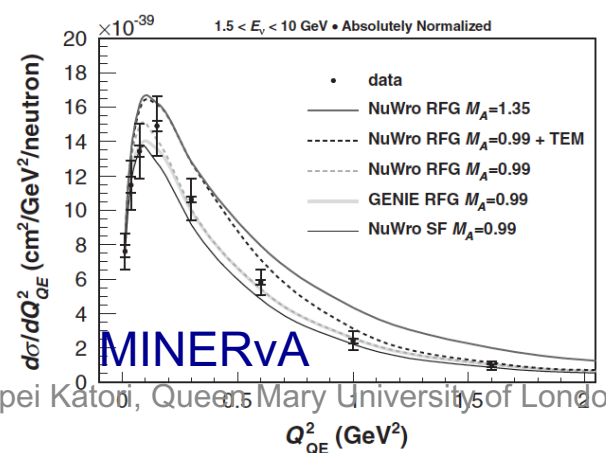
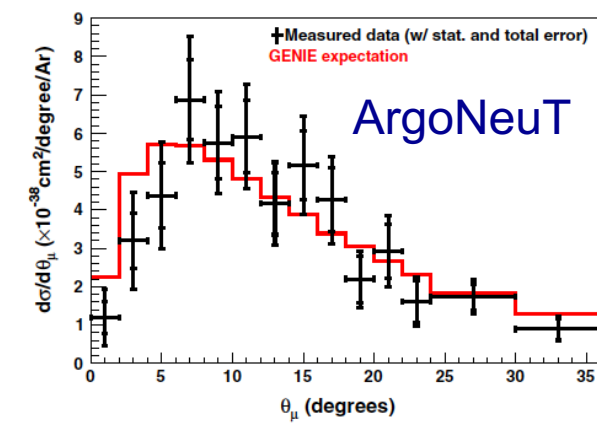
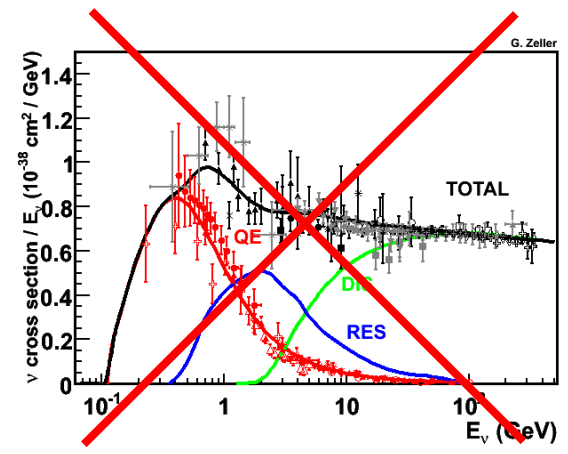
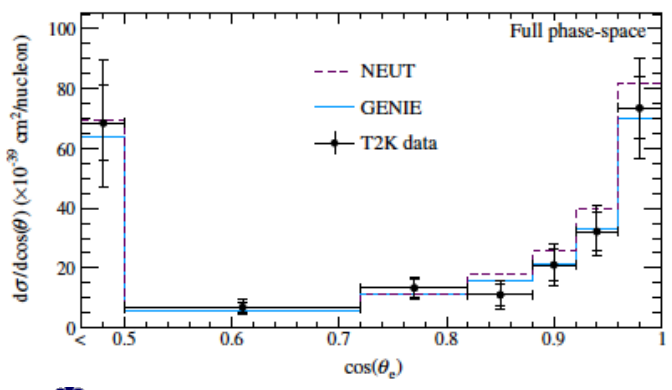
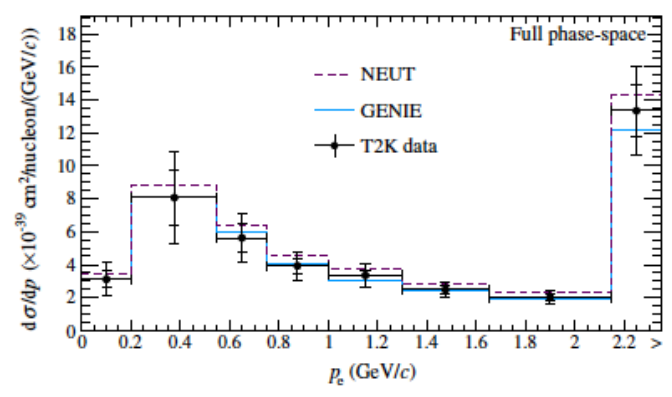
These data play major roles to study/improve neutrino interaction models by theorists

# 3. Flux-integrated differential cross-section

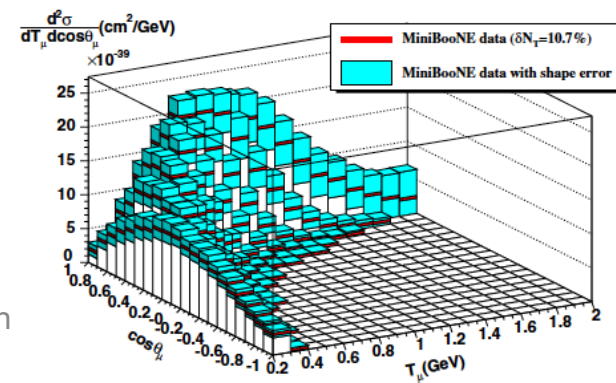
Various type of flux-integrated differential cross-section data are available from all modern neutrino experiments.

→ Now PDG has a summary of neutrino cross-section data! (since 2012)

## T2K



## MiniBooNE





### 3. Flux-integrated differential cross-section

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$$\frac{d^2\sigma}{dT_l d\cos\theta} = \frac{1}{\int \Phi(E_\nu) dE_\nu} \int dE_\nu \left[ \frac{d^2\sigma}{d\omega d\cos\theta} \right]_{\omega=E_\nu-E_l} \Phi(E_\nu)$$

Theorists



Experimentalists

$$\frac{d^2\sigma}{dT_l \cos\theta} = \frac{\sum_j U_{ij}(d_j - b_j)}{\Phi \cdot T \cdot \epsilon_i \cdot (\Delta T_l, \Delta \cos\theta)_i}$$

flux-integrated differential cross-section data allow theorists and experimentalists talk first time in neutrino interaction physics history

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# 3. The solution of CCQE puzzle

## Presence of 2-body current

- Martini et al showed 2p-2h effect can add up 30-40% more cross section!

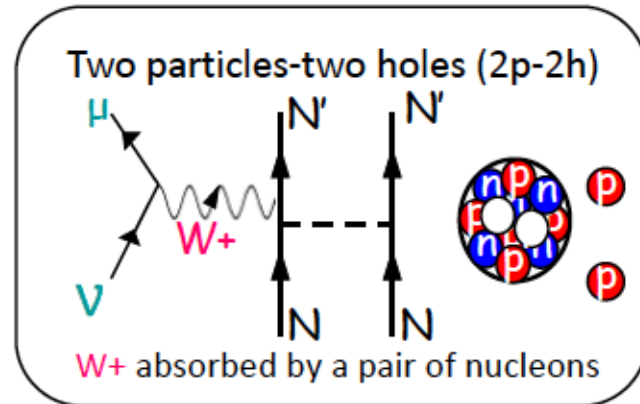
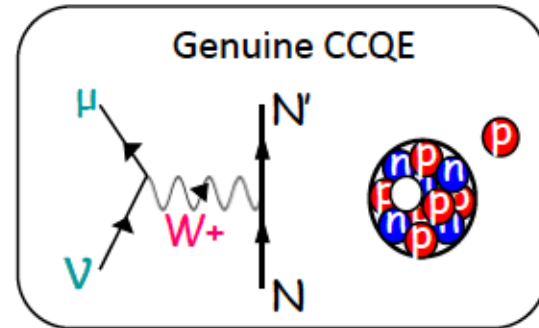
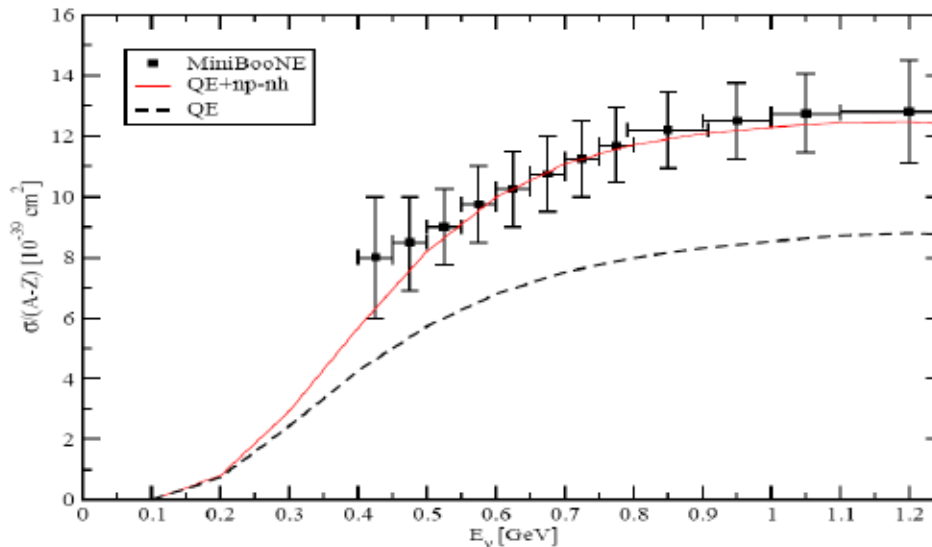


What experimentalists call "CCQE" is not genuine CCQE!

Marco Martini (Saclay)

## An explanation of this puzzle

Inclusion of the multinucleon emission channel (np-nh)



- 1. v-interaction
- 2. CCQE
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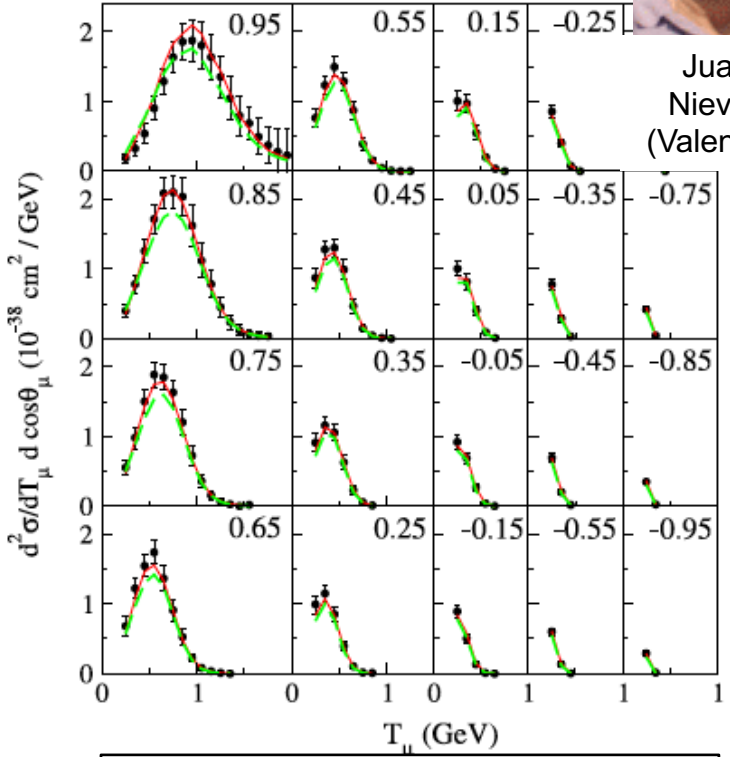
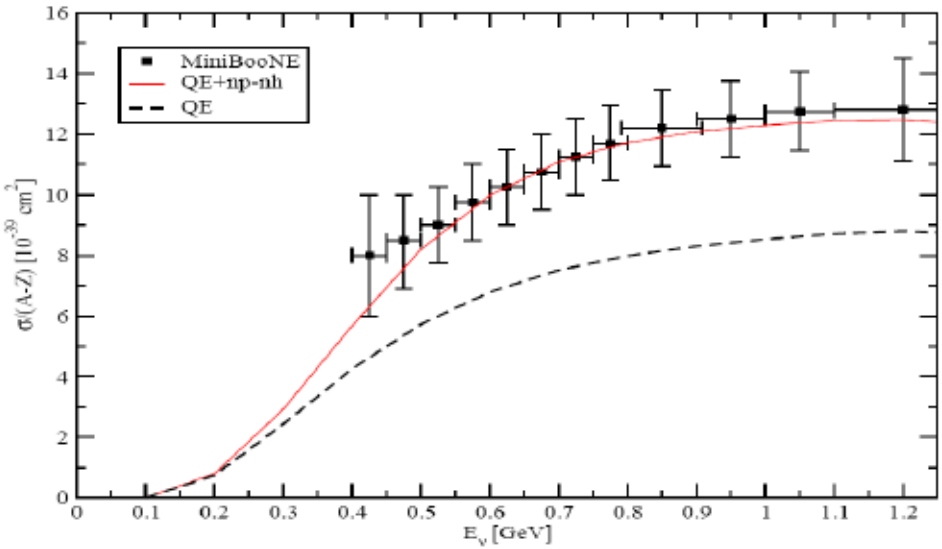
## An explanation of this puzzle

Inclusion of the multinucleon emission channel (np-nh)

The model is tuned with electron scattering data (no free parameter)



Juan Nieves (Valencia)



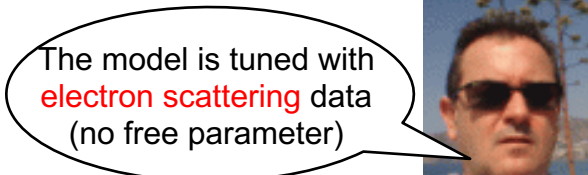
Valencia model vs. MiniBooNE CCQE double differential cross-section data

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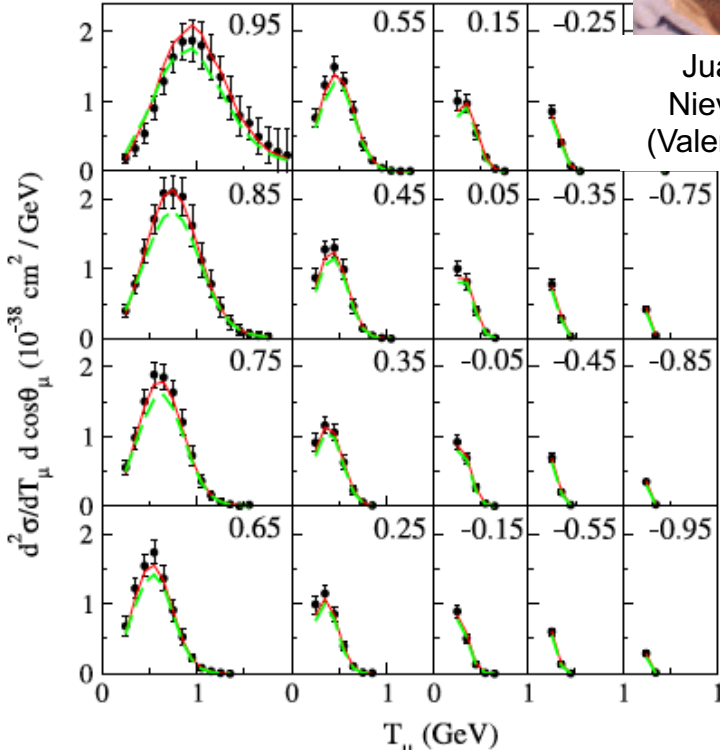
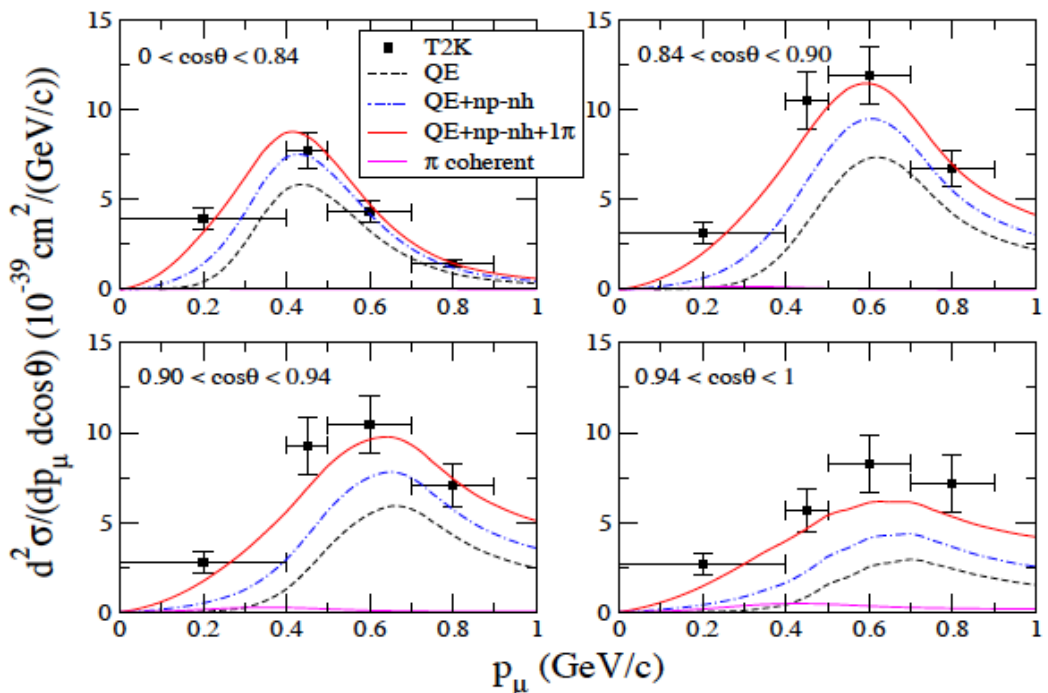
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Juan Nieves (Valencia)

Martini model vs. T2K CC double differential cross-section data



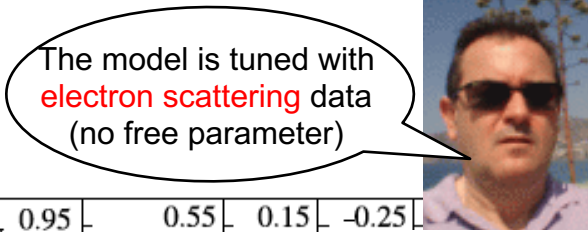
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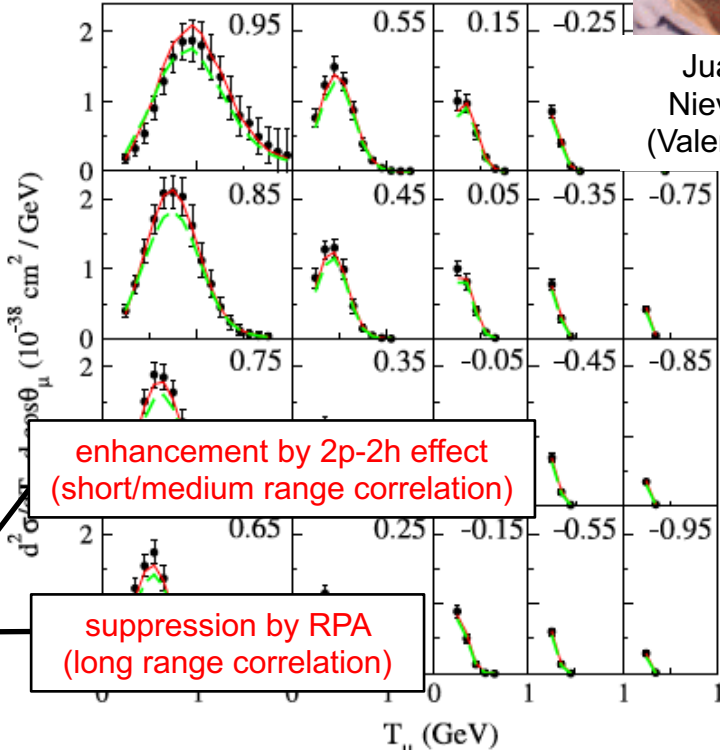
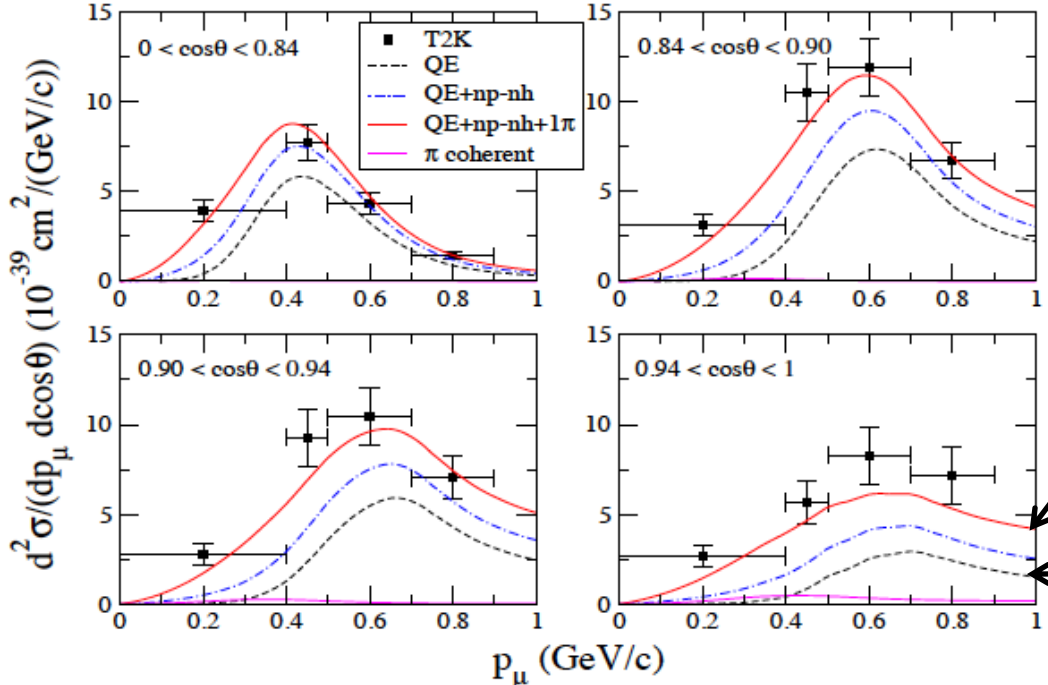
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Martini model vs. T2K CC double differential cross-section data



Valencia model vs. MiniBooNE CCQE double differential cross-section data

### 3. The solution of CCQE puzzle

#### Ab-initio calculation

- Green's function Monte Carlo (GFMC)
- Predicts energy levels of all light nuclei
- Consistent result with phenomenological models
- **neutron-proton short range correlation (SRC)**



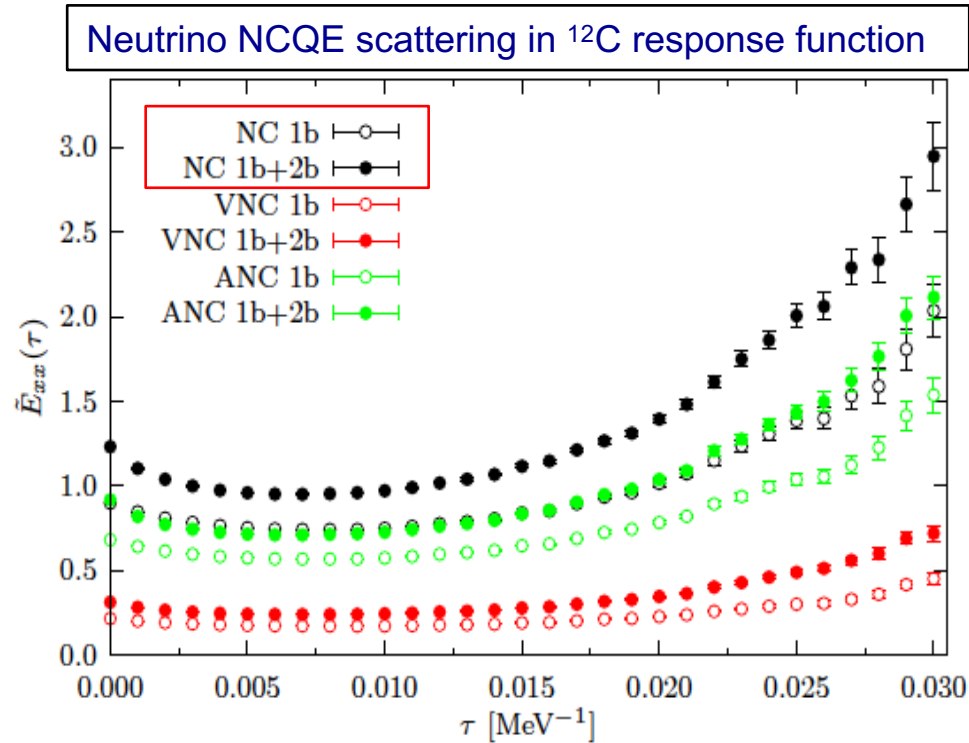
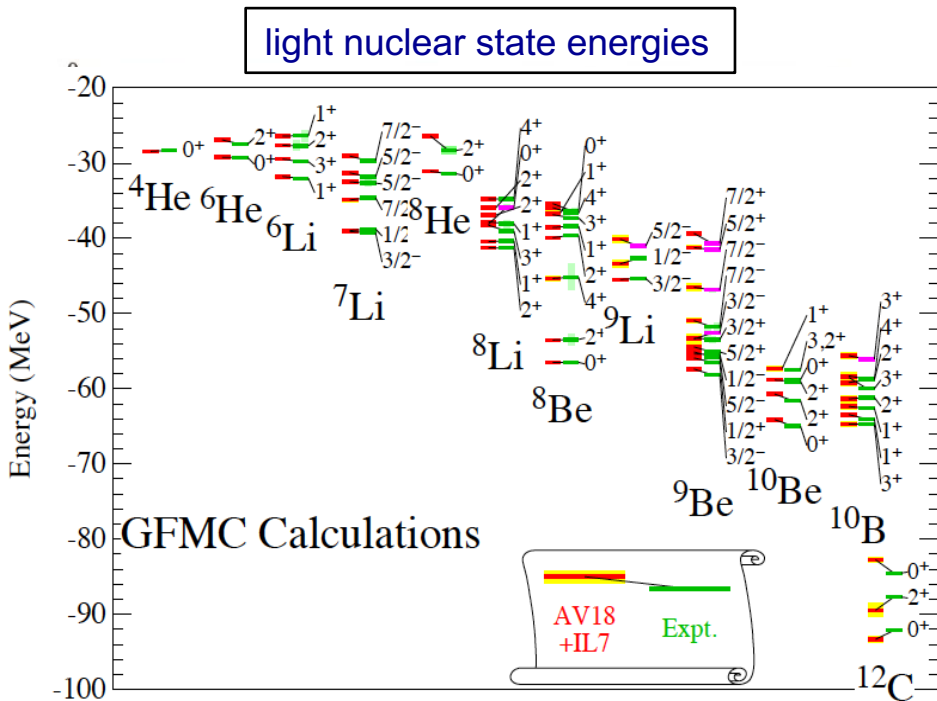
Ab initio calculation reproduce same feature

Alessandro Lovato (Argonne)

1. v-interaction
2. CCQE
3. Resonance
4. SIS, DIS
5. Conclusion

$$|\Psi_V\rangle = \mathcal{S} \prod_{i < j}^A \left[ 1 + \boxed{U_{ij}} + \sum_{k \neq i, j}^A \boxed{\tilde{U}_{ijk}^{TNI}} \right] |\Psi_J\rangle$$

2N potential (Av18)
3N potential (IL7)



# 3. The solution of CCQE puzzle

## Ab-initio calculation

- Green's function Monte Carlo (GFMC)
- Predicts energy levels of all light nuclei
- Consistent result with phenomenological models
- **neutron-proton short range correlation (SRC)**



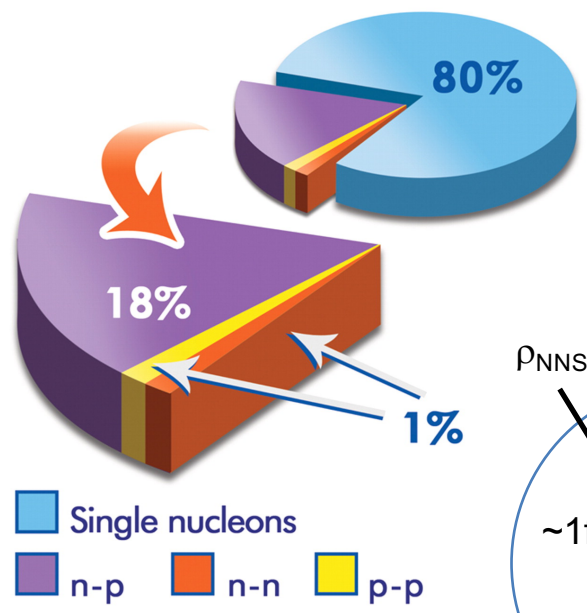
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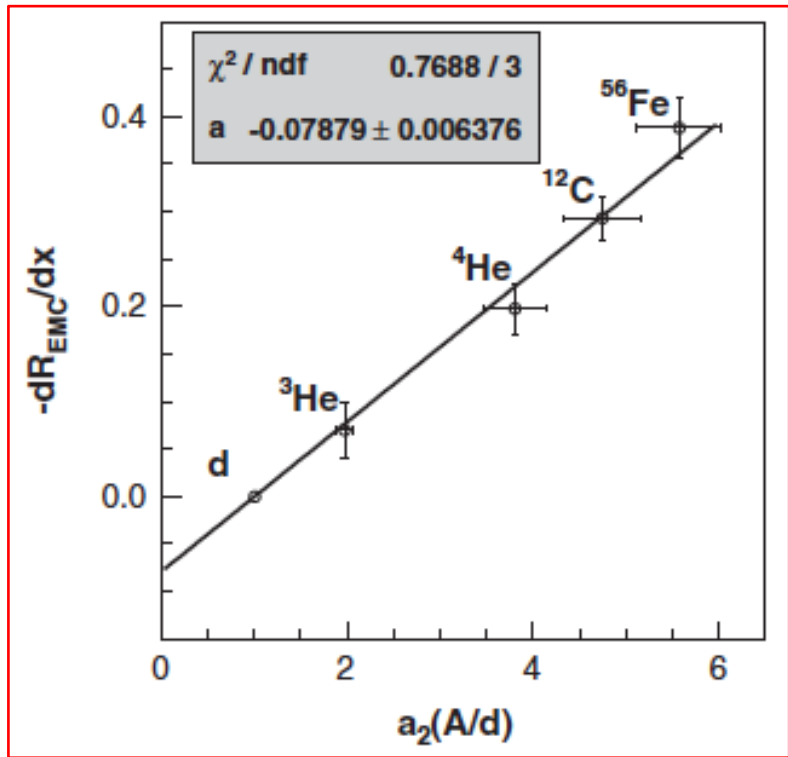
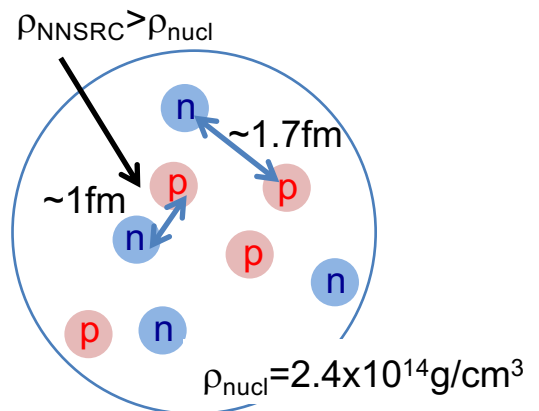
$$|\Psi_V\rangle = S \prod_{i < j} \left[ 1 + U_{ij} + \sum_{k \neq i, j} \tilde{U}_{ijk}^{TNI} \right] |\Psi_J\rangle$$

2N potential (Av18)
3N potential (IL7)



## Physics of SRC

- neutrino interaction
- $0\nu\beta\beta$
- astrophysics
- EMC effect
- etc



Nucleon correlation is a very hot topic in Particle Physics!



### 3. Summary of CCQE for oscillation physics

Community is converged: the origin of CCQE puzzle is multi-nucleon correlation

- Valencia MEC model is available in NEUT
- Implemented in GENIE, officially ready for GENIE v2.12

This moment...

- Valencia MEC model does not fit global neutrino data simultaneously (within generators)
- lepton-hadron correlations (STVs) from T2K and MINERVA reveal new information

large  $M_A$  error  $\rightarrow$  large nucleon correlation error

We have good theorists who make models,  
and good experimentalists who measure data,  
but we are still lacking people between them.

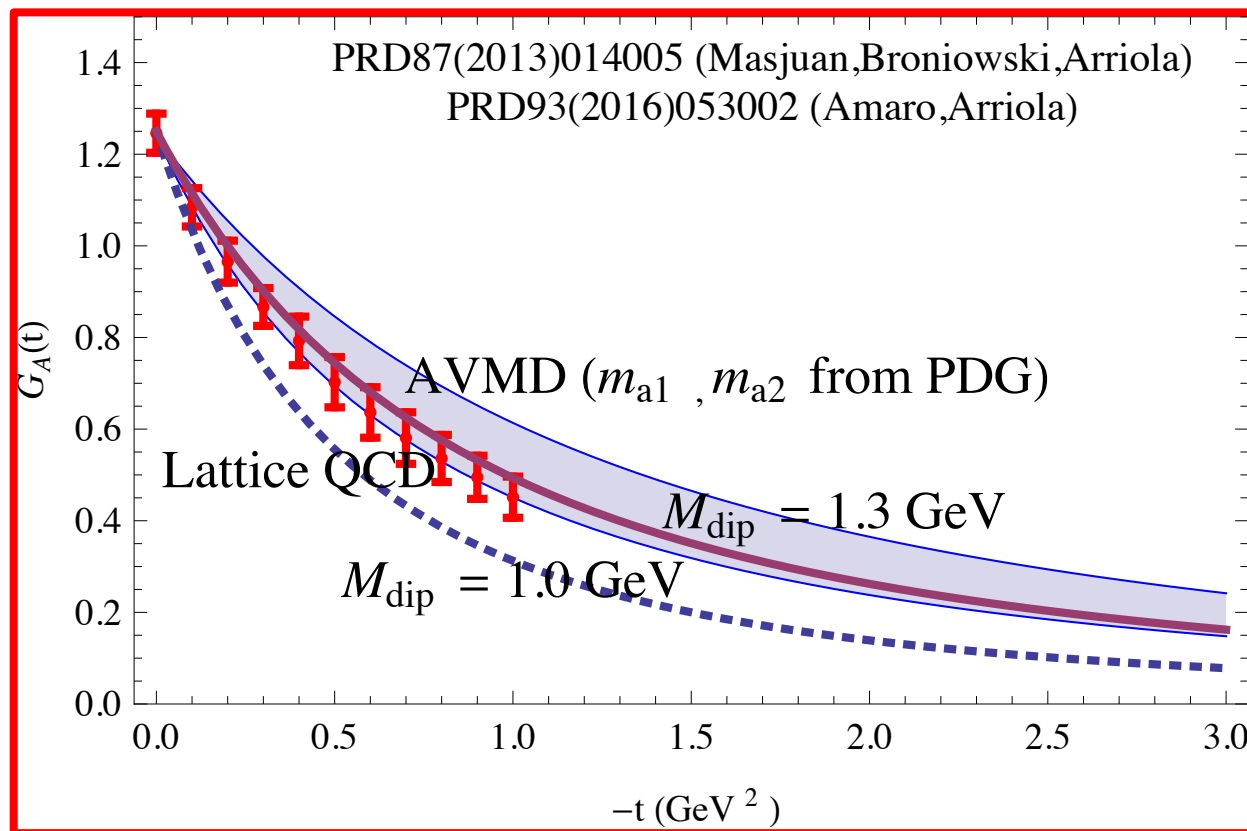


### 3. Summary of CCQE for oscillation physics

Community is converged: the origin of CCQE puzzle is multi-nucleon correlation?

- Lattice QCD prefers large MA
- Some top down axial form factor model prefers harder spectrum ( $\sim$ large MA)

The community is still confused with neutrino-nucleon scattering theory. It looks we are bit far from building a correct neutrino-nucleus scattering model.



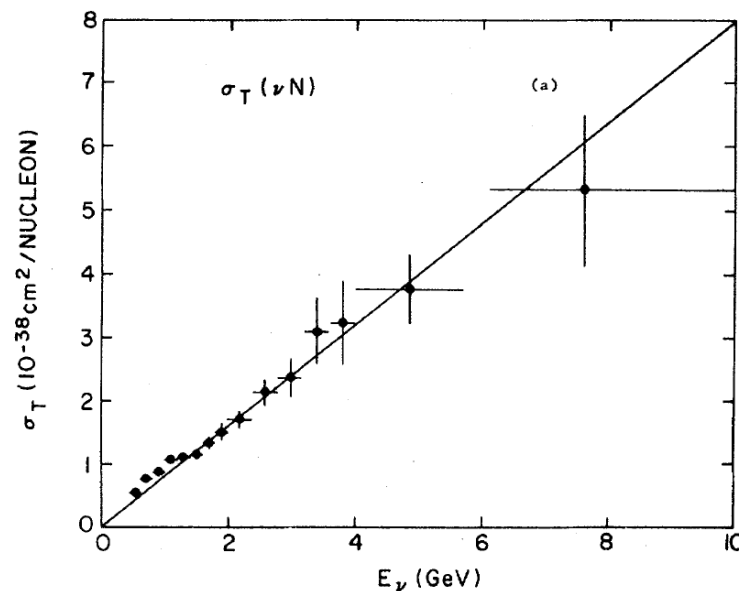
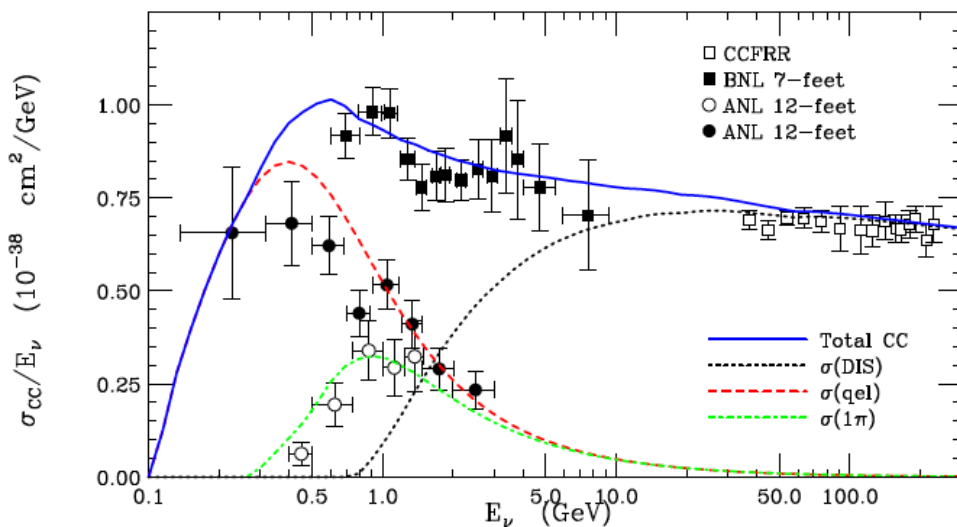
### 3. Dark age of neutrino interaction physics

- (1) Measure interaction rate
- (2) Divide by known cross section to obtain flux
- (3) use this flux, measure cross-section from measured rate

What you get? OF COURSE the cross section you assume!

Phys. Rev. D XXXXXXXXXX

The distribution of events in neutrino energy for the  $3C \nu d \rightarrow \mu^- pp_s$  events is shown in Fig. 4 together with the quasielastic cross section  $\sigma(\nu n \rightarrow \mu^- p)$  calculated using the standard  $V-A$  theory with  $M_A = 1.05 \pm 0.05$  GeV and  $M_V = 0.84$  GeV. **The absolute cross sections for the CC interactions have been measured using the quasielastic events and its known cross section.**<sup>4</sup>



1. Neutrino interaction physics
2. Neutrino scattering experiments
3. Charged-Current Quasi-Elastic (CCQE) interaction
4. Resonance Single Pion Production
5. Shallow Inelastic Scattering (SIS)
6. Conclusions

Topical Review

## Neutrino–nucleus cross sections for oscillation experiments

Teppei Katori<sup>1,4,5</sup> and Marco Martini<sup>2,3,4,5</sup>

<sup>1</sup>School of Physics and Astronomy, Queen Mary University of London, London, United Kingdom

<sup>2</sup>ESNT, CEA, IRFU, Service de Physique Nucléaire, Université de Paris-Saclay, F-91191 Gif-sur-Yvette, France

<sup>3</sup>Department of Physics and Astronomy, Ghent University, Proeftuinstraat 86, B-9000 Gent, Belgium



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Review

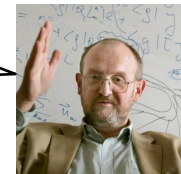
## NuSTEC<sup>1</sup> White Paper: Status and challenges of neutrino–nucleus scattering

L. Alvarez-Ruso<sup>a</sup>, M. Sajjad Athar<sup>b</sup>, M.B. Barbaro<sup>c</sup>, D. Cherdack<sup>d</sup>, M.E. Christy<sup>e</sup>, P. Coloma<sup>f</sup>, T.W. Donnelly<sup>g</sup>, S. Dytman<sup>h</sup>, A. de Gouvêa<sup>i</sup>, R.J. Hill<sup>j,f</sup>, P. Huber<sup>k</sup>, N. Jachowicz<sup>l</sup>, T. Katori<sup>m</sup>, A.S. Kronfeld<sup>f</sup>, K. Mahn<sup>n</sup>, M. Martini<sup>o</sup>, J.G. Morfín<sup>f,\*</sup>, J. Nieves<sup>a</sup>, G.N. Perdue<sup>f</sup>, R. Petti<sup>p</sup>, D.G. Richards<sup>q</sup>, F. Sánchez<sup>r</sup>, T. Sato<sup>s,t</sup>, J.T. Sobczyk<sup>u</sup>, G.P. Zeller<sup>f</sup>



## 4. Open question of neutrino interaction physics

The new data raised doubts in the areas well understood. The list of new puzzles is quite long and seems to be expanding...



Jan Sobczyk (Wroclaw)

### CCQE puzzle

- Low  $Q^2$  suppression, high  $Q^2$  enhancement, high normalization

### ANL-BNL puzzle

- Normalization difference between ANL and BNL bubble chamber pion data

### Coherent pion puzzle

- Is there charged current coherent pion production?

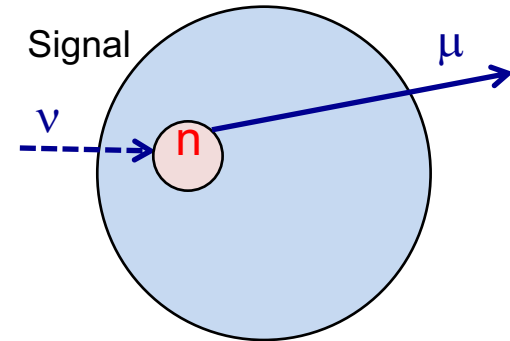
### Pion puzzle

- MiniBooNE and MINERvA pion kinematic data are incompatible under any models

Baryon resonance, pion production by neutrinos

## 4. non-QE background

non-QE background  $\rightarrow$  shift spectrum

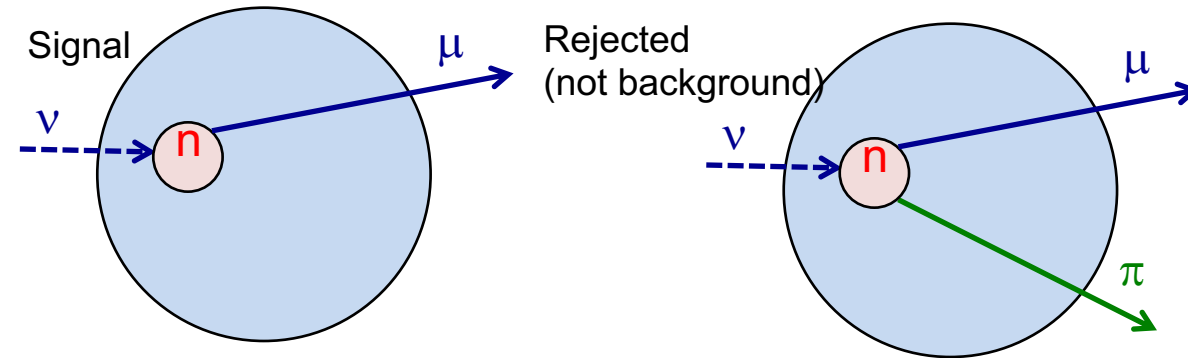


Typical neutrino detector

- Big and dense, to maximize interaction rate
- Coarsely instrumented, to minimize cost (not great detector to measure hadrons)

## 4. non-QE background

non-QE background  $\rightarrow$  shift spectrum



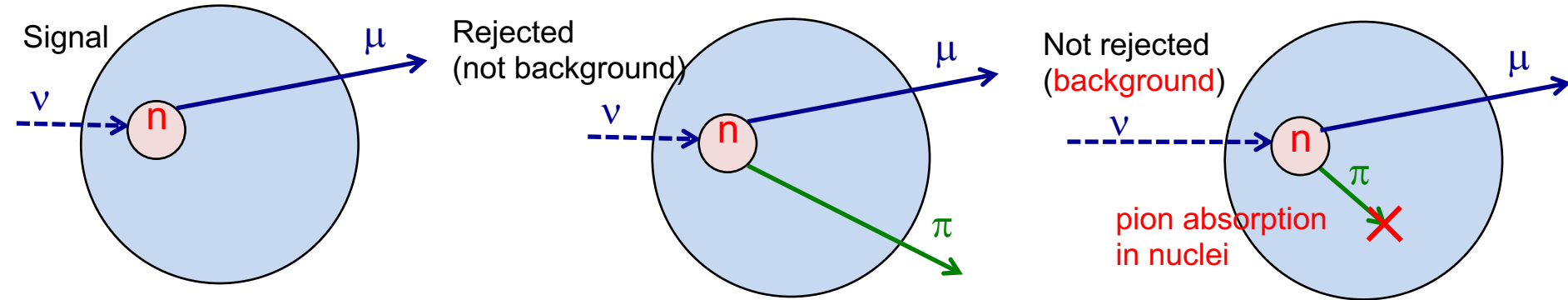
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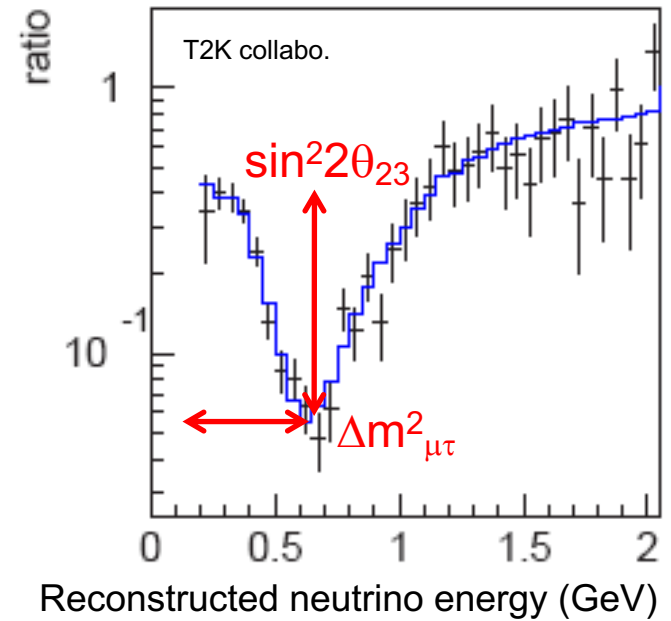
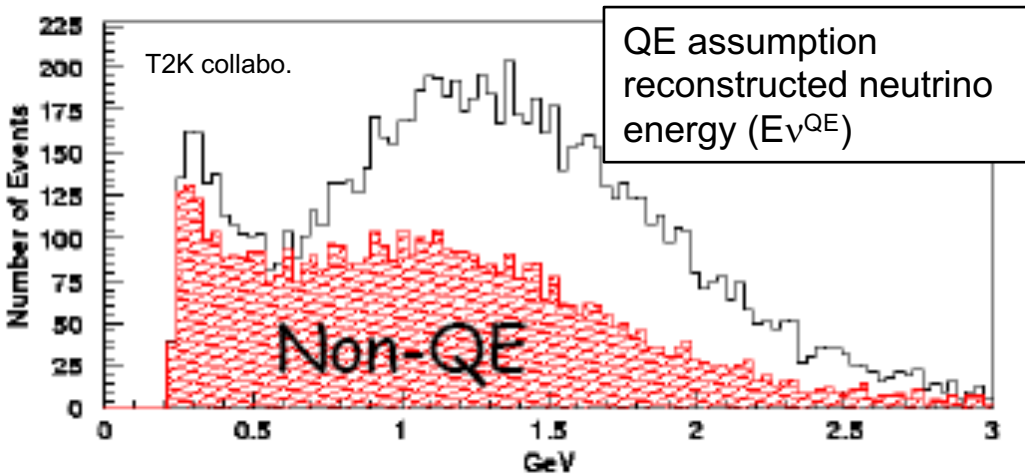
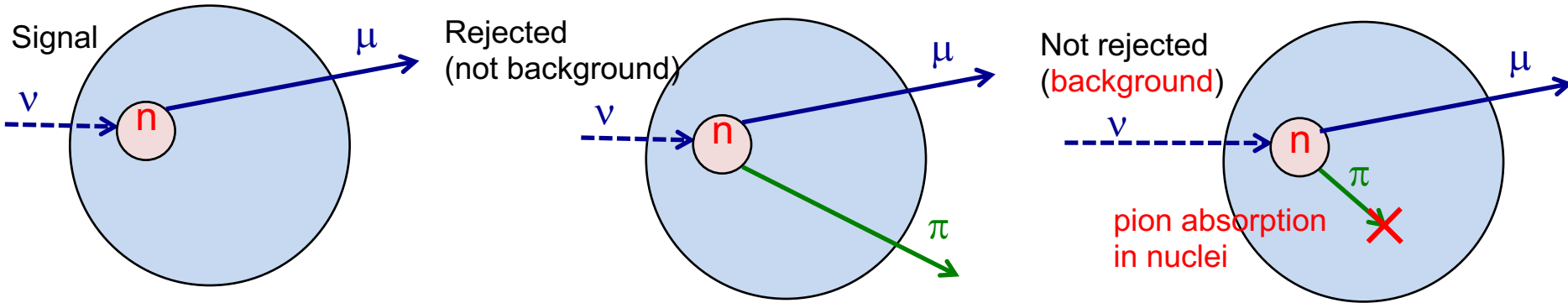
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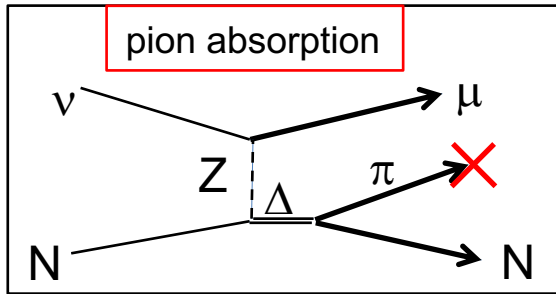
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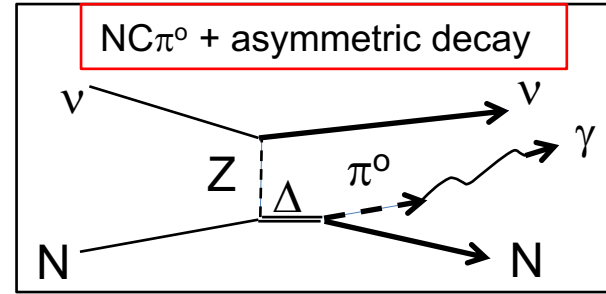
1.  $\nu$ -interaction
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# 4. Baryon resonance backgrounds for oscillation physics

Pion production for  $\nu_\mu$  disappearance search  
 - Source of mis-reconstruction of neutrino energy

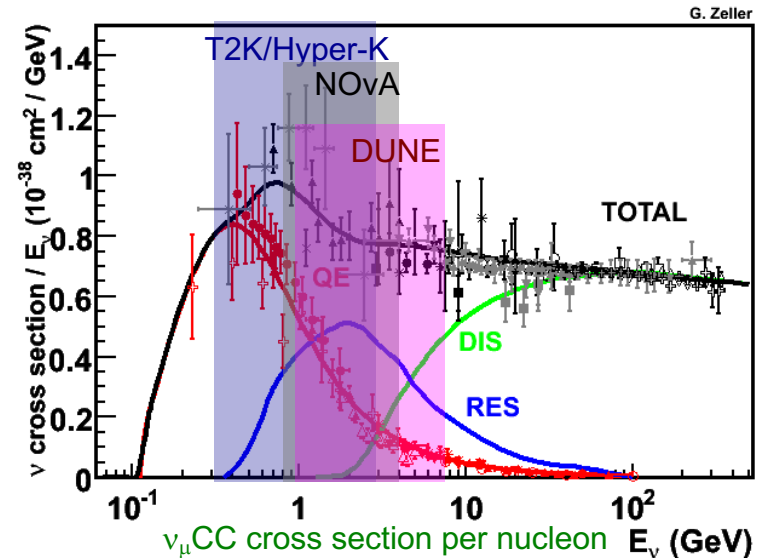


Neutral pion production in  $\nu_e$  appearance search  
 - Source of misID of electron



In T2K, understanding of baryon resonance and pion production is important mainly as oscillation background.

However in NOvA and DUNE, pion production channels are main signal events!



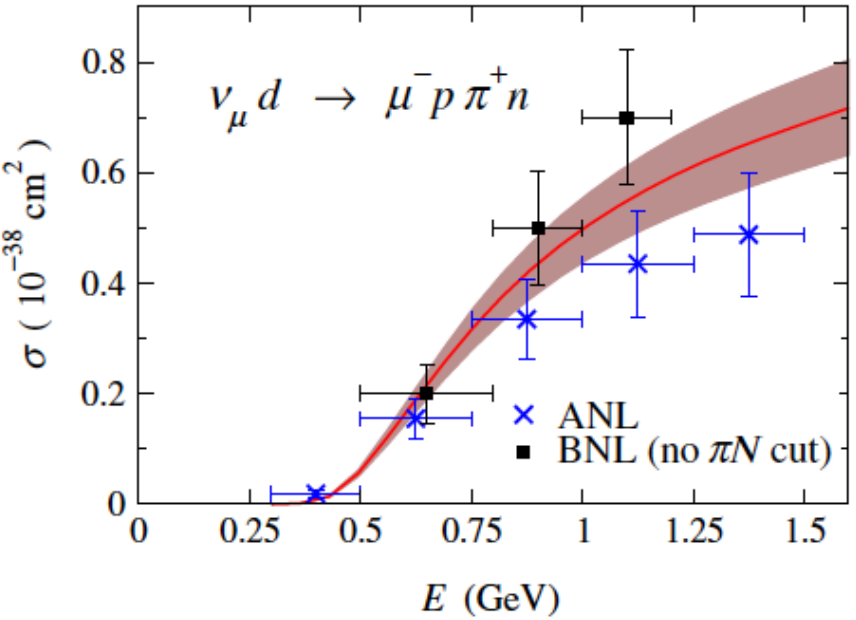
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# 4. ANL-BNL puzzle

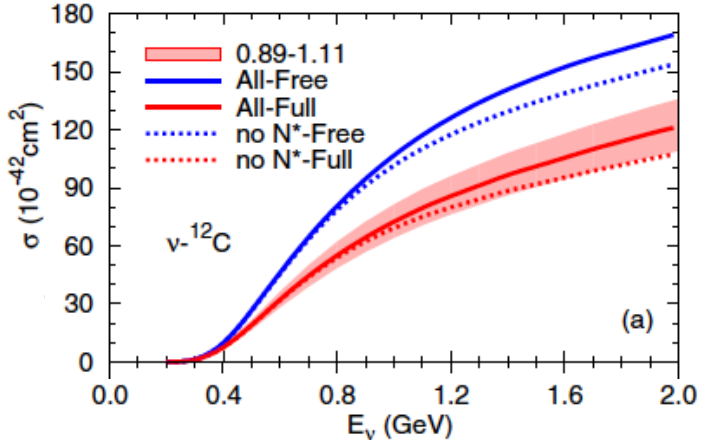
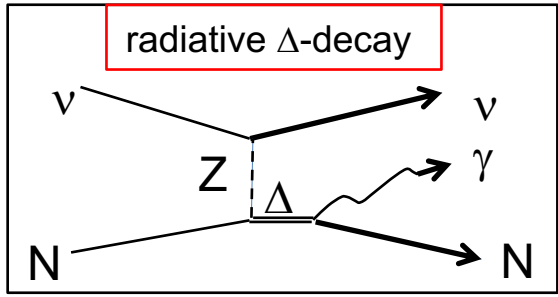
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→ this propagates to every interactions with baryon resonance

ANL vs. BNL



e.g.)  $N\text{C}\gamma$  production model



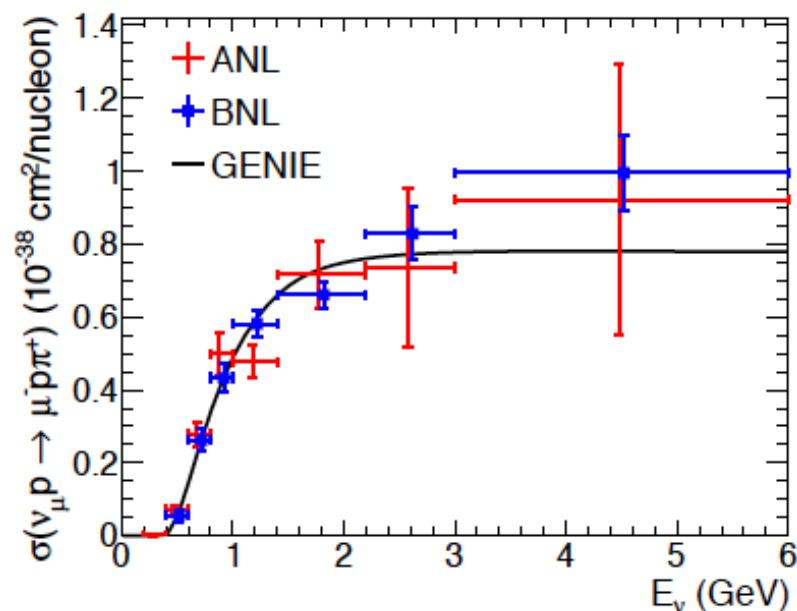
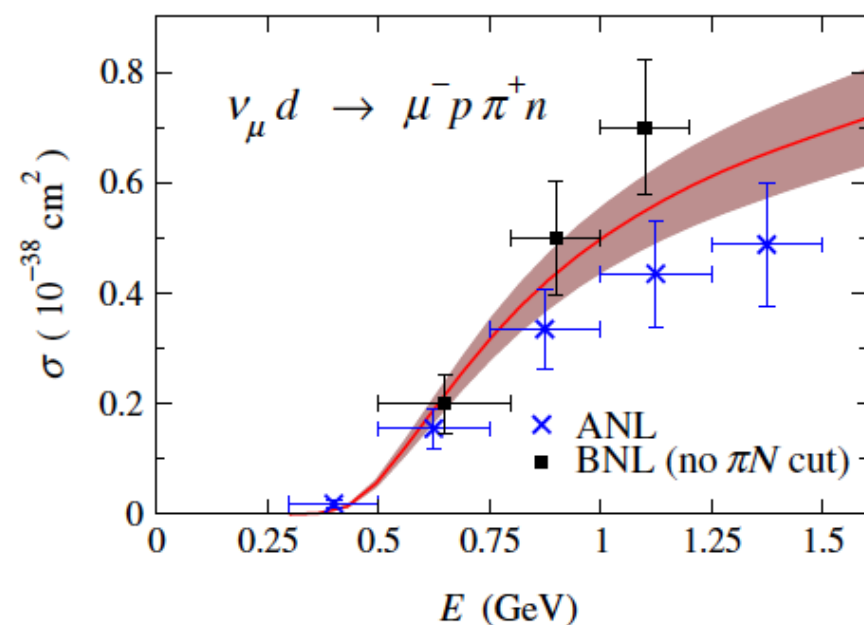
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Reanalysis by Sheffield-Rochester group found a normalization problem on BNL

ANL vs. BNL



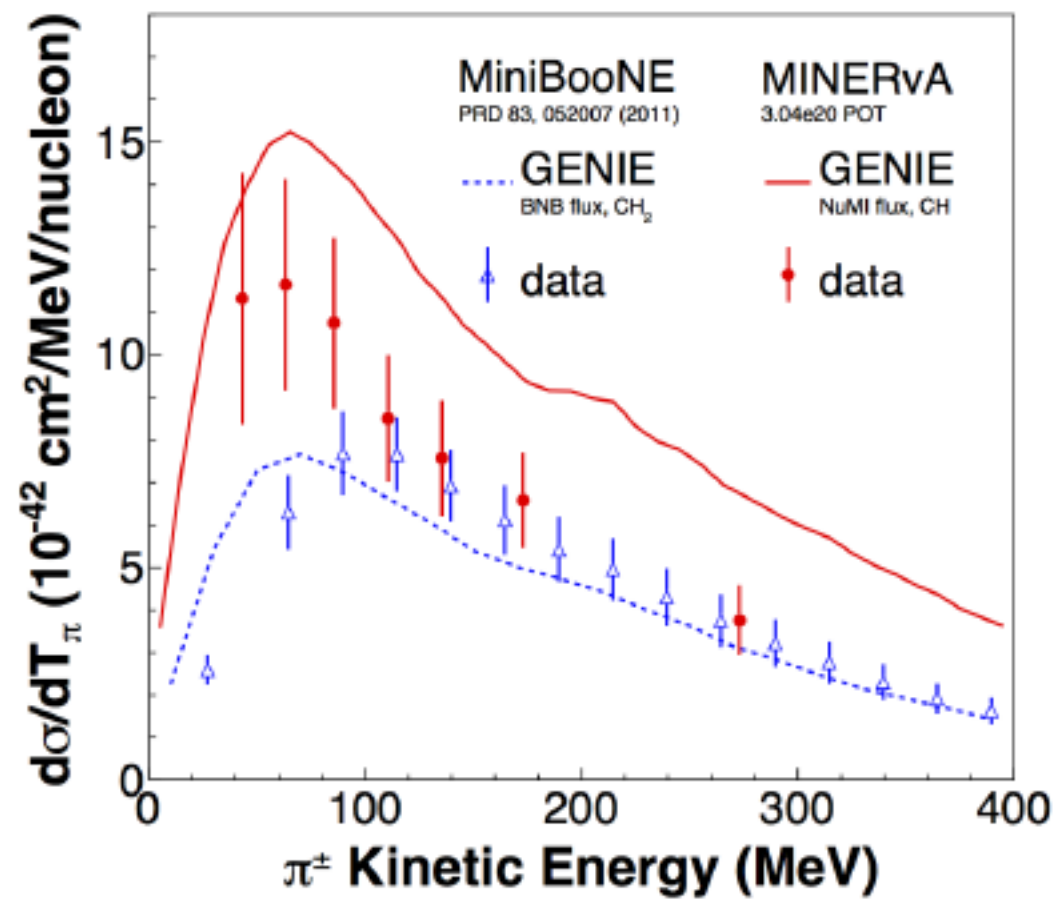
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Data from MiniBooNE and MINERvA and simulation are all incompatible

Flux-integrated differential cross-section are not comparable (unless 2 experiments use same neutrino beam)

Two data set are related by a model (=GENIE neutrino interaction generator).

MINERvA data describe the shape well, but MiniBooNE data have better normalization agreement...



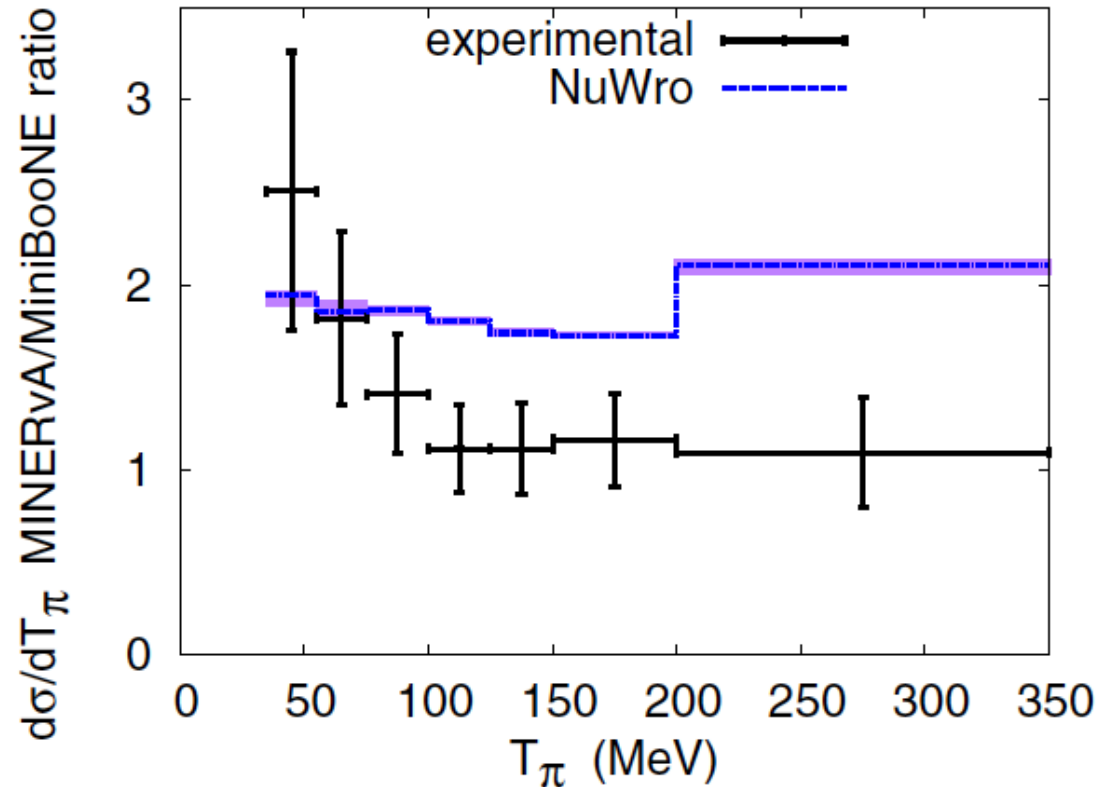
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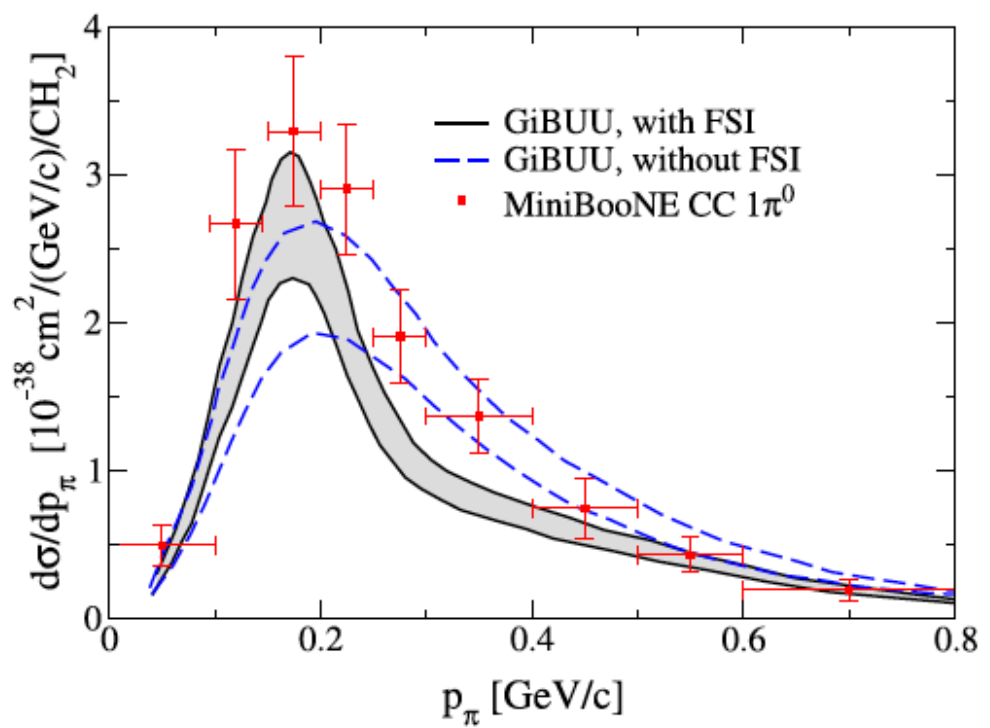
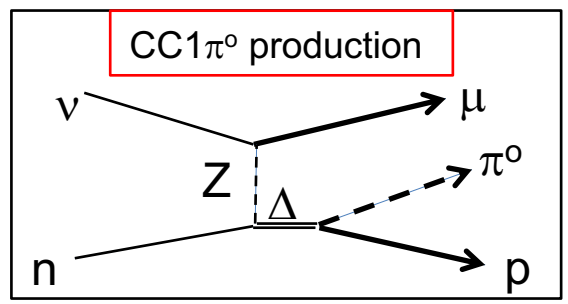
## Final state interaction

- Cascade model as a standard of the community
- Advanced models are not available for event-by-event simulation

For long baseline oscillation experiments, theory has to be able to describe the **full final states of all particles!**



Ulrich Mosel (Giessen)



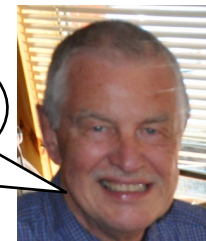
ex) Giessen BUU transport model  
- Developed for heavy ion collision, and now used to calculate final state interactions of pions in nuclear media

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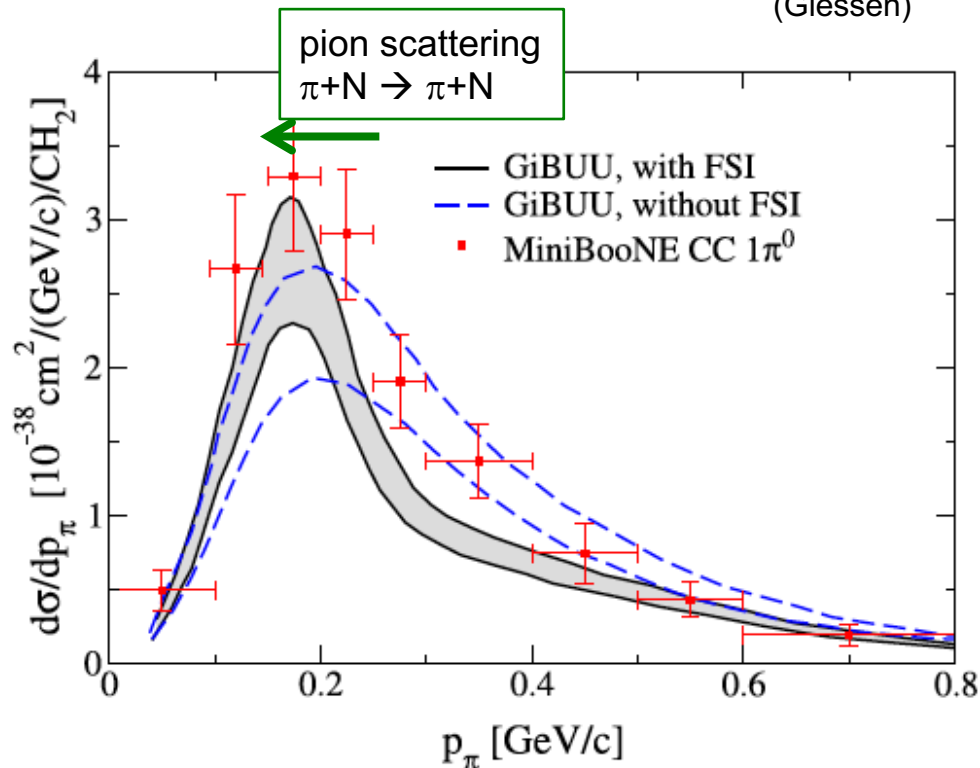
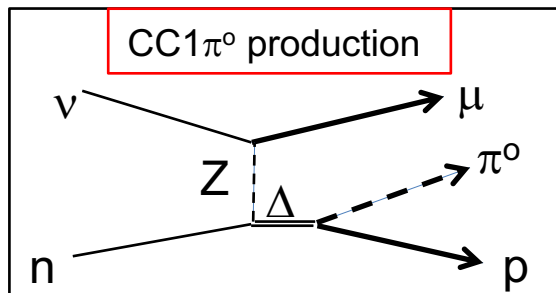
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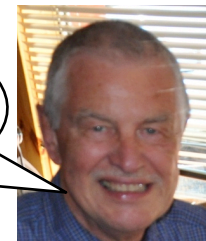


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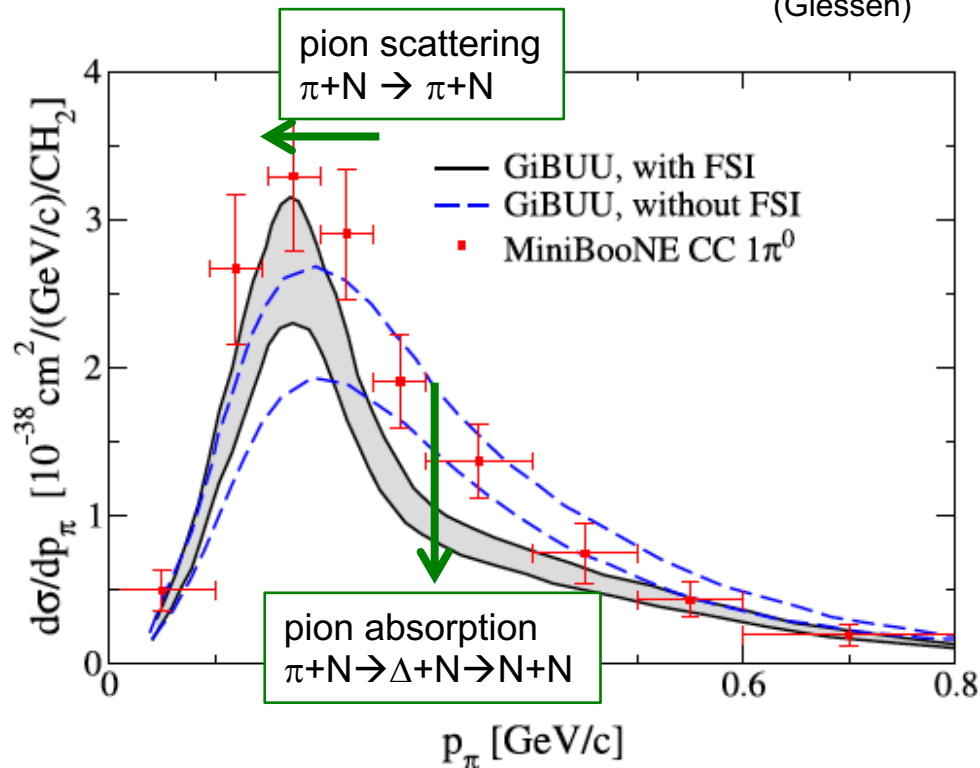
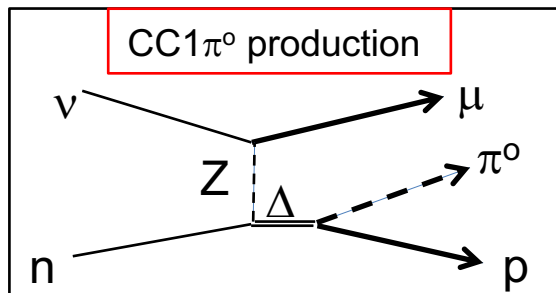
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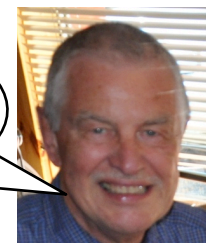
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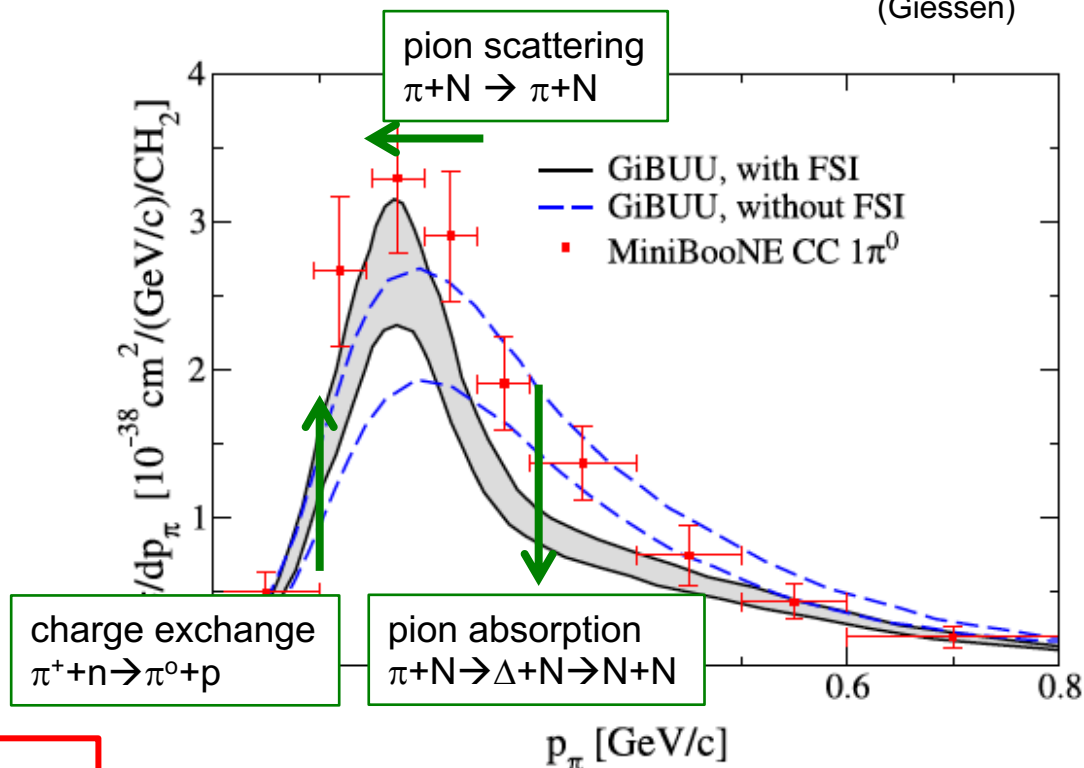
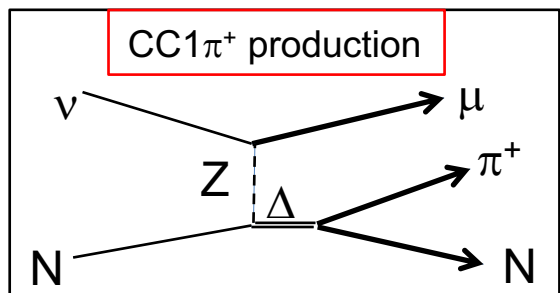
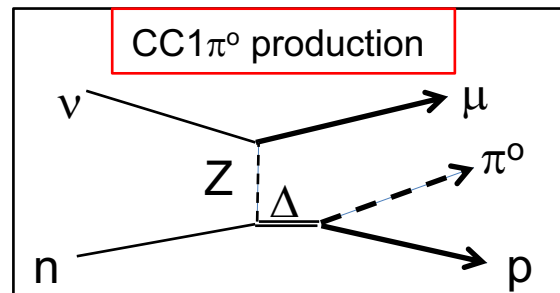
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- Advanced models are not available for event-by-event simulation

For long baseline oscillation experiments, theory has to be able to describe the **full final states of all particles!**



Ulrich Mosel  
(Giessen)



The simulation need to be good at both primary pion production model and final state interaction model!

ei K:

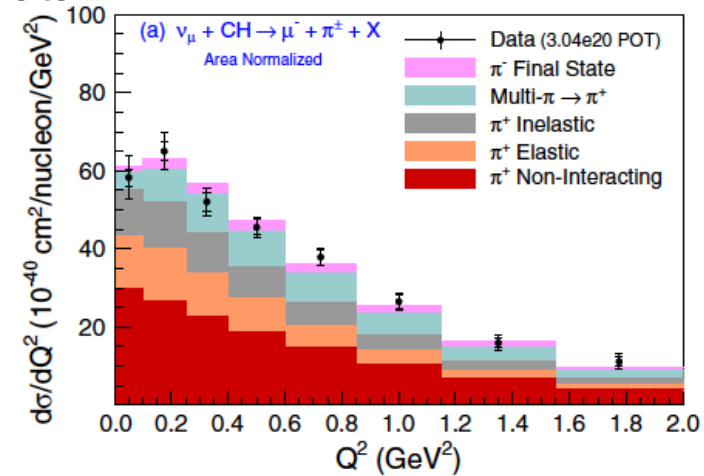
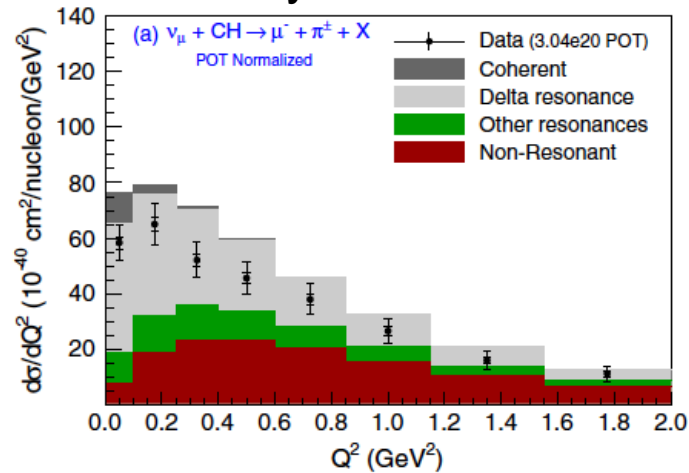
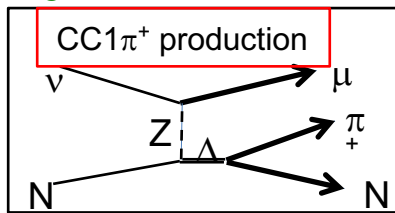
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## 4. MINERvA FSI and cross section model tuning (2016)

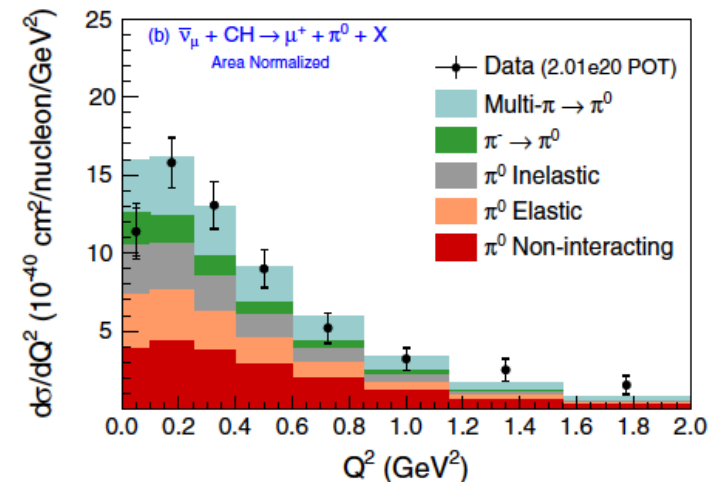
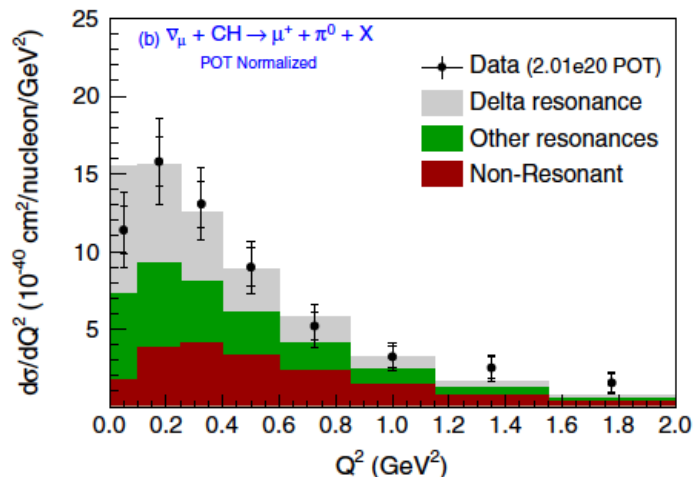
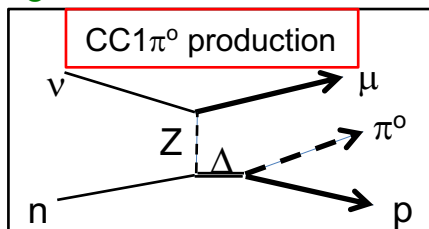
MINERvA  $\nu\mu\text{CC}1\pi^+$ ,  $\bar{\nu}\text{CC}1\pi^0$ ,  $\nu\text{CC}1\pi^0$  data simultaneous fit

- this moment, there is no clear way to tune MC from data...

$\nu\mu\text{CC}1\pi^+$  data has better shape agreement with GENIE



$\bar{\nu}\text{CC}1\pi^0$  data has better normalization agreement with GENIE



## 4. Summary of resonance region for oscillation

Deuteron target bubble chamber data are used to tune resonance models for nuclear target. However, 2 data set from Argonne (ANL) and Brookhaven (BNL) disagree their normalization  $\sim 25\%$  (ANL-BNL puzzle).

→ origin of 20-30% error on  $M_A^{\text{RES}}$

Recent fit on re-analyzed ANL-BNL data shows on  $C_A^5(0)$  error is 6%. This would give  $\sim 6\text{-}10\%$  error on  $M_A^{\text{RES}}$  for experimentalist.

However,  $M_A^{\text{RES}}$  includes all errors associated with SPP data ( $C_A^5(0)$ ,  $M_A^{\text{RES}}$ , nuclear effect, etc). Unless pion puzzle is solved (MiniBooNE-MINERvA data tension),  $M_A^{\text{RES}}$  error stays  $\sim 20\text{-}30\%$ .

Nucleon correlations (2p2h, SRC, RPA) introduce new contribution in QE-like final state measurement. Then nucleon correlations should contribute to pion production too...?

1. Neutrino interaction physics
2. Neutrino scattering experiments
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Topical Review

## Neutrino–nucleus cross sections for oscillation experiments

Teppeï Katori<sup>1,4,5</sup> and Marco Martini<sup>2,3,4,5</sup>

<sup>1</sup>School of Physics and Astronomy, Queen Mary University of London, London, United Kingdom

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<sup>3</sup>Department of Physics and Astronomy, Ghent University, Proeftuinstraat 86, B-9000 Gent, Belgium



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Review

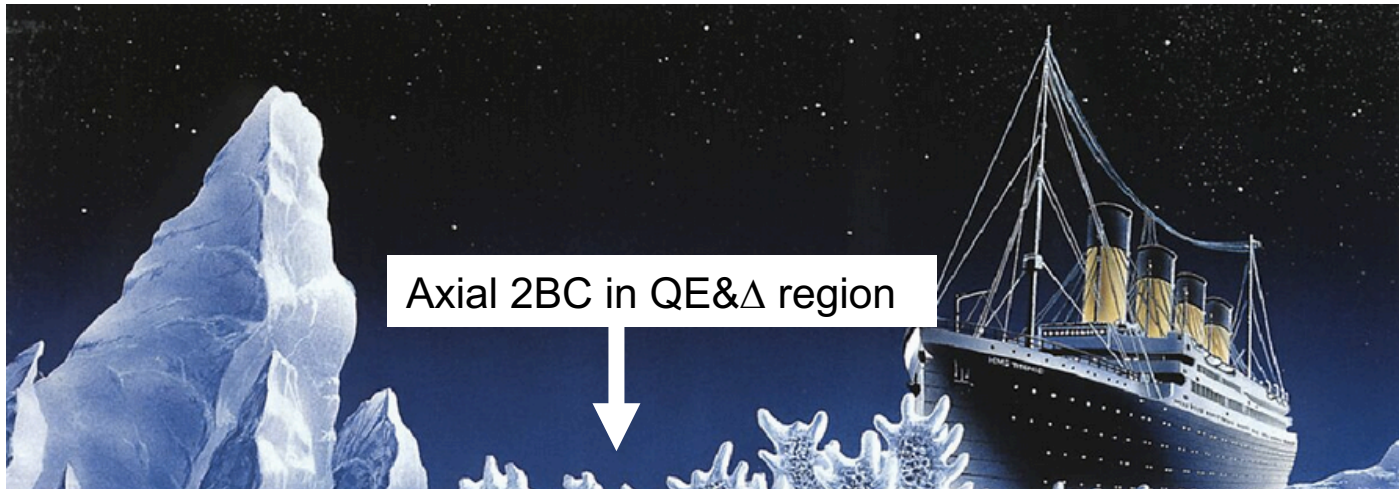
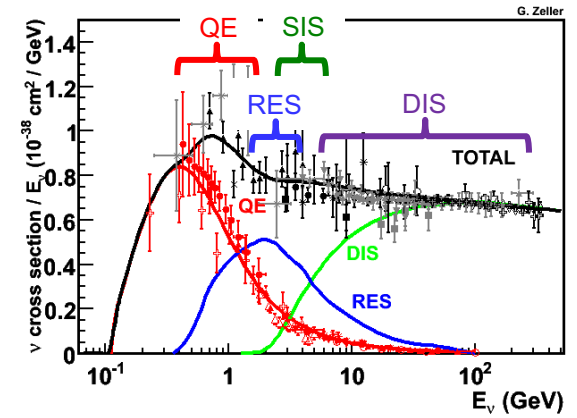
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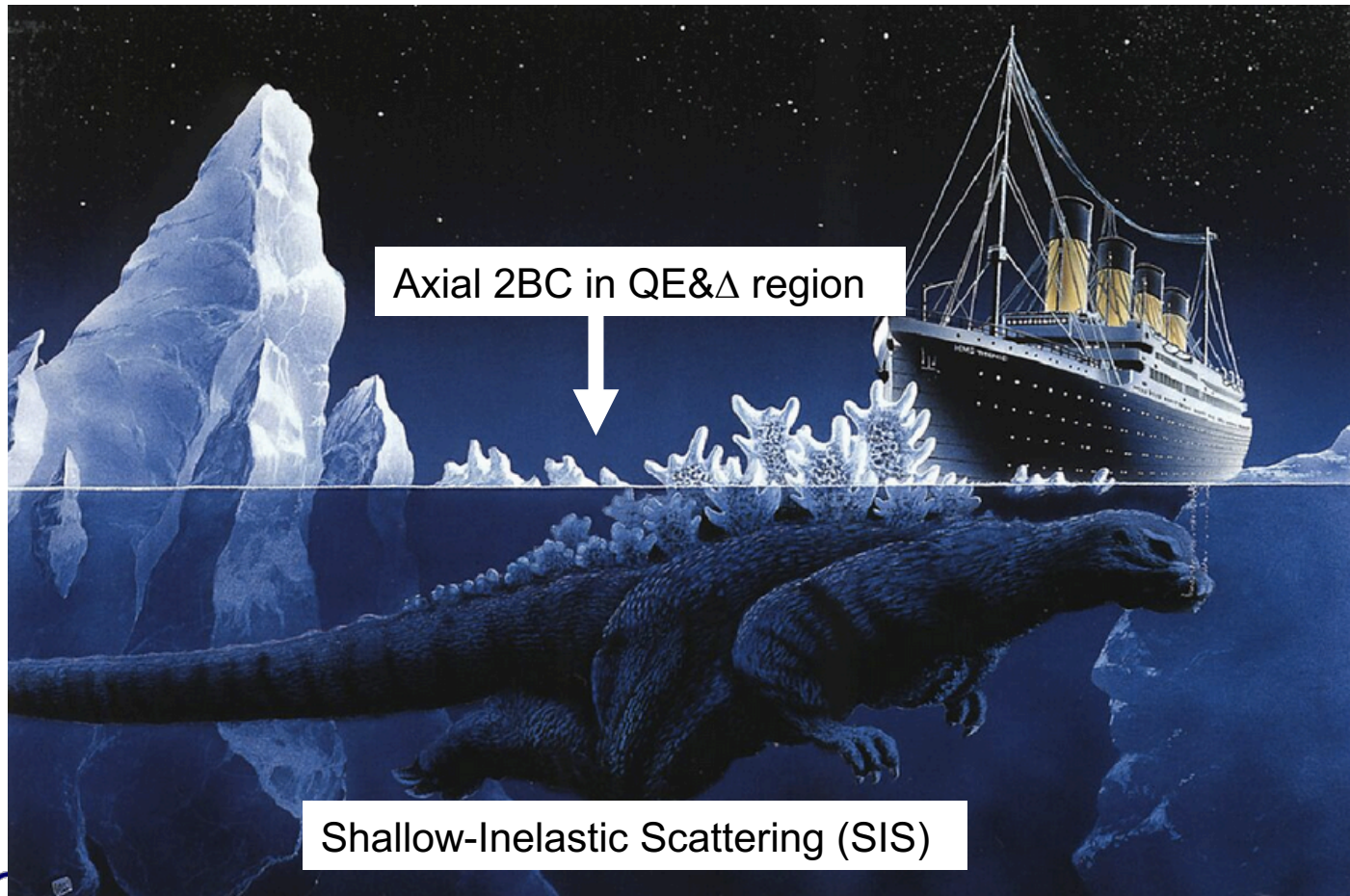
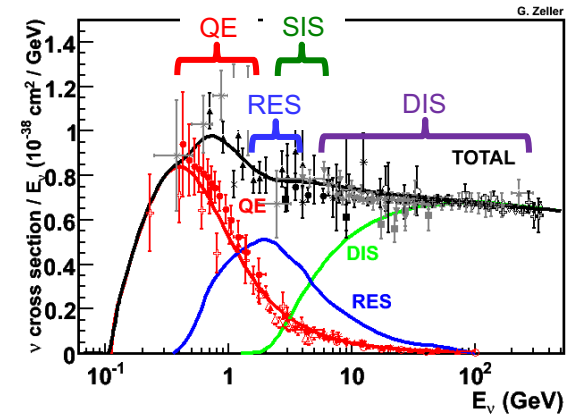
## 5. Beyond QE and Delta peak

Axial 2-body current in QE and Delta regions may be a tip of the iceberg...



## 5. Beyond QE and Delta peak

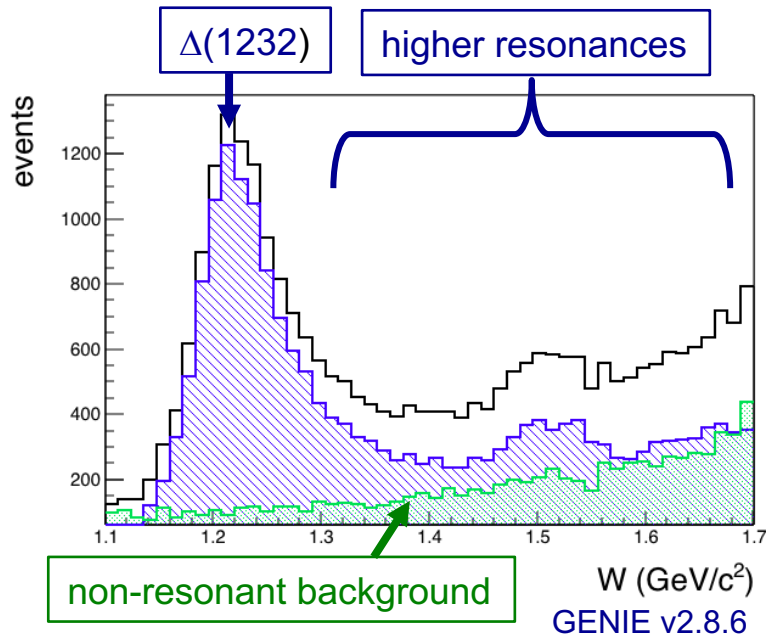
Axial 2-body current in QE and Delta regions may be a tip of the iceberg..., or maybe a tip of gozilla!



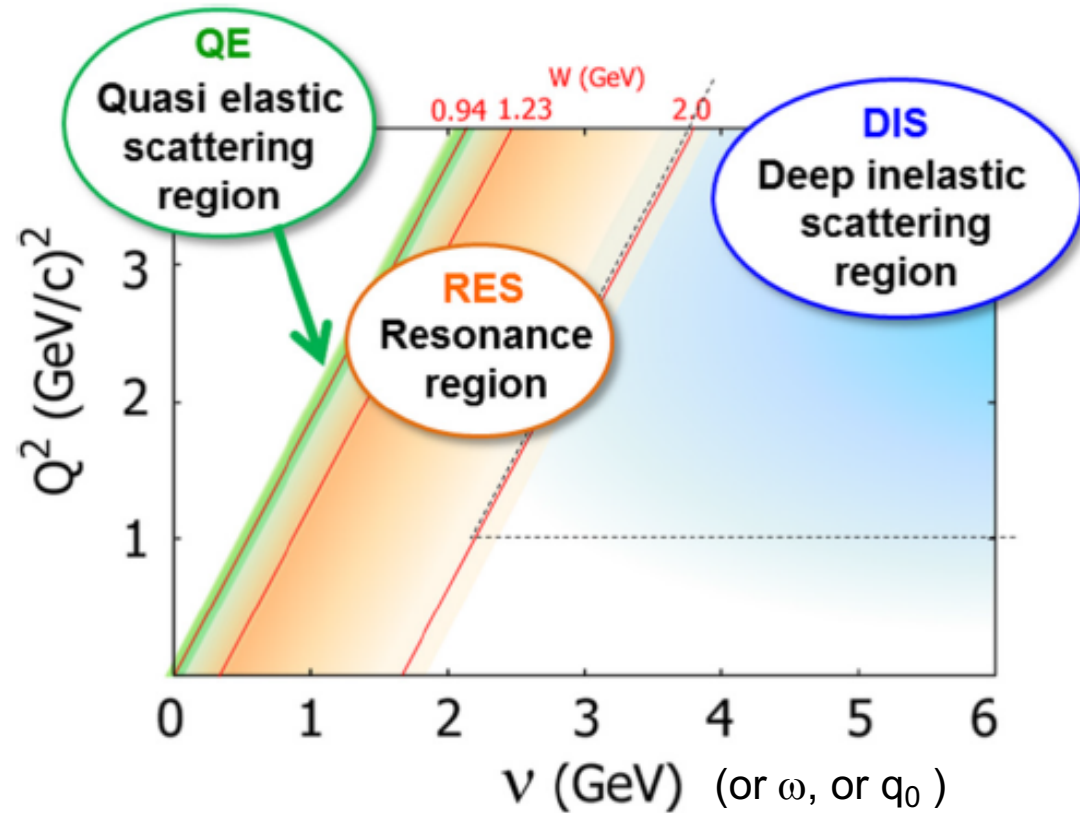
# 5. Sallow Inelastic Scattering (SIS) physics

## Basic ingredients

1.  $\Delta(1232)$ -resonance
2. higher resonances
3. non-resonant background
4. low  $Q^2$ , low  $W$  DIS
5. Nuclear dependent DIS



Rep. Prog. Phys. 80 (2017) 056301



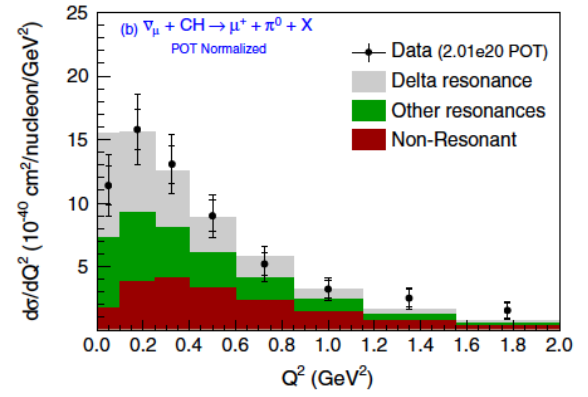
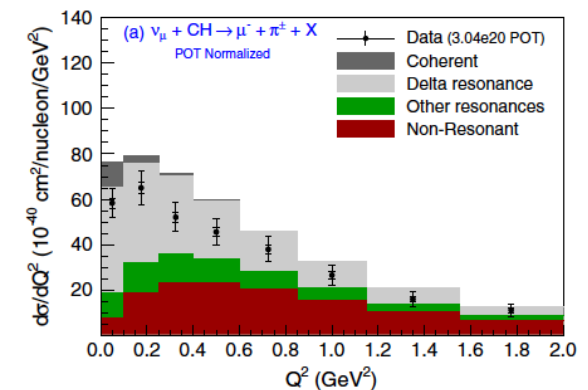
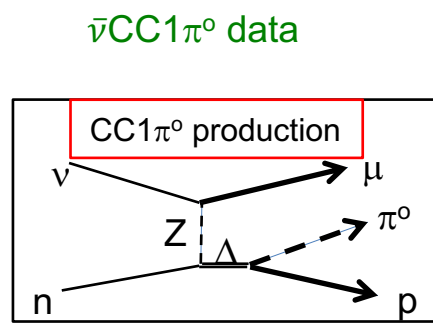
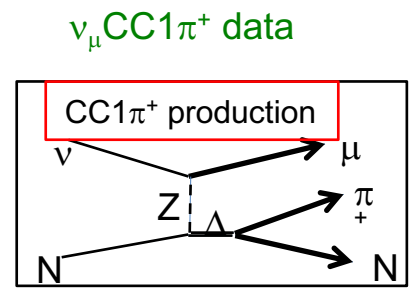
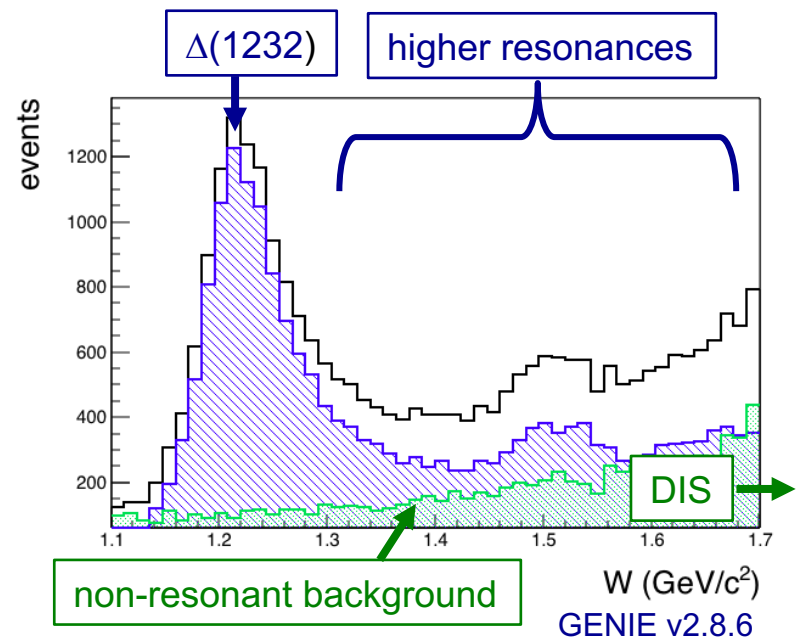


# 5. Physics of $\Delta$ resonance

## Basic ingredients

1.  $\Delta(1232)$ -resonance
2. higher resonances
3. non-resonant background
4. low  $Q^2$ , low  $W$  DIS
5. Nuclear dependent DIS

MINERvA CC1 $\pi^+$ ,  $\bar{\nu}$ CC1 $\pi^0$ ,  $\nu$ CC1 $\pi^0$  data simultaneous fit  
 - this moment, there is no clear way to tune MC from data...



# 5. Physics of higher resonances

## Basic ingredients

- 1.  $\Delta(1232)$ -resonance
- 2. higher resonances
- 3. non-resonant background
- 4. low  $Q^2$ , low  $W$  DIS
- 5. Nuclear dependent DIS

## DCC model

- Total amplitude is conserved
- Channels are coupled (pN, ppN, etc)
- 2 pion productions  $\sim 10\%$  at 2 GeV
- not yet available in generators

Role of high  $W$  resonances in neutrino experiments is not understood (and probably modeled incorrectly)

## DCC model vs. electro-pionproduction data

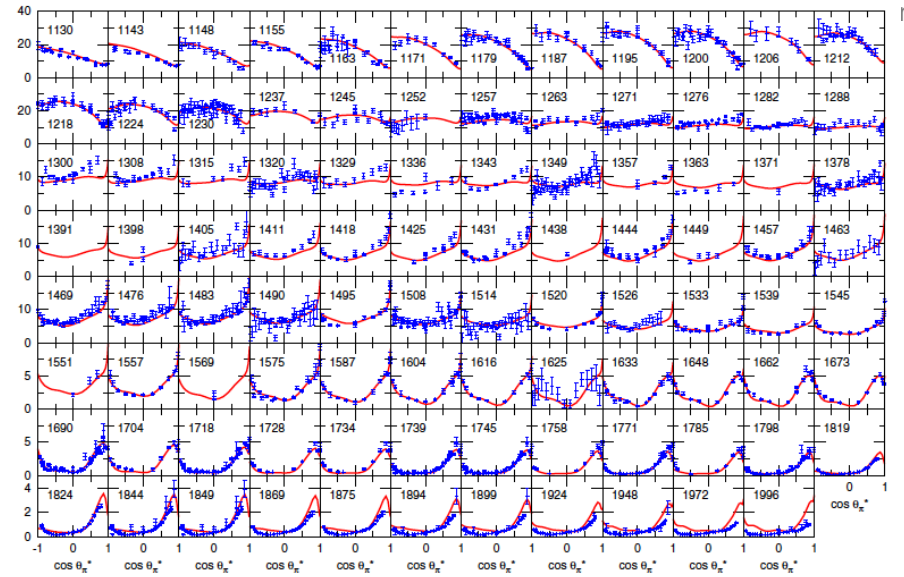
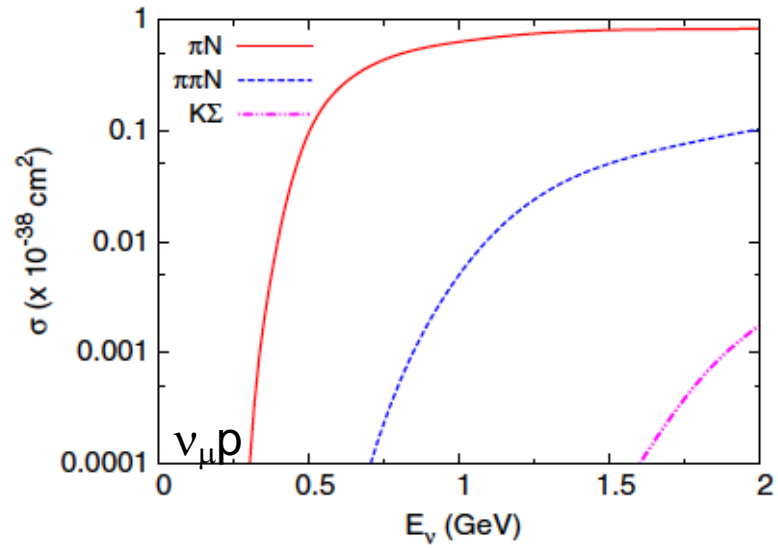


FIG. 8 (color online). Unpolarized differential cross sections,  $d\sigma/d\Omega_\pi^*$  ( $\mu\text{b/sr}$ ), for  $\gamma n \rightarrow \pi^- p$ . The data are from Refs. [55–78].



# 5. Physics of higher resonances

## Basic ingredients

1.  $\Delta(1232)$ -resonance
2. higher resonances
3. non-resonant background
4. low  $Q^2$ , low  $W$  DIS
5. Nuclear dependent DIS

## DCC model

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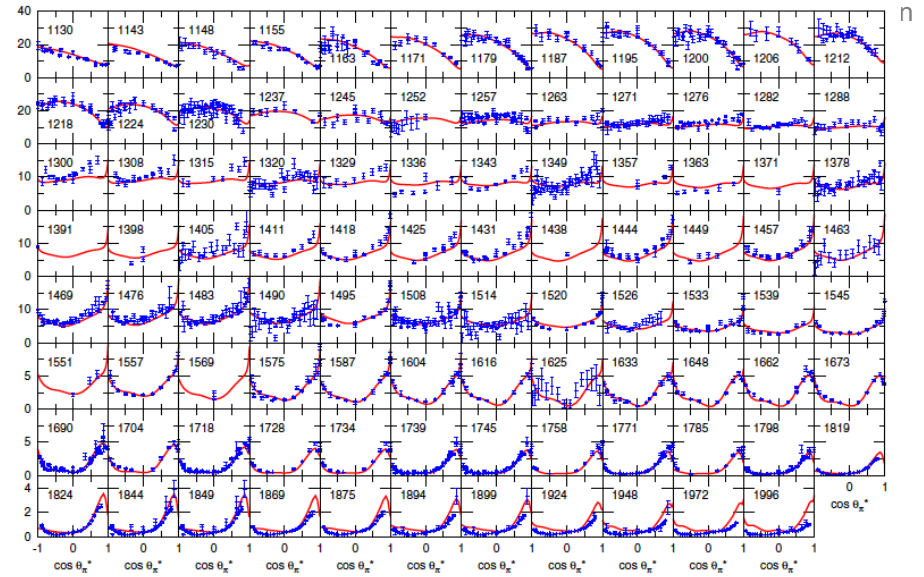
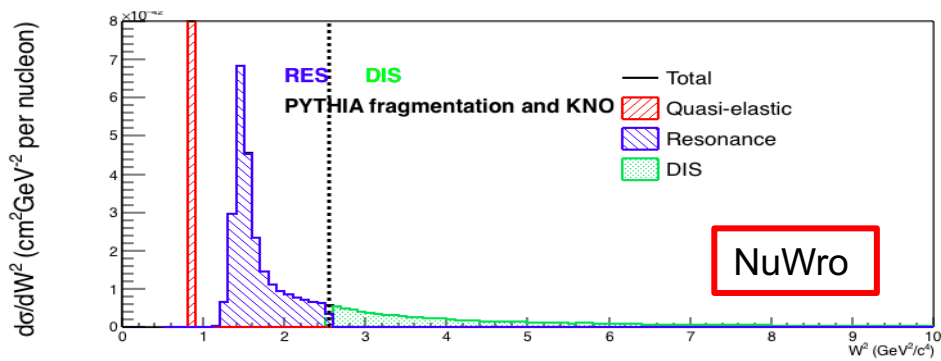
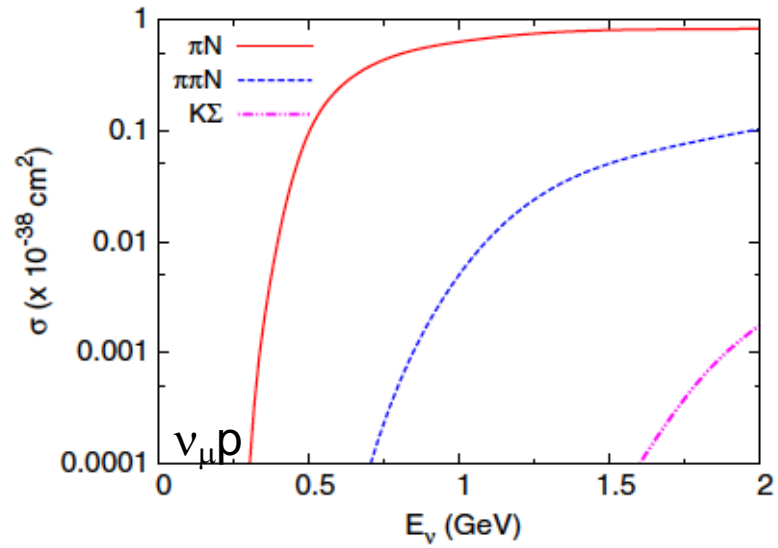


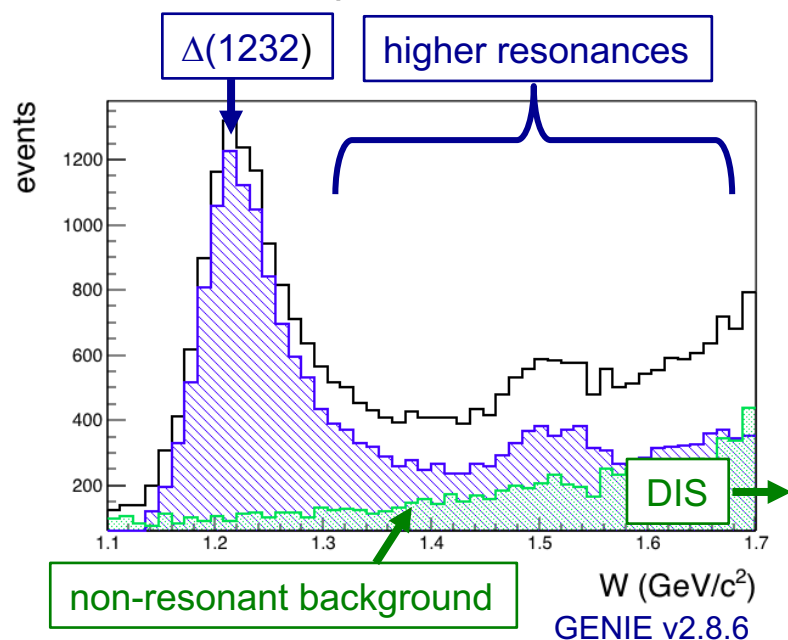
FIG. 8 (color online). Unpolarized differential cross sections,  $d\sigma/d\Omega^*$  ( $\mu\text{b/sr}$ ), for  $\gamma n \rightarrow \pi^- p$ . The data are from Refs. [55–78].



## 5. Physics of non-resonant background

### Basic ingredients

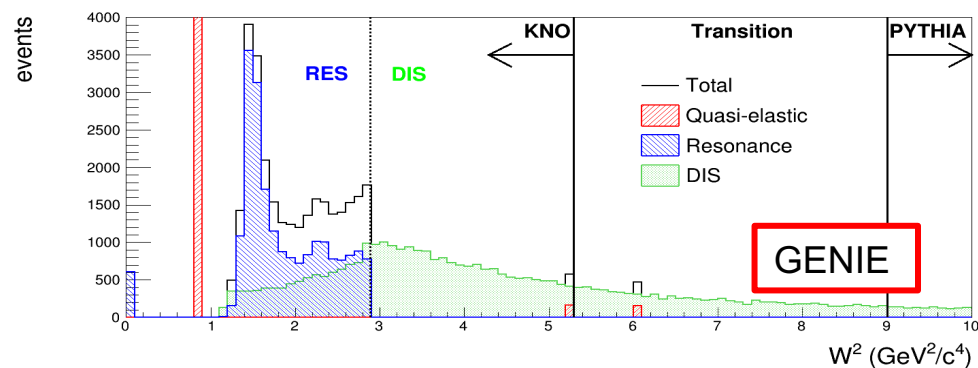
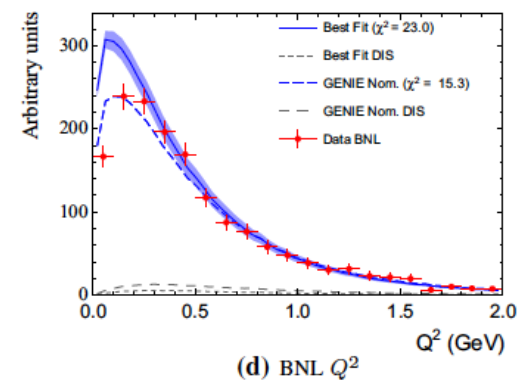
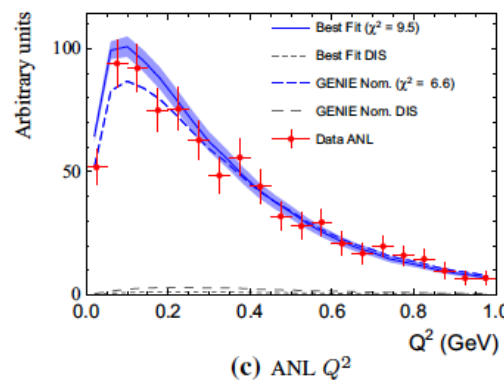
1.  $\Delta(1232)$ -resonance
2. higher resonances
3. non-resonant background
4. low  $Q^2$ , low  $W$  DIS
5. Nuclear dependent DIS



Non-resonant component and resonances are incoherently added (=wrong, but easy to simulate).

Non-resonant background is identified to be DIS at higher  $W$ .

Non-resonant background in GENIE needs to be reduced more than 50%.



# 5. Quark-Hadron Duality

## Basic ingredients

1.  $\Delta(1232)$ -resonance
2. higher resonances
3. non-resonant background
4. low  $Q^2$ , low  $W$  DIS
5. Nuclear dependent DIS

## GRV98 LO PDF + Bodek-Yang correction

- GRV98 for low  $Q^2$  DIS
- Bodek-Yang correction for QH-duality
- 20 years old, out-of-dated
- not sure how to implement systematic errors

$$\xi \rightarrow \xi_\omega = \frac{2x \left( 1 + \frac{M_f^2 + B}{Q^2} \right)}{\left( 1 + \sqrt{1 + \frac{4x^2 M^2}{Q^2}} \right) + \frac{2Ax}{Q^2}}$$

$$K_{valence}(Q^2) = [1 - G_D^2(Q^2)] \cdot \left( \frac{Q^2 + C_{v2}}{Q^2 + C_{v1}} \right)$$

$$K_{sea}(Q^2) = \frac{Q^2}{Q^2 + C_{s1}}$$

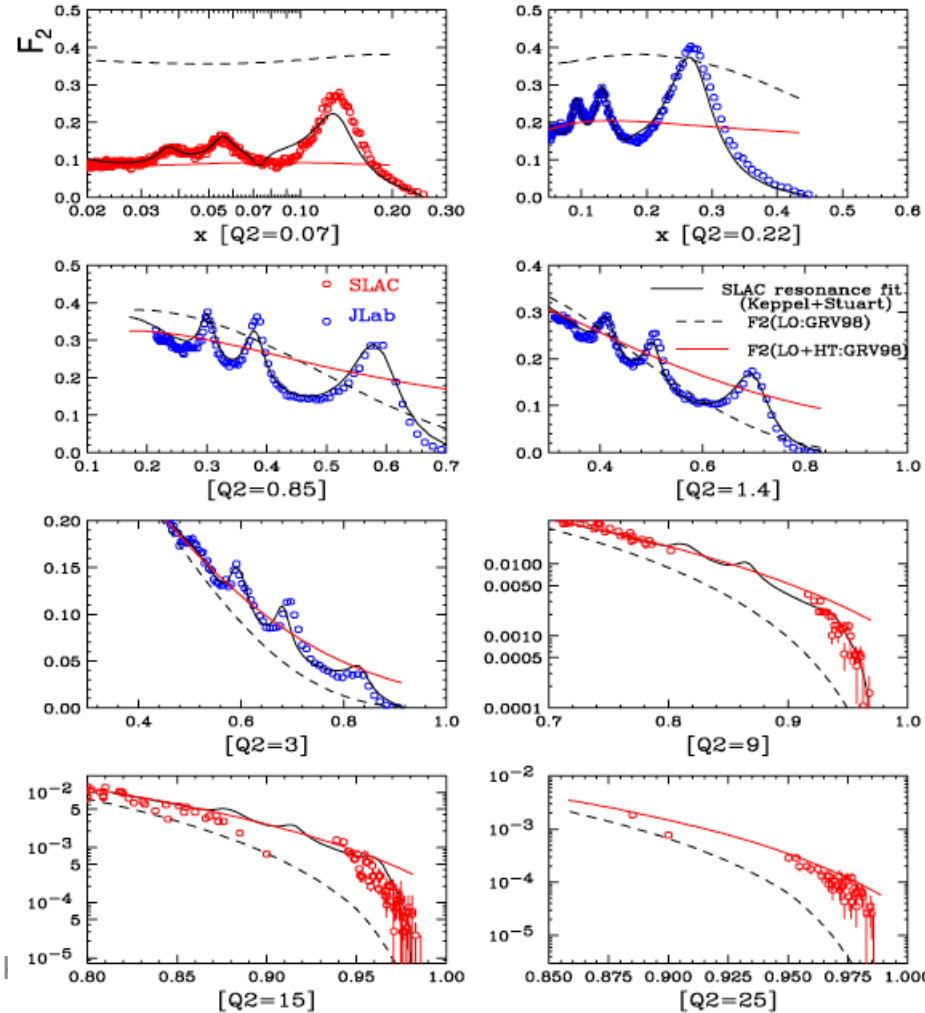


Tepepei I

Nachtmann variable  $\xi = \frac{2x}{\left( 1 + \sqrt{1 + \frac{4x^2 M^2}{Q^2}} \right)}$

1. v-interaction
2. CCQE
3. Resonance
4. SIS, DIS
5. Conclusion

## Proton F2 function GRV98-BY correction vs. data



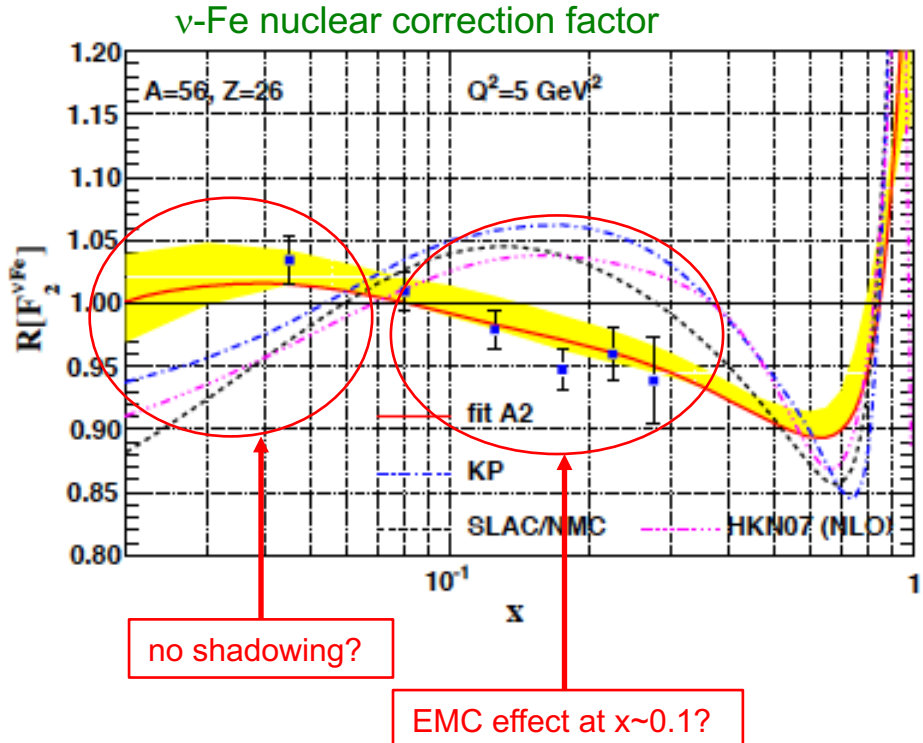
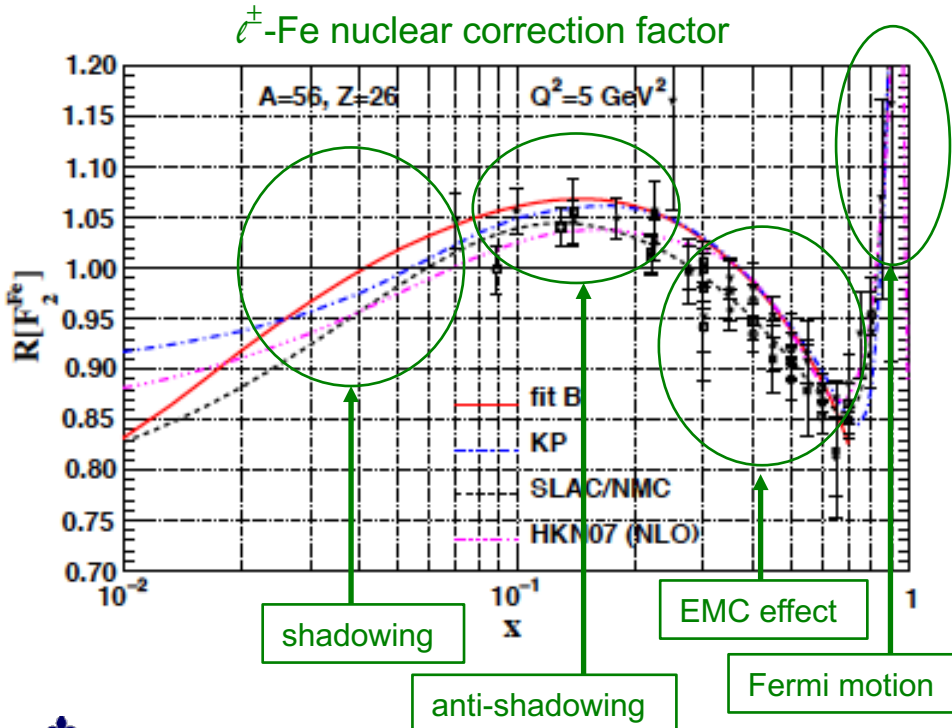
# 5. Neutrino nuclear-dependent DIS processes

## Basic ingredients

1.  $\Delta(1232)$ -resonance
2. higher resonances
3. non-resonant background
4. low  $Q^2$ , low  $W$  DIS
5. Nuclear dependent DIS

## Nuclear PDF

- Shadowing, EMC effect, Fermi motion
- Theoretical origin is under debate
- Various models describe charged lepton data
- Neutrino data look very different



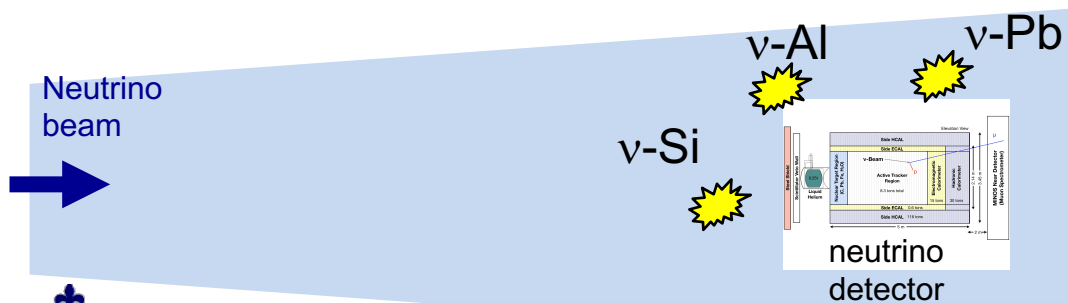
# 5. Neutrino nuclear-dependent DIS processes

## Basic ingredients

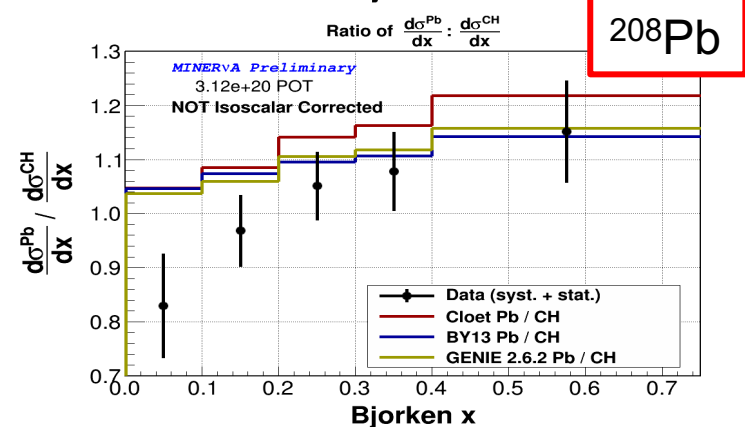
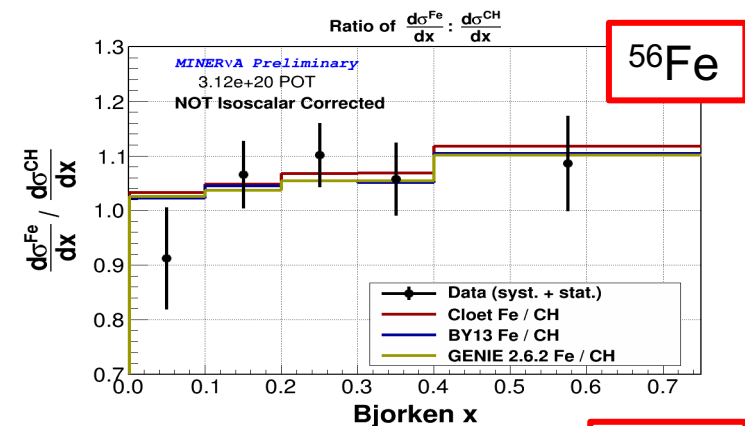
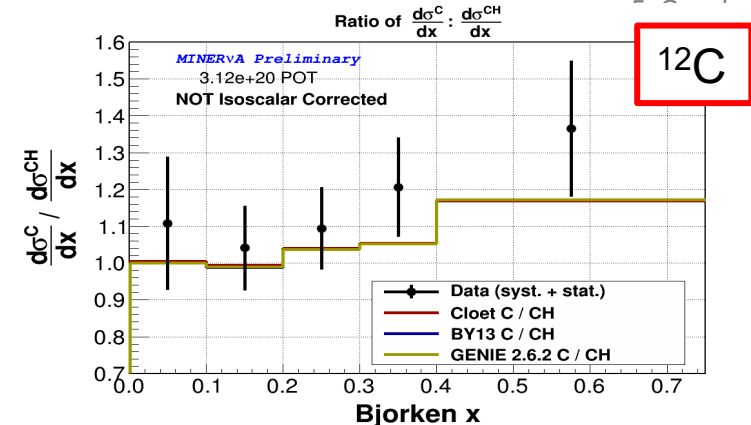
1.  $\Delta(1232)$ -resonance
2. higher resonances
3. non-resonant background
4. low  $Q^2$ , low  $W$  DIS
5. Nuclear dependent DIS

## MINERvA DIS target ratio data (C, Fe, Pb)

- Neutrino nuclear-dependent DIS effects may be different from charged lepton sector
- Why we care? Because neutrino beam is like a "shower", and it interacts with all materials surrounding the vertex detector. MC needs to simulate neutrino interactions (and particle propagations) for all inactive materials.



Tepei Katori



# 5. SIS physics, summary

CCQE  
**Resonance**  
 SIS

1.  $\nu$ -interaction
2. CCQE
3. Resonance
4. SIS, DIS
5. Conclusion

## Basic ingredients

1.  $\Delta(1232)$ -resonance
2. higher resonances
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4. low  $Q^2$ , low  $W$  DIS
5. Nuclear dependent DIS

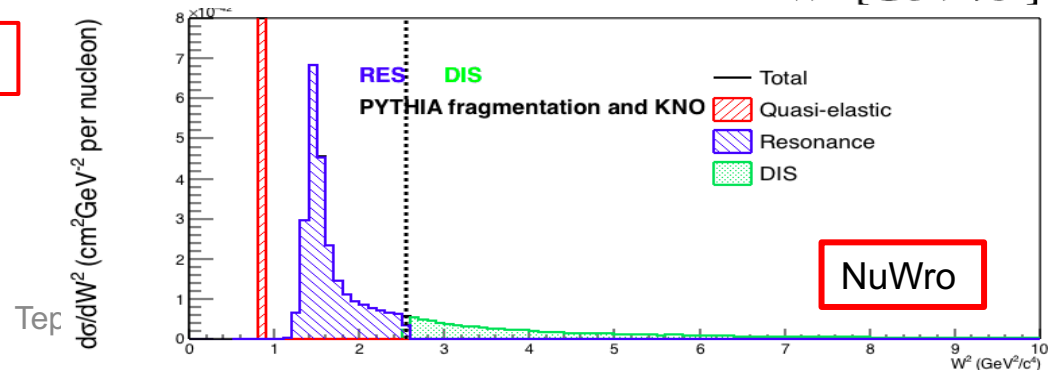
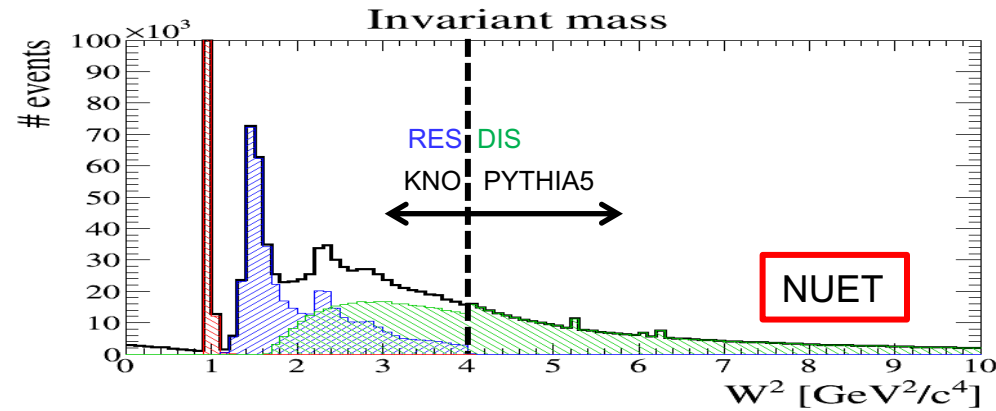
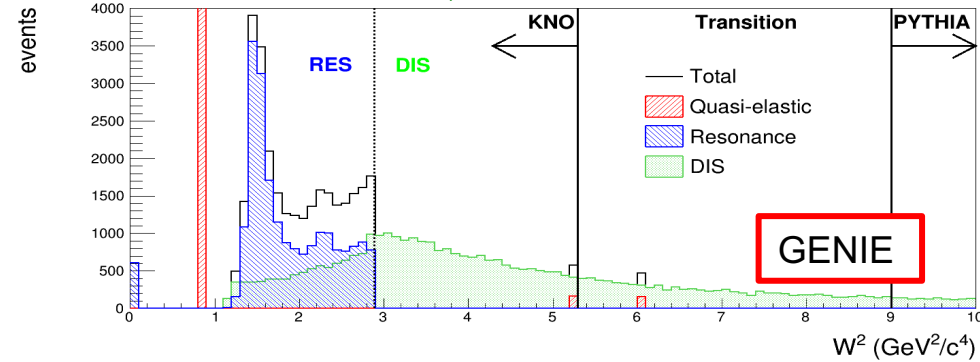
Generators show large disagreement for SIS models, also none of them look right.

Each sub-field has been developed in a limited kinematics. And it is not easy to combine them together. The challenge we (=neutrino physics) have is a new kind.

SIS is the home of Frankenstein models!



## Neutrino interaction generator comparison (atmospheric $\nu_\mu$ -H<sub>2</sub>O CC interaction)





# Neutrino Shallow and Deep-Inelastic scattering, GSSI, Oct 11-13

<http://nustec.fnal.gov/nuSDIS18/>

A dedicated workshop for physics related to DUNE, NOvA, HyperK, etc

- generator developments, impact on oscillation analyses
- higher resonance and non-resonance contributions
- low Q<sup>2</sup> low W DIS
- nuclear modifications and nuclear-dependent PDFs
- neutrino hadronization problem

2018 October 11-13  
Gran Sasso Science Institute, Italy

G S  
S I

**νS&DIS workshop**  
Neutrino Shallow- and Deep-  
inelastic Scattering workshop

**NUSTEC**  
Neutrino Scattering  
Theory-Experiment Collaboration

[nustec.fnal.gov/nuSDIS18](http://nustec.fnal.gov/nuSDIS18)

1. Neutrino interaction physics
2. Neutrino scattering experiments
3. Charged-Current Quasi-Elastic (CCQE) interaction
4. Resonance Single Pion Production
5. Shallow Inelastic Scattering (SIS)
6. Conclusions

Topical Review

## Neutrino–nucleus cross sections for oscillation experiments

Teppeï Katori<sup>1,4,5</sup> and Marco Martini<sup>2,3,4,5</sup>

<sup>1</sup>School of Physics and Astronomy, Queen Mary University of London, London, United Kingdom

<sup>2</sup>ESNT, CEA, IRFU, Service de Physique Nucléaire, Université de Paris-Saclay, F-91191 Gif-sur-Yvette, France

<sup>3</sup>Department of Physics and Astronomy, Ghent University, Proeftuinstraat 86, B-9000 Gent, Belgium



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Review

## NuSTEC<sup>1</sup> White Paper: Status and challenges of neutrino–nucleus scattering

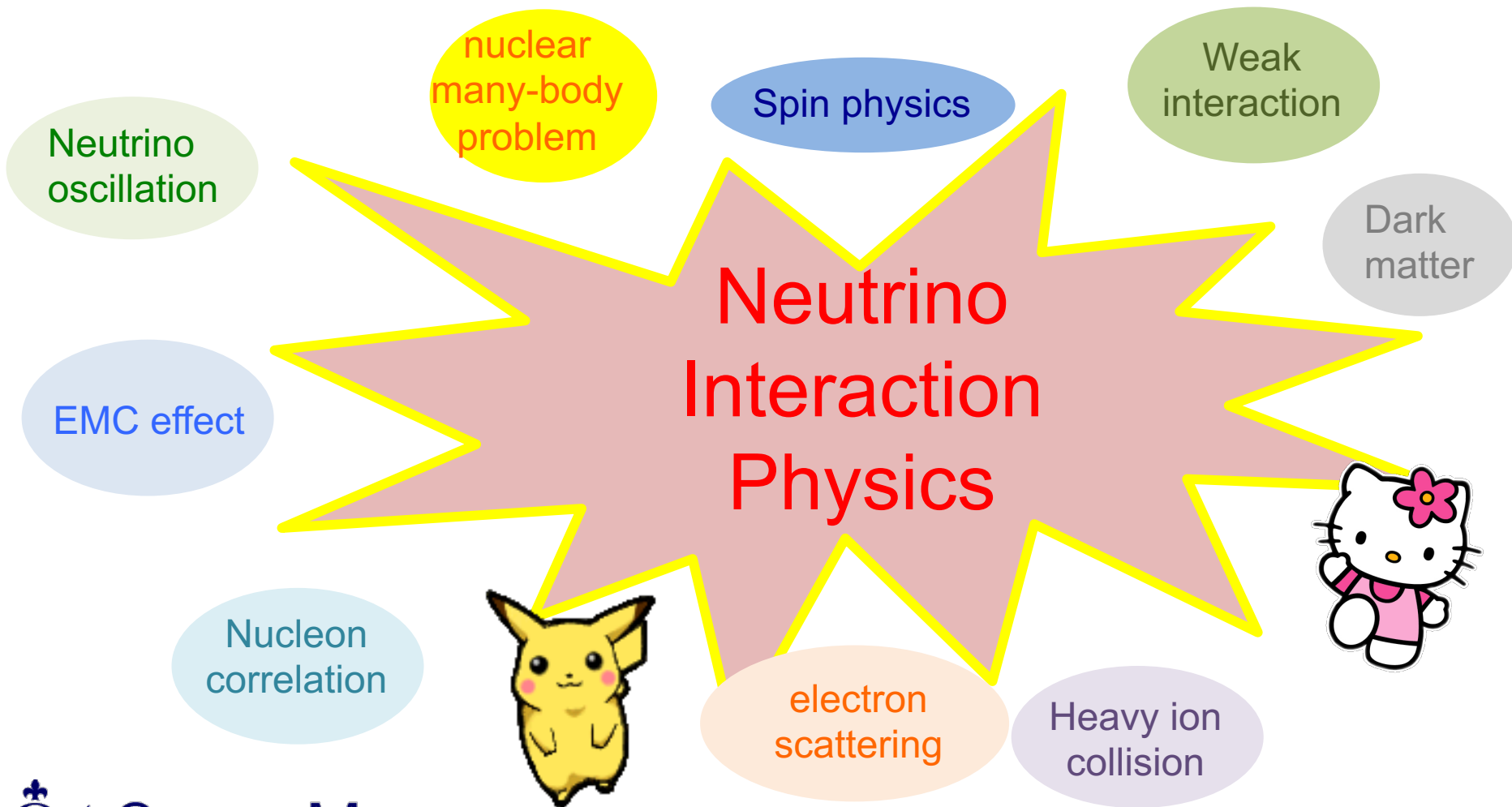
L. Alvarez-Ruso<sup>a</sup>, M. Sajjad Athar<sup>b</sup>, M.B. Barbaro<sup>c</sup>, D. Cherdack<sup>d</sup>, M.E. Christy<sup>e</sup>, P. Coloma<sup>f</sup>, T.W. Donnelly<sup>g</sup>, S. Dytman<sup>h</sup>, A. de Gouvêa<sup>i</sup>, R.J. Hill<sup>j,f</sup>, P. Huber<sup>k</sup>, N. Jachowicz<sup>l</sup>, T. Katori<sup>m</sup>, A.S. Kronfeld<sup>f</sup>, K. Mahn<sup>n</sup>, M. Martini<sup>o</sup>, J.G. Morfín<sup>f,\*</sup>, J. Nieves<sup>a</sup>, G.N. Perdue<sup>f</sup>, R. Petti<sup>p</sup>, D.G. Richards<sup>q</sup>, F. Sánchez<sup>r</sup>, T. Sato<sup>s,t</sup>, J.T. Sobczyk<sup>u</sup>, G.P. Zeller<sup>f</sup>



1.  $\nu$ -interaction
2. CCQE
3. Resonance
4. SIS, DIS
5. Conclusion

# Physics of Neutrino Interactions

Tremendous amount of activities, new data, new theories...



# NuSTEC (Neutrino Scattering Theory-Experiment Collaboration)

<http://nustec.fnal.gov/>

NuSTEC promotes the collaboration and coordinates efforts between

- theorists, to study neutrino interaction problems
- experimentalists, to understand nu-A and e-A scattering problems
- generator builders, to implement, validate, tune, maintain models

## Theorists

Luis Alvarez Ruso (co-spokesperson, IFIC, Spain)  
Mohammad Sajjad Athar (Aligarh Muslim University, India)  
Maria Barbaro (University of Turin, Italy)  
Omar Benhar (Sapienza University of Rome, Rome, Italy)  
Richard Hill (University of Kentucky and Fermilab, USA)  
Patrick Huber (Center for neutrino physics, Virginia Tech, USA)  
Natalie Jachowicz (Ghent University, Belgium)  
Andreas Kronfeld (Fermilab, USA)  
Marco Martini (IRFU Saclay, France)  
Toru Sato (Osaka, University, Japan)  
Rocco Schiavilla (Old Dominion Univ. and Jefferson Lab, USA)  
Jan Sobczyk (nuWro representative, University of Wroclaw, Poland)

## Experimentalists

Sara Bolognesi (CEA-IRFU, France)  
Steve Brice (Fermilab, USA)  
Raquel Castillo Fernández (Fermilab, USA)  
Dan Cherdack (Colorado State University, USA)  
Steve Dytman (University of Pittsburgh, USA)  
Andy Furmanski (University of Manchester, UK)  
Yoshinari Hayato (NEUT representative, ICRR, Japan)  
Teppei Katori (Queen Mary University of London, UK)  
Kendall Mahn (Michigan State University, USA)  
Camillo Mariani (Center for neutrino physics, VirginiaTech, USA)  
Jorge G. Morfin (co-spokesperson, Fermilab, USA)  
Ornella Palamara (Fermilab, USA)  
Jon Paley (Fermilab, USA)  
Roberto Petti (University of South Carolina, USA)  
Gabe Perdue (GENIE representative, Fermilab, USA)  
Federico Sanchez (IFAE, University of Barcelona, Spain)  
Sam Zeller (Fermilab, USA)

# NuSTEC white paper

<https://arxiv.org/abs/1706.03621>

- It addresses all topics of neutrino-nucleus scattering around 1-10 GeV.

Progress in Particle and Nuclear Physics 100 (2018) 1–68



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Review

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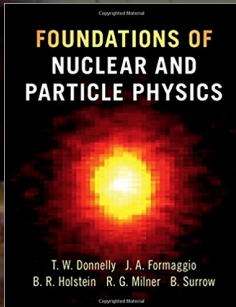
# NuSTEC school



## NuSTEC school, Fermilab, USA (Nov. 7-15, 2017)

- NuSTEC school is dedicated for students/postdocs to learn physics of neutrino interactions, both for theorists, and experimentalists

- |  |  |
|--|--|
| 1. The Practical Beauty of Neutrino-Nucleus Interactions (1 hour)            | - Dr. Gabe Perdue (Fermilab)                                 |
| 2. Introduction to electroweak interactions on the nucleon (3 hours)         | - Prof. Richard Hill (University of Kentucky and Fermilab)   |
| 3. Introduction to $\nu$ -nucleus scattering (3 hours)                       | - Prof. Wally Van Orden (Old Dominion University&JLab, VA)   |
| 4. Strong and electroweak interactions in nuclei (3 hours)                   | - Dr. Saori Pastore (Los Alamos National Lab., NM)           |
| 5. Approximate methods for nuclei (I) (2 hours)                              | - Dr. Artur Ankowski (Virginia Tech, VA)                     |
| 6. Approximate methods for nuclei (II) (2 hours)                             | - Prof. Natalie Jachowicz (Ghent University, Belgium)        |
| 7. Ab initio methods for nuclei (2 hours)                                    | - Dr. Alessandro Lovato (Argonne National Lab, IL)           |
| 8. Pion production and other inelastic channels (3 hours)                    | - Prof. Toru Sato (Osaka University, Japan)                  |
| 9. Exclusive channels and final state interactions (3 hours)                 | - Dr. Kai Gallmeister (Goethe University Frankfurt, Germany) |
| 10. Inclusive $e^-$ and $\nu$ -scattering in the SIS and DIS regimes (3 hrs) | - Prof. Jeff Owens (Florida State University, FL)            |
| 11. Systematics in neutrino oscillation experiments (3 hours)                | - Dr. Sara Bolognesi (CEA Saclay, France)                    |
| 12. Generators 1: Monte Carlo methods and event generators (3 rs)            | - Dr. Tomasz Golan (Univ. Wroclaw, Poland)                   |
| 12. Generators 2: Nuisance (2 hours)   | - Dr. Patrick Stowell (Univ. Sheffield, UK)                  |



## Foundation of Nuclear and Particle Physics

- Cambridge University Press (2017), ISBN:0521765110
- Authors: Donnelly, Formaggio, Holstein, Milner, Surrow
- The first textbook on this subject!

# NuInt17, Toronto, Canada (June 25-30, 2017)

<https://nuint2017.physics.utoronto.ca/>

Topics include;

- T2K CC inclusive 4pi measurement
- Pion scattering data from LArIAT (argon) and DUET (carbon)
- New pion production models
- MINERvA pion data global fit
- MINERvA new study on 2p2h
- T2K measurements on Single Transverse Variables (STV)
- and more...



## NuInt 18

12<sup>th</sup> International Workshop on  
Neutrino-Nucleus Interactions  
in the Few-GeV Region

2018 October 15-19

Gran Sasso Science Institute, Italy



<https://indico.cern.ch/event/703880/>

NuInt18, Gran Sasso Science Institute (GSSI), Italy, October 15-19, 2018

<https://indico.cern.ch/event/703880/>

# Neutrino Shallow and Deep-Inelastic scattering, GSSI, Oct 11-13

<http://nustec.fnal.gov/nuSDIS18/>

- A dedicated workshop for physics related to DUNE, NOvA, etc
- generator developments, impact on oscillation analyses
- higher resonance and non-resonance contributions
- low Q2 low W DIS
- nuclear modifications and nuclear-dependent PDFs
- neutrino hadronization problem

2018 October 11-13  
 Gran Sasso Science Institute, Italy



## $\nu$ S&DIS workshop

Neutrino Shallow- and Deep-inelastic Scattering workshop



[nustec.fnal.gov/nuSDIS18](http://nustec.fnal.gov/nuSDIS18)

Register now!  
<http://nustec.fnal.gov/nuSDIS18/>



## Conclusion

1 to 10 GeV neutrino interaction measurements are crucial to successful next-generation neutrino oscillation experiments (DUNE, Hyper-K)

CCQE: Presence of 2p-2h contribution is still a big discussion of the community.

Resonance region: Many tensions in existing data. It could be experimental errors, poor understanding of resonance and/or final state interaction models, and/or 2-body current in meson productions.

SIS physics: Very few activities but it is important for future DUNE experiment.

We need models working in all kinematic region. Neutrino experiment is incomplete final state particle measurements, incomplete kinematics, with unknown targets. This is different from electron scattering (nuclear physics) and collider physics (particle physics).

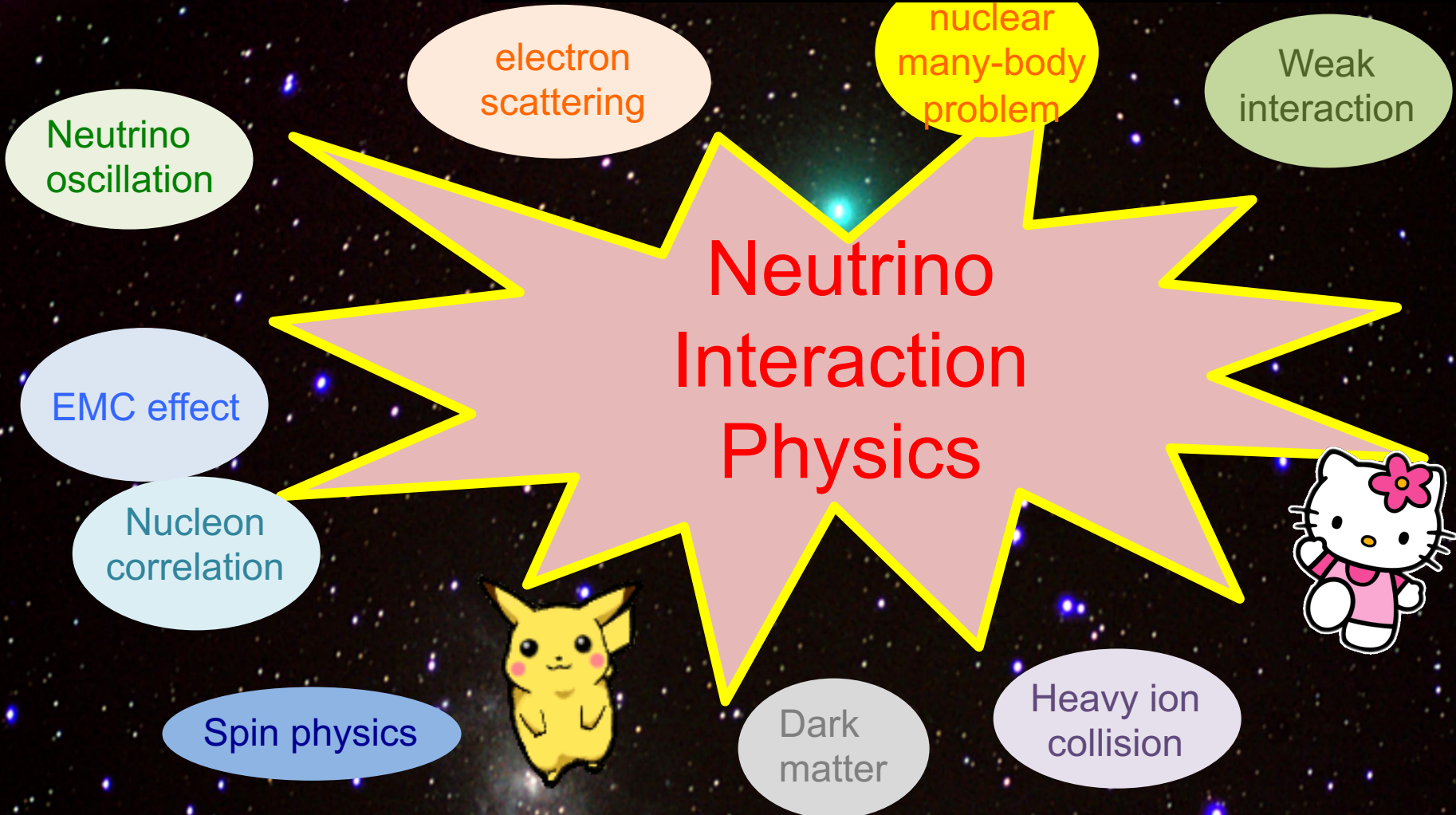
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(or just send e-mail to me, [katori@FNAL.GOV](mailto:katori@FNAL.GOV))

like "@nuxsec" on Facebook page, use hashtag #nuxsec

## Conclusion



**Thank you for your attention!**

1. v-interaction
2. CCQE
3. Resonance
4. SIS, DIS
5. Conclusion

# Backup

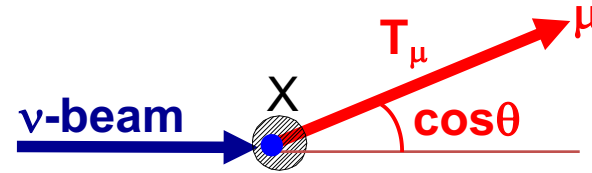
## 2. Neutrino experiment

Experiment measure the interaction rate  $R$ ,

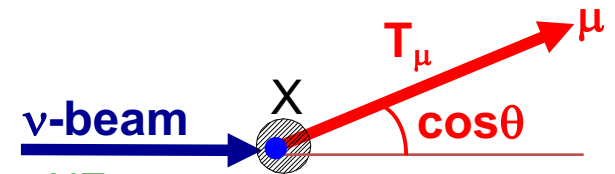
$$R \sim \int \Phi \times \sigma \times \varepsilon$$

- $\Phi$  : neutrino flux
- $\sigma$  : cross section
- $\varepsilon$  : efficiency

When do you see data-MC disagreement, how to interpret the result?

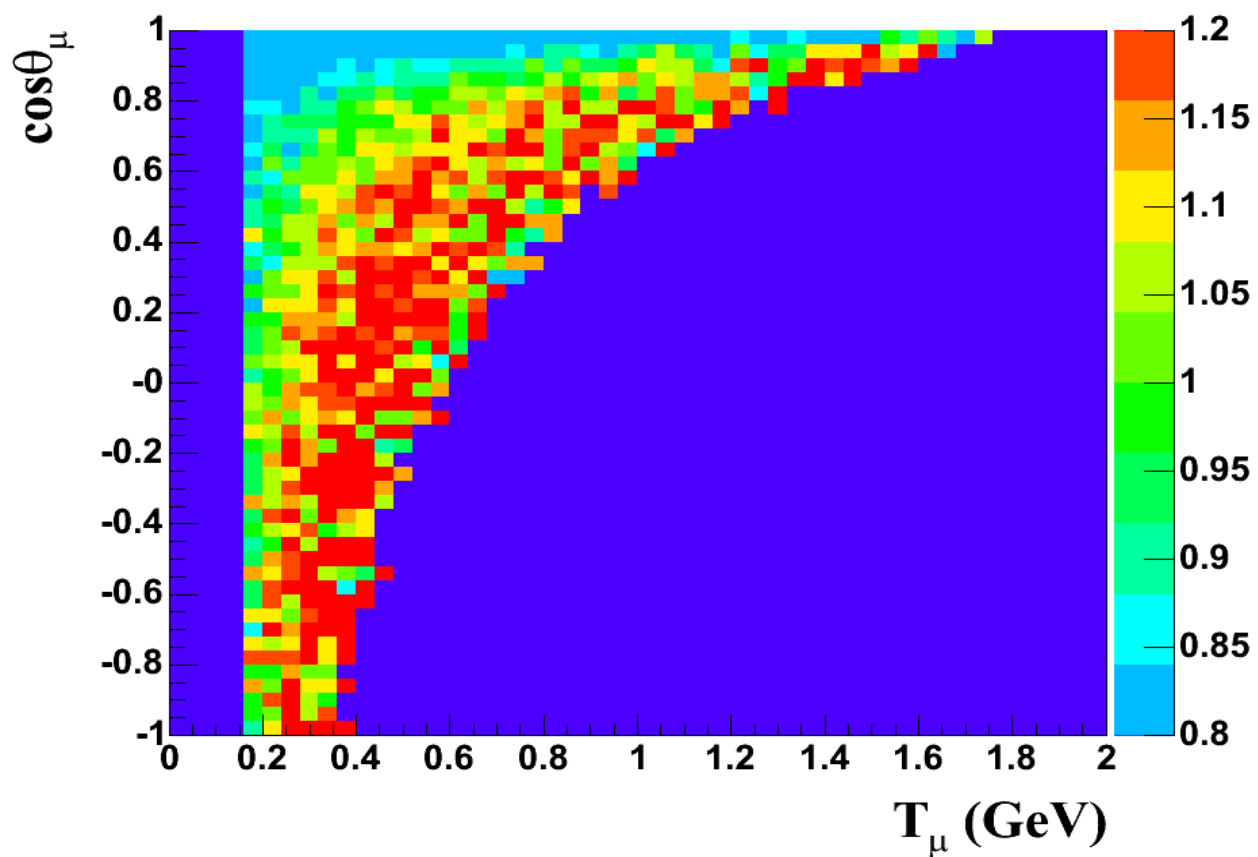


## 2. MiniBooNE phase space



### CCQE kinematic space ( $T_\mu$ - $\cos\theta_\mu$ plane) in MiniBooNE

Since observables are muon energy ( $T_\mu$ ) and angle ( $\cos\theta_\mu$ ), these 2 variables completely specify the kinematic space.



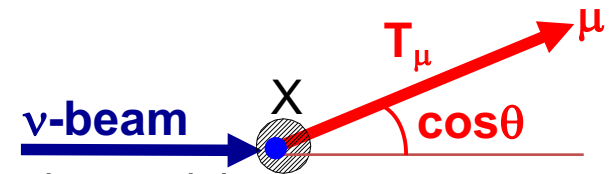
$$\frac{d\sigma^2}{dE d\Omega} \sim \frac{d\sigma^2}{dE d(\cos\vartheta)}$$

Data-MC ratio for  $T_\mu$ - $\cos\theta_\mu$  plane (arbitrary normalization).

MiniBooNE MC doesn't describe data very well.

We would like to improve our simulation, but how?

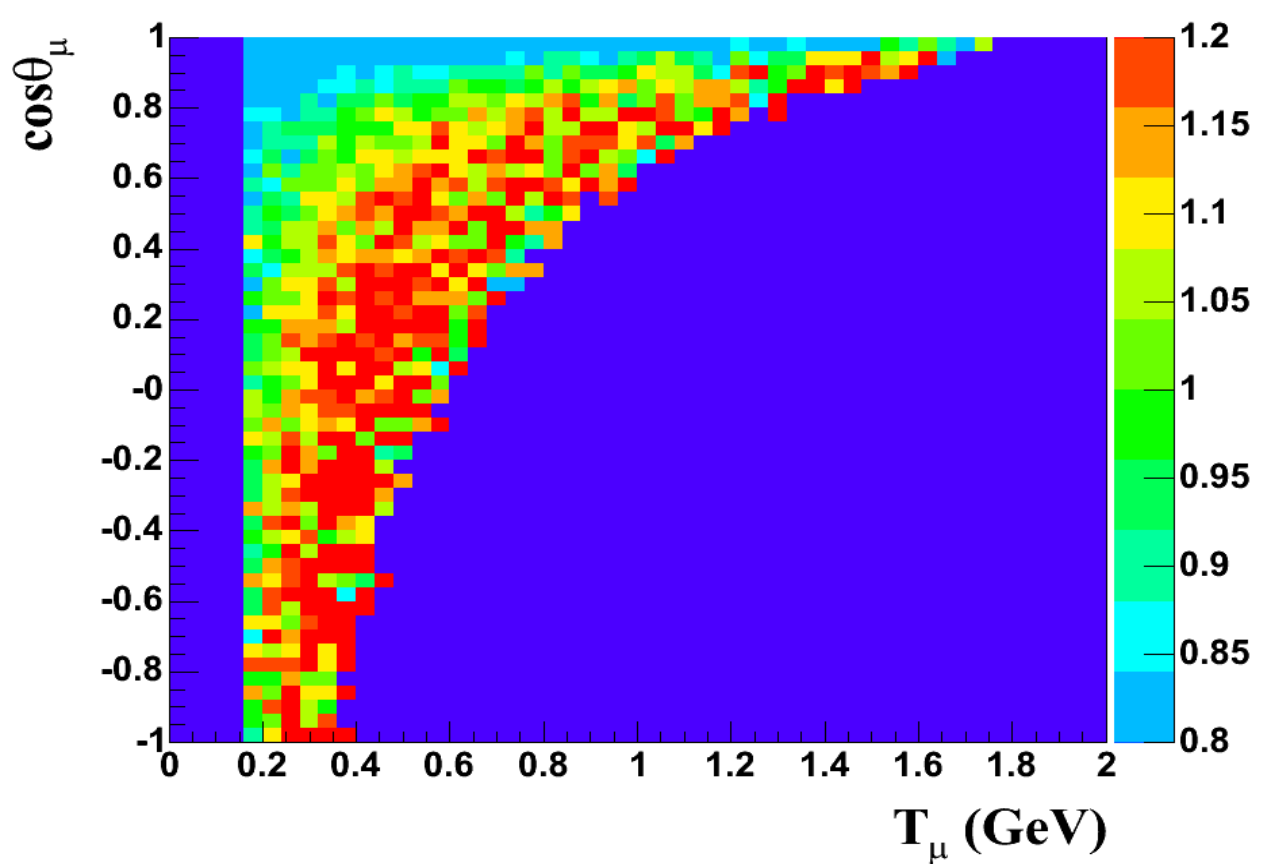
## 2. MiniBooNE phase space



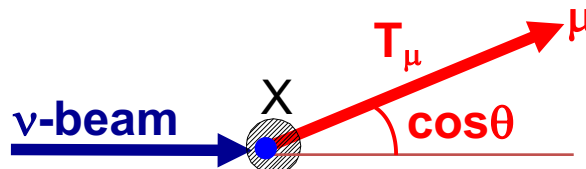
Without knowing flux, you cannot modify cross section model

$$R \sim \int \Phi \times \sigma$$

$$\frac{d\sigma^2}{dEd\Omega} \sim \frac{d\sigma^2}{dEd(\cos\vartheta)}$$

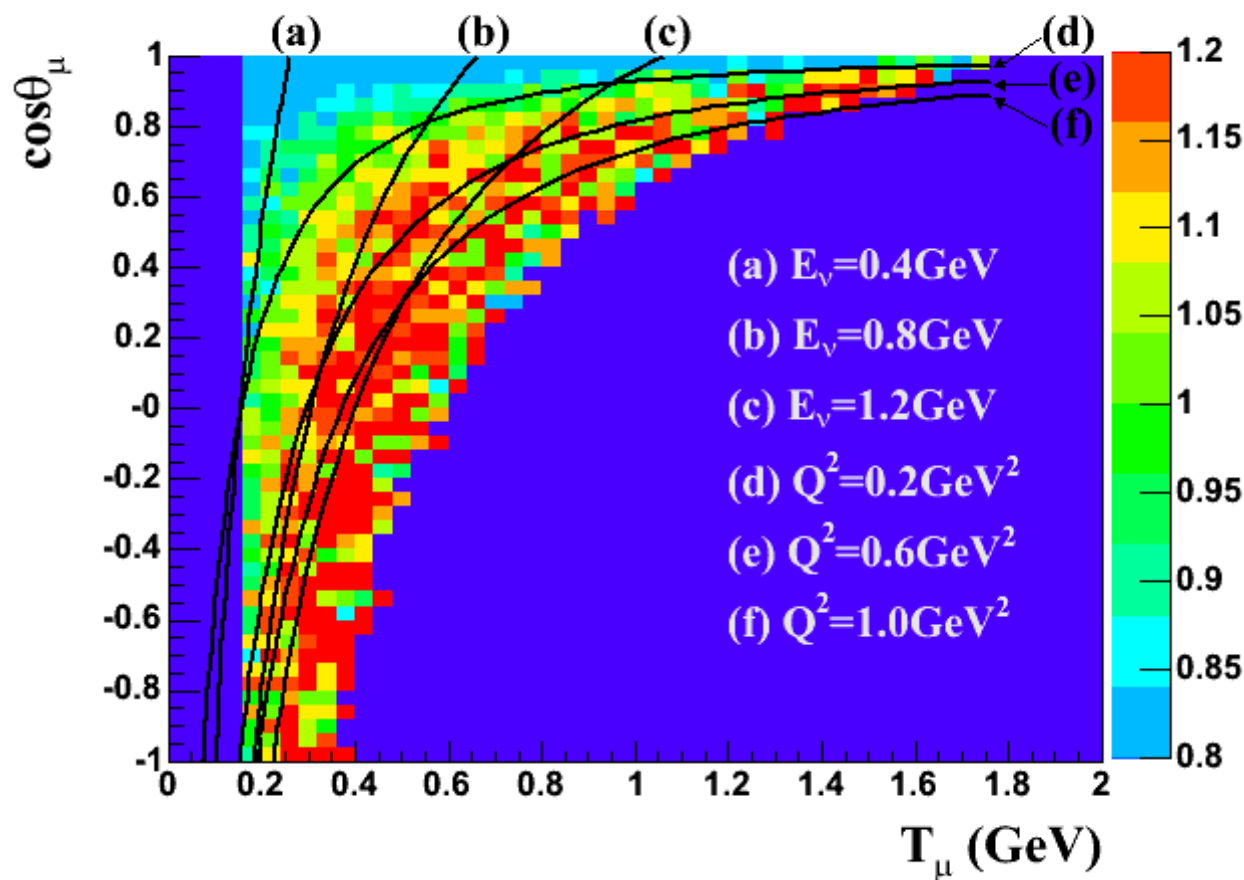


## 2. MiniBooNE phase space



Without knowing flux, you cannot modify cross section model

$$R(E_\nu, Q^2) \sim \int \Phi(E_\nu) \times \sigma(Q^2)$$

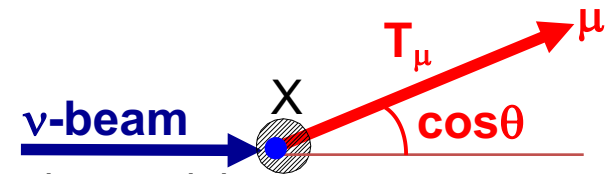


$$\frac{d\sigma^2}{dE d\Omega} \sim \frac{d\sigma^2}{dE d(\cos \vartheta)}$$

The data-MC disagreement follows equal  $Q^2$ -lines, not equal  $E_\nu$ -lines.

→ Something wrong in cross section model, not flux model.

# 2. MiniBooNE phase space

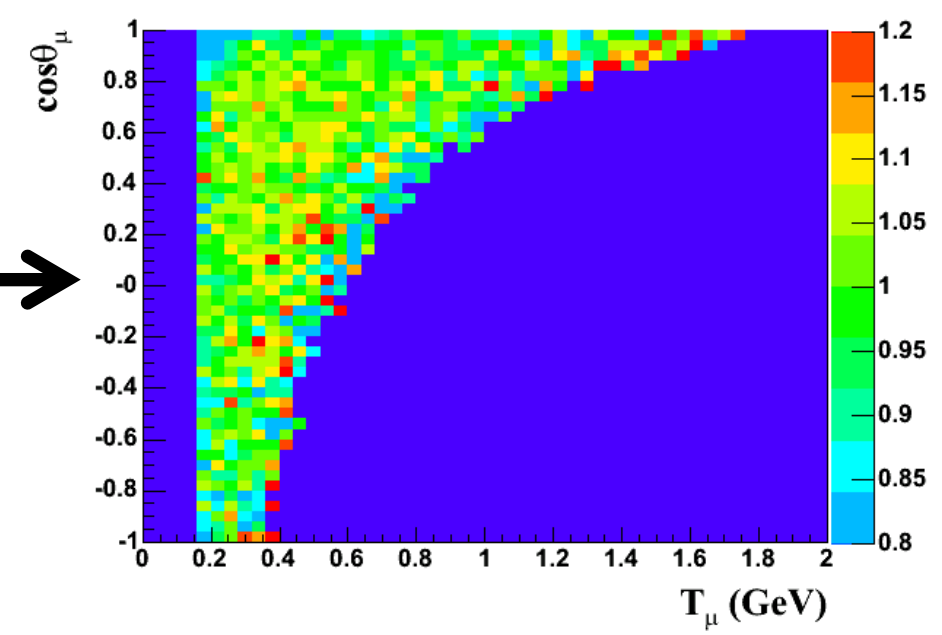
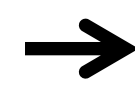
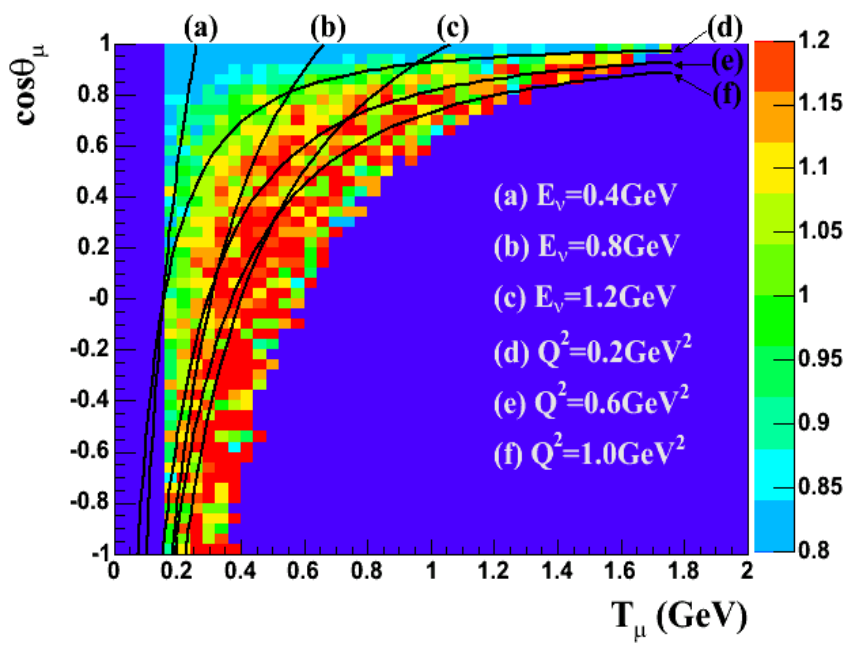


Without knowing flux, you cannot modify cross section model

$$R(E_\nu, Q^2) \sim \int \Phi(E_\nu) \times \sigma(Q^2)$$

After tuning cross section parameters, data and MC agree.

$$\frac{d\sigma^2}{dE d\Omega} \sim \frac{d\sigma^2}{dE d(\cos\vartheta)}$$





## 2. Smith-Moniz formalism

Nucleus is described by the collection of incoherent **Fermi gas particles**.

$$(W_{\mu\nu})_{ab} = \int_{E_{lo}}^{E_{hi}} f(\vec{k}, \vec{q}, w) T_{\mu\nu} dE : \text{hadronic tensor}$$

$f(\vec{k}, \vec{q}, w)$  : nucleon phase space distribution

$T_{\mu\nu} = T_{\mu\nu}(F_1, F_2, F_A, F_P)$  : nucleon form factors

$F_A(Q^2) = g_A / (1 + Q^2/M_A^2)^2$  : Axial vector form factor

$E_{hi}$  : the highest energy state of nucleon

$E_{lo}$  : the lowest energy state of nucleon

Although Smith-Moniz formalism offers variety of choice, one can solve this equation analytically if the nucleon space is simple.



## 2. Relativistic Fermi Gas (RFG) model

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$E_{hi}$  : the highest energy state of nucleon  $= \sqrt{(p_F^2 + M^2)}$

$E_{lo}$  : the lowest energy state of nucleon  $= \kappa \left( \sqrt{(p_F^2 + M^2)} - \omega + E_B \right)$

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MiniBooNE tuned following 2 parameters using  $Q^2$  distribution by least  $\chi^2$  fit;

$M_A$  = effective axial mass

$\kappa$  = effective Pauli blocking parameter

MiniBooNE tuned their axial mass to 1.3 GeV!

but axial mass  
is not 1.3 GeV!



## 2. How to emit 2 nucleons from correlated pair?

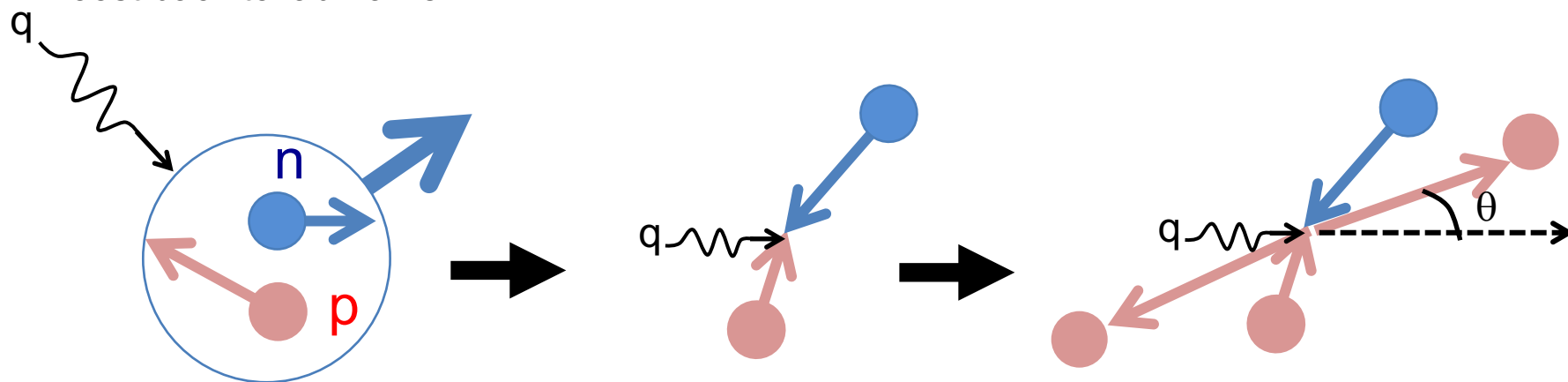
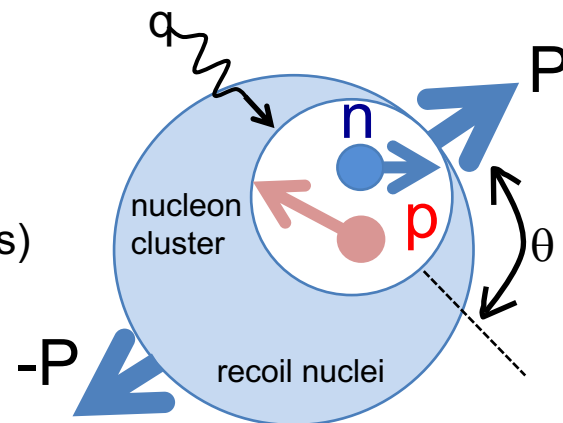
Default model for GENIE, NEUT, NuWro...

For a given Energy-Momentum transfer...

1. Choose 2 nucleons from specified kinematics (e.g., Fermi gas)
2. n-n, n-p, p-p pairs are allowed, if interaction is allowed
3. Energy-momentum conservation

Once 2 nucleons from on-shell are chosen

- i.  $\omega$ -q vector and nucleon cluster makes CM system (hadronic system)
- ii. Isotropic decay (random  $\theta$  and  $\phi$ ) of hadronic system creates 2 nucleon emission
- iii. Boost back to lab frame



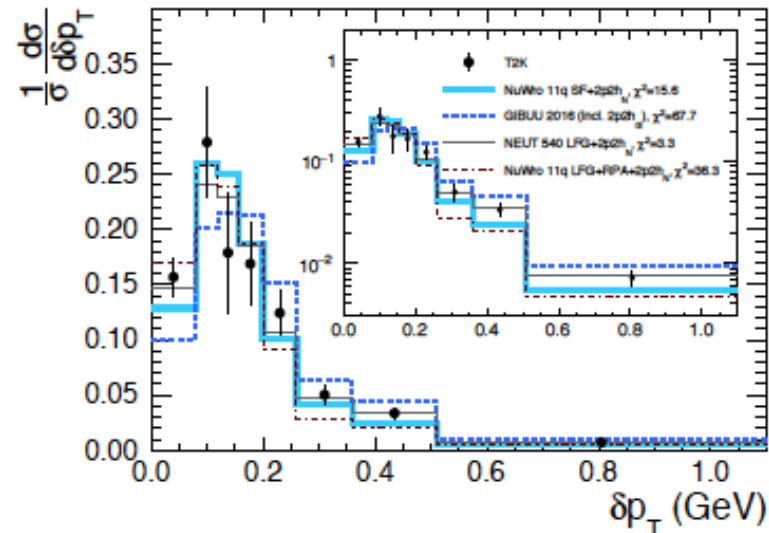
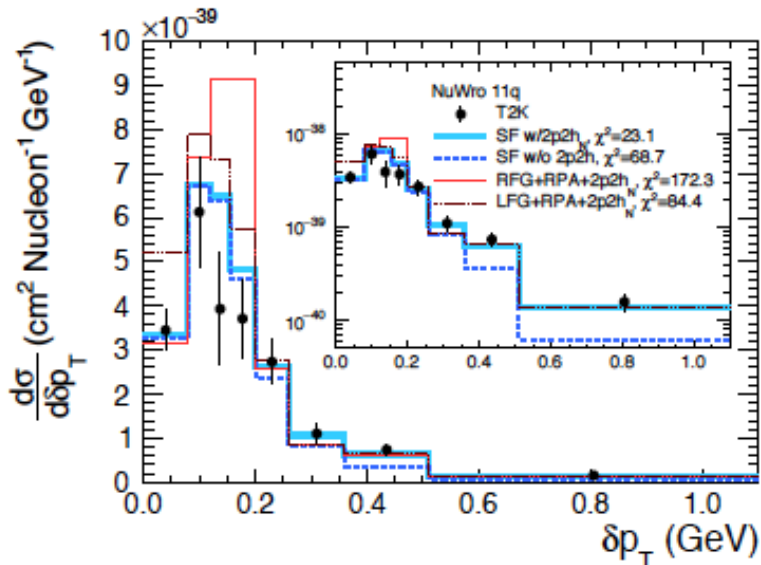
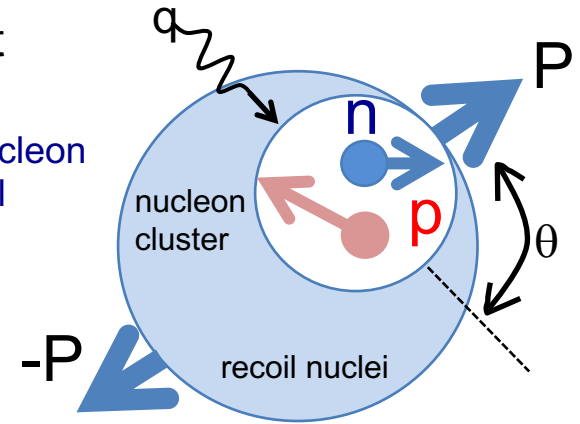
Is there correct way to model 2 nucleon emissions from a correlated nucleon pair?

## 2. Hadron measurement for nuclear correlation

There is a strong belief in experimental community that hadron final states tell everything about 2p2h...

home-made nucleon emission model

We need prediction of hadronic final states from theorists



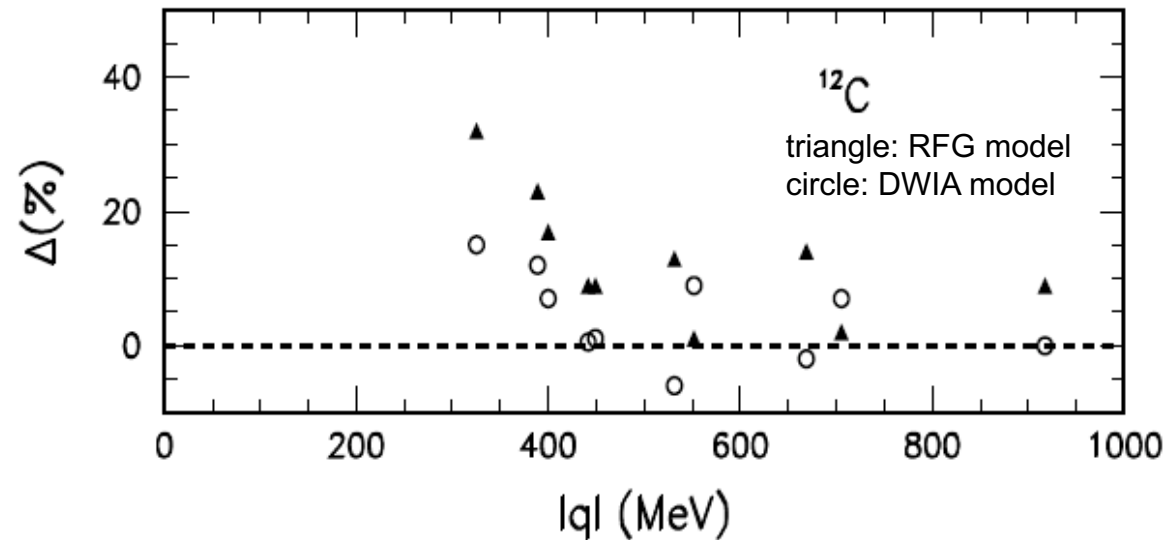
## 2. Relativistic Fermi Gas (RFG) model

### Relativistic Fermi Gas (RFG) Model

Nucleus is described by the collection of incoherent Fermi gas particles. All details come from hadronic tensor.

In low  $|q|$ , The RFG model systematically over predicts cross section for electron scattering experiments at low  $|q|$  ( $\sim$ low  $Q^2$ )

### Data and predicted xs difference for $^{12}\text{C}$

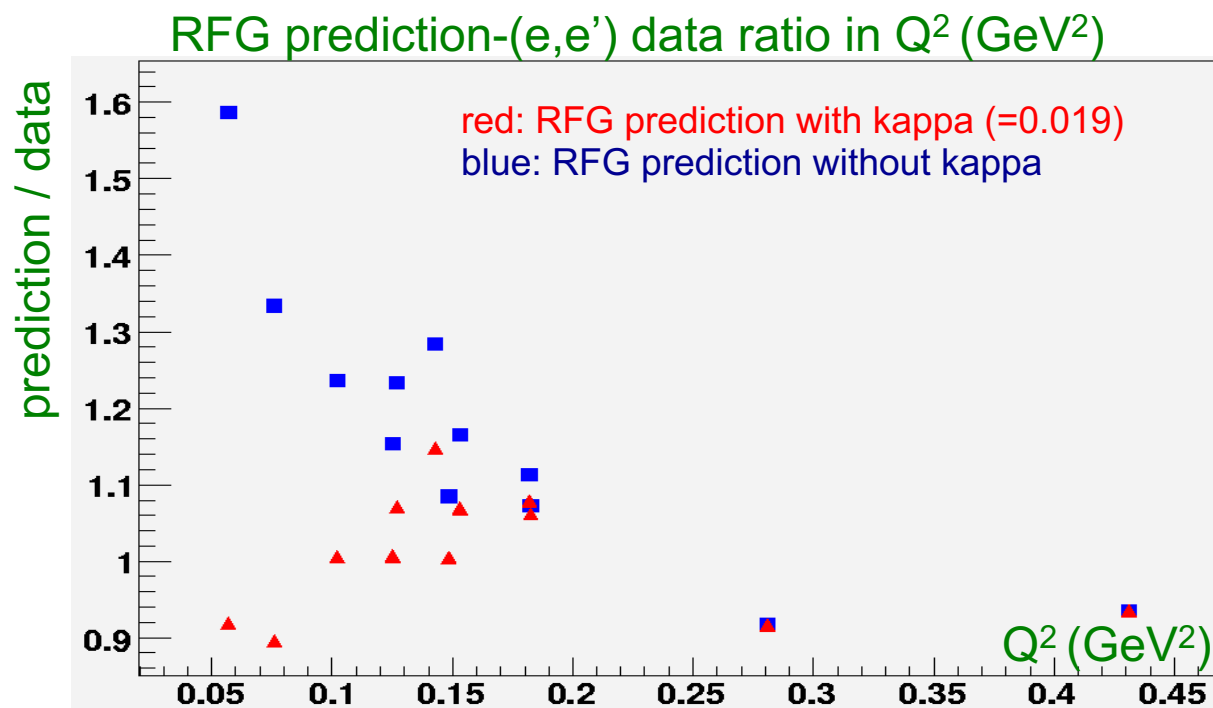


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# 1. Kinematic E reconstruction vs calorimetric E reconstruction

Calorimetric energy reconstruction suffers invisible hadrons (=neutrons)

It largely depends on **neutrino interaction and hadron simulation**

- multiplicity
  - kinematics
  - nuclear effect
  - re-scattering
  - charge exchange
  - baryonic resonance
  - nucleon correlation
- etc

