

**2ND EU/US SUMMER SCHOOL ON AUTOMORPHIC FORMS
SINGULAR MODULI AND MODULAR FORMS – EXERCISE SHEET 1**

LECTURERS: WILLIAM DUKE, PAUL JENKINS
ASSISTANT: YINGKUN LI

Exercise 1. Define the Eisenstein series of weight 2 by

$$G_2(z) := \sum_{m \in \mathbb{Z}} \sum_{n \in \mathbb{Z}'_m} \frac{1}{(mz + n)^2} = 2\zeta(2) + \sum_{m \neq 0} \sum_{n \in \mathbb{Z}} \frac{1}{(mz + n)^2},$$

where $\mathbb{Z}'_m = \mathbb{Z} \setminus \{0\}$ if $m = 0$ and \mathbb{Z} otherwise. Note that the order of the summation matters here since the sum does not converge absolutely. The Fourier expansion of $E_2(z) := \frac{1}{2\zeta(2)}G_2(z)$ is analogous to those of $E_4(z)$ and $E_6(z)$ and given by

$$E_2(z) = 1 - 24 \sum_{n=1}^{\infty} \sigma(n)q^n.$$

(1) Show that

$$\frac{1}{z^2}G_2(-1/z) = \sum_{n \in \mathbb{Z}} \sum_{m \in \mathbb{Z}'_n} \frac{1}{(mz + n)^2} = 2\zeta(2) + \sum_{n \in \mathbb{Z}} \sum_{m \neq 0} \frac{1}{(mz + n)^2}.$$

(2) Show that

$$\sum_{m \neq 0} \sum_{d \in \mathbb{Z}} \frac{1}{(mz + d)(mz + d + 1)} = 0$$

and subtract it from $G_2(z)$ to show that $G_2(z)$ has the absolutely convergent expression

$$G_2(z) = 2\zeta(2) + \sum_{\substack{m \neq 0 \\ n \in \mathbb{Z}}} \frac{1}{(mz + d)^2(mz + d + 1)}.$$

(3) Show that

$$\begin{aligned} G_2(z) - \frac{1}{z^2}G_2(-1/z) &= - \sum_{n \in \mathbb{Z}} \sum_{m \neq 0} \frac{1}{(mz + d)} - \frac{1}{(mz + d + 1)} \\ &= - \lim_{N \rightarrow \infty} \sum_{n=-N}^{N-1} \sum_{m \neq 0} \frac{1}{(mz + d)} - \frac{1}{(mz + d + 1)} \\ &= -\frac{2}{z} \lim_{N \rightarrow \infty} \sum_{m=1}^{\infty} \frac{1}{(-N/z + m)} + \frac{1}{(-N/z - m)}. \end{aligned}$$

(4) Prove the identity

$$(1) \quad \frac{1}{z} + \sum_{d=1}^{\infty} \left(\frac{1}{z-d} + \frac{1}{z+d} \right) = \pi \cot(\pi z) = \pi i - 2\pi i \sum_{m=0}^{\infty} q^m.$$

and use it to deduce that

$$(2) \quad E_2(z) - \frac{1}{z^2}E_2(-1/z) = -\frac{12}{2\pi iz}.$$

(5) Show that $\hat{E}(z) := E(z) - \frac{3}{\pi \operatorname{Im}(z)}$ satisfies the transformation

$$\left(\hat{E}_2 \mid_2 \gamma \right) (z) = \hat{E}_2(z)$$

for all $\gamma \in \Gamma$.

Exercise 2. Define the function $\tilde{\Delta} : \mathcal{H} \rightarrow \mathbb{C}$ by

$$(3) \quad \tilde{\Delta}(z) := q \prod_{n=1}^{\infty} (1 - q^n)^{24}.$$

(1) Prove that

$$\frac{1}{2\pi i} \frac{d}{dz} \log \tilde{\Delta}(z) = 1 - 24 \sum_{m=1}^{\infty} \sigma(m) e^{2\pi i m z} = E_2(z).$$

(2) Using equation (2), show that

$$\frac{1}{2\pi i} \frac{d}{dz} \log \left(\frac{\tilde{\Delta}(-1/z)}{z^{12} \tilde{\Delta}(z)} \right) = 0.$$

(3) Use the previous part and the valence formula to show that $\tilde{\Delta}(z) = \Delta(z) = \frac{E_4^3(z) - E_6^2(z)}{1728} \in S_{12}$.

(4) Suppose that $f(z) \in M_k^!$ has integral Fourier coefficients and leading coefficient 1. Prove that if $f(z_0) = 0$ for some $z_0 \in \mathcal{H}$, then $j(z_0)$ is an algebraic integer.

Exercise 3. The polynomial $\Psi_m(x, y)$ is reducible when m is not squarefree. Instead, one could consider

$$(4) \quad \begin{aligned} \Phi_m(x, j(z)) &:= \prod_{\gamma \in \Gamma \backslash \Delta_m} (x - j(\gamma z)), \\ \Delta_m &:= \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_m : \gcd(a, b, c, d) = 1 \right\}. \end{aligned}$$

(1) Show the following subset of Δ_m consists of coset representatives of $\Gamma \backslash \Delta_m$

$$\left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \in \Delta_m : \gcd(a, b, d) = 1, 0 \leq b \leq d - 1 \right\}$$

and that it has size

$$\psi(m) := m \prod_{p|m \text{ prime}} \left(1 + \frac{1}{p}\right).$$

(2) Prove that

$$\Psi_m(x, y) = \prod_{d^2|m} \Phi_{m/d^2}(x, y).$$

In particular, $\Psi_m(x, y) = \Phi_m(x, y)$ when m is squarefree. Furthermore, one has

$$\begin{aligned} \sigma(m) &= \sum_{d^2|m} \psi\left(\frac{m}{d^2}\right), \\ \frac{\zeta(s)\zeta(s-1)}{\zeta(2s)} &= \sum_{m \geq 1} \frac{\psi(m)}{m^s} \end{aligned}$$

when $\operatorname{Re}(s)$ is large enough.

(3) Show that as a polynomial in x , the coefficients $\Phi_m(x, j(z))$ are modular functions in M_0^1 with integral Fourier coefficients. From this, deduce that $\Phi_m(x, y) \in \mathbb{Z}[x, y]$.

(4) Show that $\Phi_m(x, y)$ is irreducible as a polynomial in x over the field $\mathbb{C}(y)$.

(5) Prove that $\Phi_m(x, y) = \Phi_m(y, x)$.

Exercise 4. Let $D < 0$ be a discriminant. In this exercise, we will prove the finiteness of the size of $\Gamma \backslash \mathcal{Q}_D$. For convenience, we will use (A, B, C) to denote a binary quadratic form $Ax^2 + Bxy + Cy^2 \in \mathcal{Q}_D$ and \sim to represent equivalence under the action of Γ . We say a form (A, B, C) is *reduced* if

$$|B| \leq A \leq C.$$

- (1) For any reduced form (A, B, C) of a fixed discriminant D , prove that $|B| < \sqrt{|D|/3}$. From this, deduce that the number of reduced forms of a fixed discriminant $D < 0$ is finite.
- (2) Let $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ and calculate $\gamma \cdot (A, B, C)$. What is the result when $a = 0$?
- (3) If $(A, B, C), (A', B', C') \in \mathcal{Q}_D$ are equivalent, prove that

$$\{Ax^2 + Bxy + Cy^2 : x, y \in \mathbb{Z}\} = \{A'x^2 + B'xy + C'y^2 : x, y \in \mathbb{Z}\}.$$

- (4) Prove that two forms (A_1, B_1, C_1) and (A_2, B_2, C_2) of the same discriminant are equivalent if and only if there exist $a, c \in \mathbb{Z}$ such that

$$\begin{aligned} A_1a^2 + B_1ac + C_1c^2 &= A_2, \\ 2A_1a + (B_1 + B_2)c &\equiv 0 \pmod{2A_2}, \\ (B_1 - B_2)a + 2C_1c &\equiv 0 \pmod{2A_2}. \end{aligned}$$

- (5) Find all pairs of distinct reduced forms that are equivalent to each other.
- (6) Show that every binary quadratic form $(A, B, C) \in \mathcal{Q}_D$ is equivalent to a reduced form and prove that $h(D)$ is finite.
- (7) Show that every quadratic form $(A, B, C) \in \mathcal{Q}_{-163}$ is equivalent to $(1, 1, 41)$ and that the size of $\Gamma \backslash \mathcal{Q}_{-23}$ is 3.

Exercise 5. For a fixed *fundamental* discriminant $D < 0$, let $C(D)$ denote the set of coset representatives of $\Gamma \backslash \mathcal{Q}_D$. We will define the composition law after Dirichlet in this exercise. Two forms $Q_j = (A_j, B_j, C_j) \in \mathcal{Q}_D, j = 1, 2$ are called *united* if $\gcd(A_1, A_2, (B_1 + B_2)/2) = 1$.

- (1) If $Q_1, Q_2 \in \mathcal{Q}_D$ are united forms, show that there exists $b, c \in \mathbb{Z}$ such that $Q_1 \sim (A_1, b, A_2c)$ and $Q_2 \sim (A_2, b, A_1c)$. In this way, we define the composition of Q_1 and Q_2 to be

$$Q_1 \circ Q_2 := (A_1A_2, b, c) \in \mathcal{Q}_D.$$

- (2) Prove that the composition respects the equivalence relation \sim , i.e. if $Q_1, Q_2 \in \mathcal{Q}_D$ and $Q'_1, Q'_2 \in \mathcal{Q}_D$ are two pairs of united forms such that $Q_j \sim Q'_j$ for $j = 1, 2$, then

$$Q_1 \circ Q_2 \sim Q'_1 \circ Q'_2.$$

This then defines a composition law on $C(D)$.

- (3) Deduce that

$$\begin{aligned} (1, B, C) \circ (A', B', C') &\sim (A', B', C'), \\ (A, B, C) \circ (A, -B, C) &\sim (A, B, C) \circ (C, B, A) \sim (AC, B, 1), \end{aligned}$$

and conclude that $C(D)$ is an abelian group under composition.

- (4) Show that $h(D)$ is even if $D < 0$ is the product of a positive and a negative discriminant.

Exercise 6. For a discriminant $D < 0$, define the Hurwitz class number $H(D)$ by

$$H(D) := \sum_{Q \in \Gamma \backslash \mathcal{Q}_D} \frac{1}{w_Q}.$$

It is the “degree” of the “modified class polynomial” $\mathcal{H}_D(x) := \prod_{Q \in \Gamma \backslash \mathcal{Q}_D} (x - j(\tau_Q))^{1/w_Q}$.

(1) When m is not a perfect square, prove that

$$\Psi_m(x, x) = \pm \prod_{|t| < 2\sqrt{m}} \mathcal{H}_{t^2 - 4m}(x).$$

(2) When m is a perfect square, prove that

$$\left. \frac{\Psi_m(x, y)}{\Psi_1(x, y)} \right|_{x=y} = \pm \sqrt{m} \frac{\mathcal{H}_{t^2 - 4m}(x)}{\mathcal{H}_{t^2 - 4}(x)}.$$

(3) Set $H(0) := -\frac{1}{12}$ and prove the following result due to Hurwitz

$$(5) \quad \sum_{d|m} \max\left(d, \frac{m}{d}\right) = \sum_{\substack{t \in \mathbb{Z} \\ |t| \leq 2\sqrt{m}}} H(t^2 - 4m).$$

(4) Make a table of the Hurwitz class numbers $H(D)$ up to $|D| \leq 24$.