Neutrino Interactions with Nucleons and Nuclei

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Based on work with T. Leitner, O. Lalakulich and K. Gallmeister



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Motivation

 Neutrino properties can be extracted from Long Baseline Experiments only if energy is known

Both calorimetry and QE-based energy reconstruction methods require understanding of the full event

Theory has to be able to describe the full final states of all particles, inclusive X-sections are not sufficient







Neutrino-nucleon cross section





Neutrino-Nucleon Cross Sections







Neutrino Beams

Neutrinos do not have fixed energy:



Have to reconstruct energy from final state of reaction





Observable Oscillation Parameters

$$P(
u_{\mu}
ightarrow
u_{e}) = \sin^{2} 2\theta \sin^{2} \left(\frac{\Delta m^{2} L}{4E_{\nu}} \right)$$

$$\frac{1}{10} = \frac{1}{10} = \frac{1}{10}$$







Neutrino Oscillations

$$P(\nu_{\mu} \rightarrow \nu_{e}) \simeq \sin^{2} 2\theta_{13} \sin^{2} \theta_{23} \frac{\sin^{2}[(1-\hat{A})\Delta]}{(1-\hat{A})^{2}} \\ - \alpha \sin 2\theta_{13} \xi \sin \delta \sin(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1-\hat{A})\Delta]}{(1-\hat{A})} \\ + \alpha \sin 2\theta_{13} \xi \cos \delta \cos(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1-\hat{A})\Delta]}{(1-\hat{A})} \\ + \alpha^{2} \cos^{2} \theta_{23} \sin^{2} 2\theta_{12} \frac{\sin^{2}(\hat{A}\Delta)}{\hat{A}^{2}} \\ \equiv O_{1} + O_{2}(\delta) + O_{3}(\delta) + O_{4} .$$

$$Dappearance probability$$

$$Vacuum oscillation depends on difference of (squared) masses only$$

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$$Dappearance probability$$

$$Dappearance probability$$

Oscillation Signal Dependence on Hierarchy and Mixing Angle



Energy has to be known better than 50 MeV Shape sensitive to hierarchy and sign of D. mixing angle CETUP* 07/2014



Fig. 2. $\mathcal{P}_{\mu\varepsilon}$ in matter versus neutrino energy for the T2K experiment. The blue curves depict the normal hierarchy, red the inverse hierarchy. Solid curves depict positive θ_{13} , dashed curves negative θ_{13}

D.J. Ernst et al., arXiv:1303.4790 [nucl-th]





LBNE, δ_{CP} sensitivity



proton energy

Need energy within 100 MeV to distinguish between different δ_{CP}





Energy Reconstruction by QE

In QE scattering on nucleon at rest, only *l* +*p*, 0 π, is outgoing. lepton determines neutrino energy:



$$E_{\nu} = \frac{2M_{N}E_{\mu} - m_{\mu}^{2}}{2(M_{N} - E_{\mu} + p_{\mu}\cos\theta_{\mu})}$$

Trouble: all presently running exps use nuclear targets
 Nucleons are Fermi-moving
 Final state interactions may hinder correct event identification

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FSI in Nuclear Targets



Complication to identify QE, entangled with π production Both must be treated at the same time Nuclear Targets (K2K, MiniBooNE, T2K, MINOS, Minerva,LBNE)

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GiBUU : Theory and Event Simulator
 based on a BM solution of Kadanoff-Baym equations

 Physics content and details of implementation in:
 Buss et al, Phys. Rept. 512 (2012) 1- 124

 Code available from gibuu.hepforge.org

Mine of information on theoretical treatment of potentials, collision terms, spectral functions and cross sections, useful for any generator





Transport Equation

 Kadanoff-Baym equation for space-time development of one particle spectral phase space density *F* (*Wigner Function*) after gradient expansion

$$\mathcal{D}F(x,p) + \operatorname{tr}\left\{\operatorname{Re}\tilde{S}^{\operatorname{ret}}(x,p), -\mathrm{i}\tilde{\Sigma}^{<}(x,p)\right\}_{\operatorname{pb}} = C(x,p).$$

F = spectral phase-space density:

$$F(x, p) = -2f(x, p) \operatorname{tr}[\operatorname{Im}(\tilde{S}^{\operatorname{ret}}(x, p))\gamma^{0}],$$

$$\mathcal{D}F = \{p_0 - H, F\}_{pb}$$
 with $H = E^*(x, p) - \operatorname{Re} \tilde{\Sigma}_V^0(x, p)$.





Transport Equation

Collision term

$$\mathcal{D}F(x,p) + \operatorname{tr}\left\{\operatorname{Re}\tilde{S}^{\operatorname{ret}}(x,p), -\mathrm{i}\tilde{\Sigma}^{<}(x,p)\right\}_{pb} = C(x,p).$$

$$\frac{\operatorname{Drift term}}{\left(1 - \frac{\partial H}{\partial p_{0}}\right)\frac{\partial}{\partial t} + \frac{\partial H}{\partial \mathbf{p}}\frac{\partial}{\partial \mathbf{x}} - \frac{\partial H}{\partial \mathbf{x}}\frac{\partial}{\partial \mathbf{p}} + \frac{\partial H}{\partial t}\frac{\partial}{\partial p^{0}} + \operatorname{KB term}\left[F(x,p)\right]$$

$$= -\operatorname{loss term} + \operatorname{gain term}$$

Kadanoff-Baym equation

- LHS: drift term + backflow (KB) terms
- RHS: collision term = loss + gain terms





Collision term

$$C^{(2)}(x,p_{1}) = C^{(2)}_{\text{gain}}(x,p_{1}) - C^{(2)}_{\text{loss}}(x,p_{1}) = \frac{S_{1'2'}}{2p_{1}^{0}g_{1'}g_{2'}} \int \frac{\mathrm{d}^{4}p_{2}}{(2\pi)^{4}2p_{2}^{0}} \int \frac{\mathrm{d}^{4}p_{1'}}{(2\pi)^{4}2p_{1'}^{0}} \int \frac{\mathrm{d}^{4}p_{2'}}{(2\pi)^{4}2p_{2'}^{0}} \\ \times (2\pi)^{4}\delta^{(4)} \left(p_{1} + p_{2} - p_{1'} - p_{2'}\right) \overline{|\mathcal{M}_{12 \to 1'2'}|^{2}} [F_{1'}(x,p_{1'})F_{2'}(x,p_{2'})\overline{F}_{1}(x,p_{1}) \\ \times \overline{F}_{2}(x,p_{2}) - F_{1}(x,p_{1})F_{2}(x,p_{2})\overline{F}_{1'}(x,p_{1'})\overline{F}_{2'}(x,p_{2'})]$$

with

$$F(x,p) = 2\pi g A(x,p) f(x,p)$$

$$\overline{F}(x,p) = 2\pi g A(x,p) \left[1 - f(x,p)\right]$$

More complicated expressions for 3-body interactions (e.g. pion absorption)

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BM Simplification

Problem: ,backflow' term does not directly depend on F

Botermans-Malfliet simplification for equilibrium, correction terms are of higher order in gradients

$$\begin{split} \tilde{\Sigma}_{eq}^{<}(x,p) &= i\Gamma_{eq}(x,p)f_{eq}(x,p), \\ \tilde{\Sigma}_{eq}^{>}(x,p) &= -i\Gamma_{eq}(x,p)[1-f_{eq}(x,p)] \\ \Gamma(x,p) &= -2\mathrm{Im}\tilde{\Sigma}^{ret}(x,p) \end{split}$$

$$\mathcal{D}F(x,p) - \operatorname{tr}\left\{\Gamma f, \operatorname{Re} \tilde{S}^{\operatorname{ret}}(x,p)\right\}_{\operatorname{pb}} = C(x,p).$$

BM term now ~ Γ , controls off-shell transport

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Spectral Function

 $F(x, p) = -2f(x, p)\operatorname{tr}[\operatorname{Im}(\tilde{S}^{\operatorname{ret}}(x, p))\gamma^{0}],$

$$A(x,p) := \frac{1}{g} \operatorname{tr}[\hat{A}(x,p)\gamma^{0}] = -\frac{1}{g\pi} \operatorname{tr}[\operatorname{Im}(\tilde{S}^{\operatorname{ret}}(x,p))\gamma^{0}],$$

$$F(x, p) = 2\pi gf(x, p)A(x, p).$$

"Spectral Phase Space Density" = Product of phase-space density *f* and spectral function *A*





GiBUU and MC Event Generators

Phase-space distribution f is solved by a testparticle method, well known in numerical fluid dynamics Care is taken to respect relativity and detailed balance GiBUU reduces to MC event generators if All particles on shell No potentials present No in-medium effects





Theoretical Basis of GiBUU

Kadanoff-Baym equation (1960s) full equation can not be solved yet - not (yet) feasible for real world problems Boltzmann-Uehling-Uhlenbeck (BUU) models Boltzmann equation as gradient expansion of Kadanoff-Baym equations, in Botermans-Malfliet representation (1990s): GiBUU Cascade models (typical event generators, NUANCE, GENIE, NEUT,..)

Simplicity

no mean-fields, primary interactions and FSI not consistent





Practical Basis: GiBUU

- one transport equation for each particle species (61 baryons, 21 mesons)
- coupled through the potential in H and the collision integral C
- W < 2.5 GeV: Cross sections from resonance model (PDG and MAID couplings), consistent with electronuclear physics
- W > 2.5 GeV: particle production through string fragmentation (PYTHIA), with smoothened transition
- GiBUU: Only `Neutrino Event Generator' that has widely been tested with various hadronic and em reactions, NO TUNING to nuclear data (except 2p2h)





GiBUU Ingredients: ISI

- In-medium corrected primary interaction cross sections, boosted to rest frame of moving bound nucleon in local Fermigas
- Includes spectral functions for baryons and mesons (binding + collision broadening)
- Hadronic couplings for FSI taken from PDG (Manley anal.)
- Vector couplings taken from electro-production (MAID)
- Axial couplings modeled with PCAC



Reaction Types

- 2 major reaction types relevant:
- 1. QE scattering
 - true QE (single particle interaction)
 - many-particle interactions (RPA + 2p2h + spectral functions)
- 2. Pion production
 - through nucleon resonances (W < 2 GeV)
 - through DIS (W > 2 GeV)
- All reaction types are entangled: final states may look the same













Quasielastic Scattering



- Vector form factors from *e*-scattering
- axial form factors
 - $F_A \Leftrightarrow F_P$ and $F_A(0)$ via PCAC dipole ansatz for F_A with

 M_A = 1 GeV:

$$F_A(Q^2) = \frac{g_A}{\left(1 + \frac{Q^2}{M_A^2}\right)^2}$$



Axial Formfactor of the Nucleon neutrino data agree with electro-pion production data





M_A ≅ 1.02 GeV world average M_A ≅ 1.07 GeV world average Dipole ansatz is simplification, not good for vector FF





Spectral Functions

Single particle spectral functions absorb effects of interactions in particle properties
 Free Fermi gas (in generators):

$$P_h(\mathbf{p}, E) = \Theta(\mathbf{p}_F - \mathbf{p}) \,\delta(E + T_p)$$

spiky E-dep. leads to artifacts in response
 Now: dress particle with interactions, mean field and/or additional interactions → quasiparticles







Spectral Function in GiBUU

$$P_h(\mathbf{p}, E) = \int_{NV} \mathrm{d}^3 x \left[\Theta(p_F(\mathbf{x}) - \mathbf{p}) \,\delta\left(E + T_p + V(\mathbf{x}, \mathbf{p})\right)\right]$$

Two essential features:

1. Local TF momentum distribution removes artifacts of sharp cut at p_F

2. Particles bound in momentum- and coordinate-dependent potential, integration removes delta-function spikes in energy

Spectral function in GiBUU contains interactions in mean field





Nuclear Groundstate the same for all processes!



From: Alvarez-Ruso, Hayato, Nieves

Also *E*-dependence is different from Global Fermi Gas: momentum and x-dependent otential leads to smooth behavior

GiBUU uses Local Fermi Gas + Nukleon mean field potential





2p-2h in Generators

- Mandatory: same nuclear ground state for 1p-1h and 2p-2h processes
 - Generators: free Fermi gas
 - Nieves 2p-2h model: dressed Fermi gas in mean field potential
- Nieves model cannot be simply added to simple generators: inconsistent → inconclusive





2p-2h and spectral functions



Cross section= sum over amplitudes squared

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M.B. Barbaro et al,

2011



2p-2h and spectral functions

- Part of 2p-2h interactions is contained in spectral function
- Another part is missing!







2p-2p excitations and spectral functions



Can also be obtained by cutting selfenergy diagrams (Optical Theorem, Cutkosky rules)





2p-2p excitations and spectral functions



Interference term squared



Interference of ISI and FSI





GiBUU 2p2h

■ Model for $v + p_1 + p_2 \rightarrow p_3 + p_4 + \mu$ (no recoil)

$$\frac{d^2\sigma}{dE'_l d(\cos\theta')} \propto \frac{k'}{k} \int_{NV} d^3r \int \prod_{j=1}^2 \frac{d^3p_j}{(2\pi)^3 2E_j} f_1 f_2 \overline{|M|^2} (1-f_3)(1-f_4)\delta^4(p)$$

with flux averaged matrixelement

$$\overline{|M|^2} = \int \Phi(E_{\nu}) L_{\mu\nu} W^{\mu\nu} \,\mathrm{d}E_{\nu}$$

Flux smears out details in hadron tensor *W* W contains 2p-2h and poss. RPA effects





GiBUU 2p2h

- $W^{\mu\nu} = F(Q^2) P^{\mu\nu}_{T}(q)$
- Integration over initial states; final state phase space not integrated,
- Final state phase space calculated in 2p cm-system, then Lorentz-boosted to lab and Pauli-blocking applied





2p-2h Problems



Double Counting Problem for 2p2h Implementation in Generators

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Only adhoc ,tune' in GiBUU

- Educated guess for 2p2h in GiBUU with tuned strength
- Big open question: up to which neutrino energies (or Q²,v) are models good?
 Compare with Lightbody-Bosted analysis













Pion Production

$$\begin{split} J^{\alpha\mu}_{\Delta} = & \left[\frac{C^V_3}{M_N} (g^{\alpha\mu} \not\!\!\!/ - q^{\alpha} \gamma^{\mu}) + \frac{C^V_4}{M_N^2} (g^{\alpha\mu} q \cdot p' - q^{\alpha} p'^{\mu}) + \frac{C^V_5}{M_N^2} (g^{\alpha\mu} q \cdot p - q^{\alpha} p^{\mu}) \right] \gamma_5 \\ & + \frac{C^A_3}{M_N} (g^{\alpha\mu} \not\!\!\!/ - q^{\alpha} \gamma^{\mu}) + \frac{C^A_4}{M_N^2} (g^{\alpha\mu} q \cdot p' - q^{\alpha} p'^{\mu}) + C^A_5 g^{\alpha\mu} + \frac{C^A_6}{M_N^2} q^{\alpha} q^{\mu} \end{split}$$

- 13 resonances with W < 2 GeV, non-resonant single-pion background, DIS
- pion production dominated by P₃₃(1232) resonance:
- **C**^V from electron data (MAID analysis with CVC)
- C^A from fit to neutrino data (experiments on hydrogen/deuterium), so far only C^A₅ determined, for other axial FFs only educated guesses





Pions

Pion production amplitude = resonance contrib + background (Born-terms) Resonance contrib V determined from e-scattering (MAID) A from PCAC ansatz Background: Up to about Δ obtained from effective field theory Beyond Δ unknown

2 pi BG totally unknown





Pion Production



discrepancy between elementary data sets →impossible to determine 3 axial formfactors





π -N inv. Mass Distributions

(a)

(b)

(c)

1.8

2





BNL data

Lalakulich et al., Phys. Rev. D 82, 093001 (2010)

ANL data





Hadronization







Observables, Experiments

multiplicity ratio

$$R_M^h(z_h,\ldots) = \frac{\left(\frac{N_h(z_h,\ldots)}{N_e(\ldots)}\right)_A}{\left(\frac{N_h(z_h,\ldots)}{N_e(\ldots)}\right)_D}$$

hadronic:
$$z_h = \frac{E_h}{\nu}$$
, p_{\perp} , ...
photonic: ν , Q^2 , W , x_B , ...



Formation Times 29.10.07



Production and Formation Times from PYTHIA





All times in lab (nucleus) frame, from Falter & Gallmeister, Phys.Lett.B630:40-48,2005



Times at low (< 1 GeV) energies

Physics dominated by isolated nucleon resonances, e.g.:

 $(e, v) + N \rightarrow \Delta \rightarrow \pi + N$

 Lifetime of ∆ determines production time of pion, formation time is zero (because hadrons, are produced in their gs, due to phase-space limitation)



Times at Low Energies

Naive guess for production time:

 $\tau_p = 1/\Gamma_0$ ($\Gamma_0 = \text{free resonance width}$)

Educated guess:

 $\tau_{p} = 1/(\Gamma_{0} + \Gamma_{coll})$ with $\Gamma_{coll} = \rho \vee \sigma$



Attenuation: EMC and HERMES



 $\sigma_{\rm pre}$ =

const (0.5)

linear

quadratic

Attenuation Data are sensitive to details of prehadronic interactions!



Prehadronic Cross Section

From now on quantum diffusion model (Farrar et al.) $\sigma_{\text{eff}} = \sigma_{\text{hN}} \left[\left(\frac{\#}{Q^2} \left(1 - \frac{t}{t_f} \right) + \left(\frac{t}{t_f} \right) \Theta(t_f - t) \right. \\ \left. + \Theta(t - t_f) \right] \right]$

I/Q² dependence not essential, linear dependence on time is essential



Summary of Formation Times

Times

At low energies, resonance regime:

- t_f = lifetime of resonance $\rightarrow N$ + hadron
- At high energies, QCD regime,

 t_{f} from string-fragmentation

Interactions

- At low energies: collisional broadening of resonances
 - \rightarrow cross sections are density dependent!
- At high energies: nuclear attenuation



SIS – DIS by PYTHIA





Shallow Inelastic Scattering, interplay of different reaction mechanisms → Ambiguity to switch from one mechanism to the other

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Code and Theory Checks







Check: protons



Curves: GiBUU

Proton transparency





Electrons as Benchmark for GiBUU

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No free parameters! no 2p-2h, contributes in dip region and under Δ



O. Benhar, spectral fctn



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Check: Pion Absorption



Pion potential essential, as well as Coulomb

Note: Pion absorption does not provide a sensitive test for fsi with nucleons





Check: pions in HARP

HARP small angle analysis 12 GeV protons

Curves: GiBUU

K. Gallmeister et al, NP A826 (2009)







Check: Pion DCE



Data: Wood et al, GiBUU: Buss et al, Phys.Rev. C74 (2006) 044610





Check: Pions in Nuclei

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$\gamma \rightarrow \pi^0$ on Pb



Photons illuminate the whole nucleus, test various pion mean free paths

Data: TAPS, Krusche et al

As in neutrino-induced reactions pions are produced inside the nucleus, more sensitive than pion absorption checks





Check: Pions in Nuclei



Data: TAPS Krusche et al

Target: Ca





JLAB *ρ* **Production**



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Exp: Hafidi et al, Hall B Phys.Lett. B712 (2012) 326-330

GiBUU: Gallmeister et al. Phys.Rev. C83 (2011)





Pion Production at JLAB



Exp: B. Clasie et al.,Hall C Phys. Rev. Lett. 99, 242502 (2007).

GiBUU: Kaskulov et al, Phys.Rev. C79 (2009) 015207





Pions from HERMES at 27 GeV



Data: Airapetian et al Curves: GiBUU Nucl.Phys. A801 (2008) 68-79





Double Hadron Attenuation



Glauber: fails at low z_{2} .

Low z hadrons show pile-up through final state interactions

Implication for (e,e'2p)?



HERMES@12 GeV





JLAB π^+ production



Data: W. Brooks et al., JLAB

Same parameters as for HERMES





Now to Neutrinos







Experimental Verification of 2p2h







Nucleon Knock-Out for various processes



Only true QE can be identified

Avalanching shadows Initial reaction







Nucleon Knock-out and 2p-2h









Nucleon Knock-out and 2p-2h





MB flux

Only 1pXn channel is reasonably close to true QE





MINERvA QE Analysis



QE is a small part of the X-section over a large X-section

Background identification depends on generator

→ QE signal generator-dependent



MINERvA Analysis





Mosel et al., PR D89 (2014) 093003

Flux cuts are dangerous: distort true distribution! Minerva cuts out (too?) large part Energy reconstruction strongly affected by *all* channels, not just 2p2h!

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Minerva Q² Reconstruction



True Q² distribution, *all* events

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MINERvA Q² Reconstruction

Only 0-pion events



Dramatic sensitivity to reconstruction in peak area:can be removed with generator, But: how good is your generator? accuracy of ,data'?? Mosel et al., PR D89 (2014) 093003





Nucleon Knock-out at MINERvA



Extremely strong fsi: fast initial proton becomes many low-energy nucleons Institut für

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Pion Production

from: Phys.Rev. C87 (2013) 014602

1p-1h-1 π X-section:

$$\mathrm{d}\sigma^{\nu A \to \ell' X \pi} = \int \mathrm{d}E \int \frac{\mathrm{d}^3 p}{(2\pi)^3} P(\mathbf{p}, E) f_{\mathrm{corr}} \,\mathrm{d}\sigma^{\mathrm{med}} P_{\mathrm{PB}}(\mathbf{r}, \mathbf{p}) F_{\pi}(\mathbf{q}_{\pi}, \mathbf{r}) \ .$$

Pion fsi (scattering, absorption, charge exchange) handled by transport, Includes Δ transport, consisent width description





Pion Production in MB



Spectral shape determined by pi-N-Delta dynamics in nuclei, spectral disagreement due to choice of Bayes prior distributions???





Pion Production in MB



Flux renormalization (data x 0.9 (cf. Nieves QE analysis))





Pion Production



Upper line: BNL input Lower line: ANL input

Tendency for theory too low, more so for π +, at E > 1 GeV

DIS and higher resonances contribute for E > 1 GeV

Discrepancy mainly in tail of flux distributions (large uncertainty)





Pions at MB

Pions at MiniBooNE are compatible only with the (higher) BNL input







Pion FSI at MINERvA

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1/A d σ /dT $_{\pi}$ (10⁻³⁸ cm²/GeV)







MINERvA Pions



Data: Eberly et al



GiBUU preliminary

Pions at MINERvA compatible only with lower ANL data

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MINERvA Pions

MINERvA cuts flux from 1.5 – 10 GeV
Generator Dependence

MINERvA cuts invariant mass W > 1.4 GeV
Generator Dependence





Effects of cuts



W distribution for Δ is significantly broadened due to Fermi-motion, Cut at 1.4 GeV cuts away 25% of total strength Note: $W^2 = M^2 + 2 M v - Q^2$.ne. $(p + q)^2$





Pions at various experiments



Multi π^+ , target: C for MB, T2K and MINERvA, Ar for LBNE





Kaons at MINOS and NOvA



Lalakulich et al, PR D86, 014607 (2012)

FSI increase the cross section! Semi-inclusive X-sections much larger than exclusive ones (1 order of magnitude, cf. Athar, Alvarez-Ruso)





MINERvA



Fsi are most important, but different, for pions and kaons Elementary kaon vertices ,shielded' by secondary production: $\pi + N \rightarrow K + \Lambda$





Coherent Pion Production

Coherent pion production: not really part of a MC generator, since coherent process.

Nakamura, Sato and Lee (PRC81 (2010) 035502) have given (nearly) correct theory. Supersedes oversimplified earlier models, but nowhere used. WHY???





Conclusions

- Elementary pion data still uncertain, MiniBooNE and MINERvA data show tension
- Kaons at higher energies are dominantly produced in DIS events, together with pions. Secondary kaon production large → elementary kaon production difficult in MINERvA

A plea to the experimentalists: show data with as little model (generator) dependence as possible. Flux cuts and W cuts introduce generator dependence into data.





Importance of Generators

- A good generator does not have to fit the data, provided it is right (meaning: theoretically correct and consistent)
- A good generator does not have to be right, provided it fits the data
- Let us strive for the right generator that is as much state-of-the-art as the experimental equipment!

